CLEO-c and CESR-c: A New Frontier of Weak and Strong Interactions

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Heavy Flavor Physics

- Primary goal in Heavy Flavor Physics is understanding CP violation in b quarks and beyond.
- CP violation in the b quark system is described by the unitarity triangle.
- In principle the CKM matrix elements of the unitarity triangle can be determined by measurements of B meson decays and $B^0\bar{B}^0$ mixing.
	- However theoretical uncertainties limit the ability to relate CKM matrix elements to B physics measurements.

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From 1979 to 2000 the CESR e^+e^- collider and CLEO detectors enabled a highly productive program of Υ and B research at the frontier of heavy quark physics.

- Successive CESR upgrades improved luminosity from 10^{30} cm⁻²s⁻¹ to 10^{33} cm⁻²s⁻¹
	- Many e^+e^- collider luminosity records during that period
- A sequence of CLEO detectors, CLEO I, CLEO II, CLEO II.V, and CLEO III were built with successive improvements resulting in excellent: charged particle tracking, charged particle identification, photon detection, and muon tracking.

Some Highlights of the CESR/CLEO Program

- Confirm that the $\Upsilon(3S)$ is narrow *
- $\Upsilon(4S)$ discovery *
- Increase of e and μ rates at the $\Upsilon(4S)$ * Implied new b quark flavor and B mesons
- Discovery of $\Upsilon(5S)$ and $\Upsilon(6S)$ *
- $b \rightarrow c\ell\nu$ decays discovery and measurements Exclusive $b \to c\ell\nu$ decays
- $b \rightarrow u \ell \nu$ decays discovery and measurements ** Exclusive $b \to u \ell \nu$ decays
- Discovery of $B \to K^*\gamma$ photon penguin decays
- Discovery of $B \to K\pi \& B \to \pi\pi$ gluon penguins
- Measurement of inclusive $b \rightarrow s$ decays
- \bullet D_s discovery
- Discovery and measurement of many B meson decays
- Discovery of $B^0 \to J/\psi K^0$ decays
- Discovery of 11 charm baryons
- Discovery of the $\Upsilon(1D)$
- Discovery of $\pi\pi$ transitions among Ts
- Discovery of $\chi_{b1\&2} \to \omega \Upsilon(1S)$ and $\Upsilon(2S) \to \eta \Upsilon(1S)$
- Confirmed the ARGUS discovery of $B^0\bar{B}^0$ mixing
- $D_{s1}(2460)$ discovery and $D_{s0}^*(2317)$ confirmation
- Resolved discrepancies between inclusive and exclusive τ decay measurements

Independently observed by CUSP * or ARGUS **

- By 2000 the luminosity of the PEP-II and KEKB asymmetric e^+e^- colliders had overtaken that of CESR, so reconsideration of the CESR and CLEO programs was necessary.
- It was widely understood that physics of the charm sector had essentially been abandoned in the race to build higher-energy colliders.
	- Known exclusive D decays were a fraction of the totals.
	- Branching fraction uncertainties were significant.
	- Accurate B decay measurements were often limited by D decay measurements.
	- This realization led to thoughts of a CESR/CLEO program in the charm region, but a sufficient physics motivation was missing.
- Two events provided the physics motivation:
	- Word came from Peter Lapage that a workshop he was hosting at Cornell concluded that calculations of D meson decay parameters were on the verge of enough precision that meaningful comparisons between theory and experiment would be possible. However substantial progress in experimental precision was necessary. That had an immediate and positive impact on our thinking about the value of an experimental program in the charm threshold region.
	- Marina Artuso produced an internal CLEO report that described the potential for a productive charm physics program with the CLEO III detector and high luminosity produced from CESR.

Proposed Physics for the CESR-c and CLEO-c Program

These motivations led to the creation of:

- A CLEO-c Task Force coordinated by Marina Artuso and Ian Shipsey
	- Report author list included Peter Lepage and Aida El-Khadra who provided theoretical insight
- A CLEO-c Task Force coordinated by David Rice
	- Cornell CESR physicists

Taskforce studies led to 6 areas of research with significant opportunities and goals:

- Leptonic Charm Decays: $D^+ \to \ell^+ \nu$ and $D_s^+ \to \ell^+ \nu$
	- Comparing precision measurements of f_D and f_{D_s} to LQCD calculations, can constrain or validate the LQCD calculations of f_B and f_{B_s} required to extract $|V_{td}|$ and $|V_{ts}|$ from $B\overline{B}$ mixing measurements
- Semileptonic Decays of Charmed Mesons: $D \to (K, K^*)\ell\nu, D \to (\pi, \rho)\ell\nu$...
	- Semileptonic decays are the primary source of information for the CKM matrix elements $|V_{ub}|$, $|V_{cb}|$, $|V_{cd}|$, and $|V_{cs}|$. Measurements of form factors in D decays can constrain or validate models (such as $HQET$) for these form factors in B decay.
- Hadronic Decays of Charmed Mesons: $D^0 \to K^-\pi^+$, $D^+ \to K^-\pi^+\pi^+$, $D_s^+ \to K^+K^-\pi^+$...
	- Many important B meson decays involve decays to D mesons, so accurate B branching fraction measurements require precise absolute measurements of the branching fractions of intermediate D decay modes.

Proposed Physics for the CESR-c and CLEO-c Program

- Rare Decays, $D\overline{D}$ Mixing, and CP Violating Decays
	- The proposed program includes data and detector capability for precision measurements of $D^0\bar{D}^0$ mixing parameters, searches for rare decays with branching fractions of order 10⁻⁶ and searches for violations of CP of order 1% in D decays.
- Quarkonia and QCD
	- $\psi(2S)$ data will provide precision measurements of bound $c\bar{c}$ states for comparison with LQCD and other theoretical calculations.
- Spotchecks of $R = \sigma(e^+e^- \to \text{hadrons})/\sigma(e^+e^- \to \mu^+\mu^-)$
	- These measurements with precision of a few $\%$, will supplement the BES measurements and find the optimal energy for production of $D_s^+D_s^-$ events.

Realization of this CESR-c and CLEO-c Program required:

- For CLEO-c, an upgrade of the CLEO III detector to optimize it for detection and measurement of decay products of c quark decay, whose momenta are lower than those from b quark decay.
- For CESR-c, operation of CESR with beam energies between about 1.5 GeV and 2 GeV, *i.e.*, center-of-mass energies between about 3 GeV and 4 GeV.

The CLEO-c Detector

Principal modifications of the CLEO III detctor:

- Reduced the magnetic field from 1.5 T to 1 T
- Replaced the inner silicon vertex detector with a low-mass 6-layer drift chamber for z measurements near the vertex

Performance:

- Excellent Particle Identification (dE/dx) and RICH: $p < 1$ GeV/c over 83% of 4π
- Tracking: $\sigma_p/p = 0.6\%$ at $p = 1 \text{ GeV}/c$
- CsI Calorimeter: $\sigma_E/E = 6\%$ at $E_\gamma = 100$ MeV and 2.2% at 1 GeV

The CESR-c Upgrade

Serious CESR upgrades were required for high performance at charm energies.

- Synchrotron radiation provides essential beam damping.
	- At lower energies, synchrotron radiation would be reduced leading to damping times for beams after injection that would be far too long.
	- This was mitigated by the installation of 12 multipole damping magnets.
- Additional beam dynamics issues had to be addressed.
- Energies of synchrotron radiation x-rays were too low to be useful for CHESS experiments.
	- Dedicated beam time at 5.3 GeV for the CHESS program was required, which reduced total CLEO-c luminosity by at least a factor of 2.
	- Additional instrumentation facilitated transitions between the two energies.

Most CLEO-c data were taken at:

- $\psi(3770)$ that decays primarily to $D\bar{D}$
- $E_{cm} = 4170 \text{ MeV}, \text{ for } D_s \bar{D}_s^*$ events
- $\psi(2S)$

Additional data were take in energy scans

Some key D measuremnts were published multiple times utilizing successively 56 pb⁻¹, 281 pb⁻¹, and 818 pb[−]¹ data samples

 $e^+e^- \rightarrow \psi(3770) \rightarrow D\bar{D}$ Events and Analyses

$e^+e^- \rightarrow \psi(3770) \rightarrow D^+D^ D^+ \to K^-\pi^+\pi^+$ and $D^- \to K^+\pi^-\pi^-$

- CLEO-c uses D^+ and D^0 decays from $e^+e^- \to \psi(3770) \to D^+D^-$ or $D^0\bar{D}^0$
	- No additional pions produced
	- Extremely clean events
- We measured leptonic, semileptonic, and key hadronic branching fractions with a double tagging technique
	- Other branching fractions were usually measured relative to a reference mode, generally $D^0 \to K^-\pi^+$ or $D^+ \to K^-\pi^+\pi^+$

Absolute D^0 and D^+ Hadronic Branching Fractions

We utilized a technique pioneered by MARK III

- Single Tag (ST) Yields $D \to i$ and $\bar{D} \to X$ $y_i = N_{D\bar{D}} \mathcal{B}_i \epsilon_i$
- Double Tag (DT) Yields $D \to i$ and $\bar{D} \to \bar{j}$ $y_{i\bar{j}} = N_{D\bar{D}} \mathcal{B}_i \mathcal{B}_{\bar{j}} \epsilon_{i\bar{j}}$
	- Compute branching fractions and $N_{D\bar{D}}$ $y_{i\bar{j}}$ $\epsilon_{\bar{j}}$ $y_i y_{\bar{j}}$ $\epsilon_{i\bar{j}}$

$$
\mathcal{B}_{i} = \frac{g_{ij}}{y_{j}} \frac{c_{j}}{\epsilon_{i\bar{j}}} \quad \text{and} \quad N_{D\bar{D}} = \frac{g_{i}g_{j}}{y_{i\bar{j}}} \frac{c_{ij}}{\epsilon_{i}\epsilon_{\bar{j}}}
$$

- $\epsilon_{i\bar{j}} \approx \epsilon_i \epsilon_{\bar{j}}$ so \mathcal{B}_i is nearly independent of efficiencies for \bar{j} .
- Branching fraction values independent of luminosity or $N_{D\bar{D}}$ measurements.
- Did a χ^2 fit including all yields and all errors correlated and uncorrelated.
- Input y_i and $y_{\overline{i}}$ separately, but constrain $\mathcal{B}_i = \mathcal{B}_{\overline{i}}$
- Determining yields
	- Cut on $\Delta E \equiv E(D) E_0$ (candidate energy beam energy)
	- Obtain ST and DT yields from fits to beam constrained mass $M_{BC}^2 \equiv E_0^2 p(D)^2$ distributions (substitute the beam energy for the candidate energy for better resolution)

Single Tag D^0 and D^+ Data

All Single Tags: Square Root Scales

$$
\begin{array}{rcl}\n\sigma(e^+e^- \to D^0 \bar{D}^0) & = & (3.607 \pm 0.017 \pm 0.056) \text{ nb} \\
\sigma(e^+e^- \to D^+D^-) & = & (2.882 \pm 0.018 \pm 0.042) \text{ nb}\n\end{array}
$$

Absolute D_s Branching Fractions

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Data for measuring absolute D_s branching fractions were collected at $E_{cm} = 4.17$ Gev.

- 4.17 GeV is above the threshold for $D_s^+D_s^-$ but below the threshold for $D_s^{\pm}DK$
	- So all events with a D_s^{\pm} must contain a D_s^{\mp} enabling use of the double tag technique
- 4.17 GeV is above the threshold for $D_s^{\pm} D_s^{*\mp}$
	- So D_s events must be either $D_s^+ D_s^-$ or $D_s^{\pm} D_s^{*\mp}$
	- $D_s^{\pm} D_s^{*\mp}$ dominates so most D_s events are $D_s^{\pm}D_s^{\mp}(\gamma,\pi^0)$
		- Wide m_{rec} peak for D_s^{\pm} from $D_s^{*\pm}$
		- Narrow m_{rec} peak for D_s^{\pm} not from $D_s^{*\pm}$
		- Both peaks are well understood

Absolute D_s Branching Fractions

Double Tag $D_s^{\pm} D_s^{\mp}$ Mass Distributions

Leptonic D Decays and D Meson Decay Constants

A factor f_{D_q} $|V_{cq}|^2$ occurs in the decay amplitude for the $c\bar{q}W$ vertex

• The decay widths for leptonic D^+ and D_s^+ decays are given by:

$$
\Gamma(D_q^+ \to \ell^+ \nu_\ell) = \frac{1}{8\pi} G_F^2 M_{D_q} m_\ell^2 \left(1 - \frac{m_\ell^2}{M_{D_q}^2} \right) |f_{D_q}| |V_{cq}|^2
$$

- Measurements of $\mathcal{B}(D^+ \to \ell^+ \nu_\ell)$ and $\mathcal{B}(D^+_s \to \ell^+ \nu_\ell)$ Determine $f_D|V_{cd}|^2$ and $f_{D_s}|V_{cs}|^2$
- We can measure $f_{D_q} |V_{cq}|^2$ and use values of $|V_{cq}|$ from unitarity to get f_{D_q}
	- f_D and f_{D_s} measurements can constrain and validate LQCD calculations of f_B

CLEO-c results:

- $f_D = (205.8 \pm 8.5 \pm 2.5) \text{ MeV}$
- $f_{D_s} = (263.3 \pm 8.2 \pm 3.9) \text{ MeV}$

Exclusive Semileptonic D Decays

• Exclusive semileptonic decay to a pseudoscalar meson P_s depends on the mass-squared (q^2) of the virtual W through a single form factor $f_{qs(d)}(q^2)$

$$
\frac{\Gamma(D_q \to P_s \ell^+ \nu_\ell)}{dq^2} = G_F^2 \frac{p^3}{24\pi^3} \left| V_{cs(d)} f_{qs(d)}(q^2) \right|^2 \qquad (\times 1/2 \text{ for } \pi^0)
$$

- CLEO-c measures $|V_{cs(d)}f_{qs(d)}(q^2)|$ and uses $|V_{cs(d)}|$ from unitarity to determine $|f_{qs(d)}(q^2)|$
- - Goal is to validate theories of $f_D(q^2)$ for application in the B meson sector

Tagged Reconstruction

- Reconstruct a hadronic decay
- Measure hadrons from semileptonic decay candidate
- Calculate E_{miss} and P_{miss} from E_{cm} , e^{\pm} , and hadrons
- Subtract background using $U \equiv E_{\text{miss}} P_{\text{miss}}$ distribution Typical Event $\bar{D}^0 \to K^+ \pi^ D^- \to K^- e^+ \nu_e$

Exclusive Semileptonic Decay Data

Exclusive Semileptonic Decay Results

$$
\mathcal{B}(D^0 \to \pi^- e^+ \nu_e) = (0.288 \pm 0.008 \pm 0.003)\%
$$

\n
$$
\mathcal{B}(D^0 \to K^- e^+ \nu_e) = (3.50 \pm 0.03 \pm 0.04)\%
$$

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$$
\mathcal{B}(D^+ \to \pi^0 e^+ \nu_e) = (0.405 \pm 0.016 \pm 0.009)\%
$$

\n
$$
\mathcal{B}(D^+ \to K^0 e^+ \nu_e) = (8.83 \pm 0.10 \pm 0.20)\%
$$

Using LQCD values of $f_D(0)$ we find $|V_{cd}| = 0.234 \pm 0.007 \pm 0.002 \pm 0.025$ $|V_{cs}|$ = 0.985 \pm 0.009 \pm 0.006 \pm 0.103

in agreement with PDG values of $|V_{cd}|$ and $|V_{cs}|$ determined from CKM unitarity.

First Measurement of $D \to \rho e^+ \nu_e$ Form Factors

Decay width expressions for P to V semileptonic decays such as $D^0 \to \rho^- e^+ \nu_e$ and $D^+ \to \rho^0 e^+ \nu_e$ involve 3 form factors:

- One vector $V(q^2)$ and two axial vector $A_1(q^2)$ and $A_2(q^2)$
- These form factors contribute to helicity amplitudes, which can be determined from distributions of angles θ_e , θ_{π} , and χ .

For $D^+ \to \rho^0 e^+ \nu_e$ decay, substitue ρ^0 for \bar{K}^{*0} , π^- for K^- , and θ_{π} for θ_K

 $D^+ \rightarrow \omega e^+ \nu_e$ was detected and the branching fraction was measured, but form factors were not measured

 $D^0 \to \rho^- e^+ \nu_e$ $D^+ \to \rho^0 e^+ \nu_e$ $D^+ \to \omega e^+ \nu_e$ 0120711-001 E vents/ $(0.01 GeV)$ 60 (a) 150 (b) (c) $30⁵$ 40 100 20 20 50 10 $-0.2 - 0.1$ $\overline{0}$ $-0.2 - 0.1$ 0 0 1 0 2 $-0.2 - 0.1$ $0.10.2$ $\overline{0}$ 0.1 0.2 $U = E_{miss} - cIp_{miss}$ I (GeV) Events/(0.017 Gev/c²) Events/(0.002 Gev/ c^2 $80⁵$ (d) (e) (f) 40 60 20 $40¹$ 20 10 20 0.5 1.0 0.78 0.82 0.5 1.0 0.74 Invariant Mass (GeV/c²)

First Measurement of $D \to \rho e^+ \nu_e$ Form Factors

From our measured branching fractions, measured form factors, $|V_{cd}|$ obtained from CKM unitary by the PDG, and values of the D^0 and D^+ lifetimes, we obtained $A_1(0) = 0.56 \pm 0.01_{-0.03}^{+0.02}$, $A_2(0) = 0.47 \pm 0.06 \pm 0.04$, and $V(0) = 0.84 \pm 0.09_{-0.06}^{+0.05}$, One HQET-based model predicted: $A_1(0) = 0.61$, $A_2(0) = 0.31$, and $V(0) = 1.0$.

Observation of the $c\bar{c}(1P)$ state h_c

Reconstructed h_c using $\psi(2S) \to \pi^0 h_c$, $h_c \to \eta_c \gamma$, $\eta_c \to hadrons$ Completed bound-state $c\bar{c}$ spectroscopy and provided an essential validation of charmonium theory Hyperfine splitting: Expected $M(h_c)$ equal to the spin average of $M(\chi_{cJ})$ Found $\langle M(\chi_{cJ})\rangle - M(h_c) = +0.02 \pm 0.19 \pm 0.13$ MeV

 $\psi(3770) \rightarrow \gamma \chi_{cJ}$

CLEO-c observed $\psi(3770) \rightarrow \gamma \chi_{cJ}$ decays in two independent analyses

- Pattern of branching fractions agrees with *relativistic* calculations
- Reinforces interpretation of $\psi(3770)$ as primarily a ${}^{3}D_{1}$ cc state

Measurement of the η Mass Using $\psi(2S) \to \eta J/\psi$

Prompted by disagreement: GEM (2005) differs from NA48 (2002) & KLOE (2006) All three measurements have small quoted errors

• CLEO: $M_{\eta} = 557.785 \pm 0.017 \pm 0.057 \text{ MeV}/c^2$

Some Additional Highlights of CLEO-c

By my count there are 118 CLEO-c publications among the 530 CLEO publications.

- Measurements of quantum correlations and phases in $D^0\bar{D}^0$ mixing
- Measurements of strong phases in $D^0 \to K_S^0 \pi^+ \pi^-$ and $D^0 \to \pi^+ \pi^+ \pi^-$ needed for model independent measurements of the CP-violating angle γ
- Measurement of strong phases in $D^0 \to K_S^0 K^- \pi^+$ and $D^0 \to K^+ K^- \pi^+ \pi^-$ providing additional modes for measurements of the CP-violating angle γ
- Discovery of $D_s \to p\bar{n}$ decays, the only possible baryon-antibaryon decay of D mesons
- Consistent accurate measurement of η branching fractions accounting for 99.9% of all η decays
- First observation of $J/\psi \to \gamma\gamma\gamma$ an analogue of ortho-positronium decays, which provides important tests of QCD theories of charmonium decay It was awarded the rare distinction "Editors Choice" by the editors of Physical Review Letters.
- Observation of $e^+e^- \to h_c(1P) \pi^+\pi^-$ confirming the original observation of that state It stimulated CLEO-c members who were also members of Belle to use the same technique to discover the elusive $h_b(1P)$ and $h_b(2P)$ states.
- First observation of higher (M2) multipoles in $\psi(2S) \to J/\psi \gamma \gamma$, which resolved long-standing discrepancies between theory and experiment and confirmed early theoretical calculations
- Observation of the Dalitz decay $D_s^{\pm\pm} \to D_s^{\pm} e^+ e^-$, the only Dalitz decay observed in the c or b sectors

Conclusions

- The CLEO-c program was stimulated by the progress of LQCD groups in being able to calculate D meson decay parameters with enough precision that comparisons between theory and precise experiments would be meaningful.
- Throughout the program, CLEO-c measurements challenged LQCD calculations and vice versa.
- These challenges were only part of the CLEO-c program because talented physicists will have original ideas for what to do with excellent data.
- For this exciting program I owe special thanks to:
	- Peter Lapage for stimulating the program and participating in the CLEO-c Taskforce
	- LQCD colleagues for their challenges to CLEO-c results
	- CESR colleagues whose heroic efforts adapted CESR to run successfully in the charm threshold region and who worked so hard to ensure that CLEO-c received the maximum possible data at a wide variety of energies and acceleration conditions
	- CLEO-c colleagues who built and maintained the detector, took and processed the data, and derived so much good physics from the data
	- Ian Shipsey whose contributions, vitality, and good cheer constantly encouraged the rest of us