







Machine learning and model calibration at the RHIC injector compound

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Summary

- Simulation studies with magnet misalignment at the AGS Booster
- Current to magnet strength calibration using orbit response at the AGS Booster
- AGS Booster injection optimization with Bayesian optimization



Motivation

Relativistic Heavy Ion Collider

(RHIC): world's only high-energy polarized proton beam and largest operating accelerator in the US

 \rightarrow unique opportunities to study from where nuclei obtain their spin

Electron Ion Collider (EIC): new successor to RHIC; will collide polarized proton and electron beams



Increase in instrument complexity for EIC will require new tools to optimize accelerator performance and maximize the utility of polarized beam experiments

Motivation

<u>Alternating Gradient Synchrotron</u> (AGS) and its <u>Booster</u> serve as part of the <u>injector</u> <u>compound</u> for RHIC and future EIC

| Typical Top Energies [Total, GeV/N] | | | | |
|-------------------------------------|-----|--------------|--|--|
| | Au | Pol. Protons | | |
| Linac (H ⁻) | | 1.1 | | |
| Booster | 1 | 2.3 | | |
| AGS | 10 | 23.8 | | |
| RHIC | 100 | 255 | | |

Bright ion beams in AGS / Booster are required for optimal luminosity and highest polarization in RHIC and EIC



| Heavy lons | Protons |
|--------------------------|--|
| E-beam Ion Source (EBIS) | OPPIS (polarized) |
| Tandem Van de Graaf | High-intensity H ⁻ (unpolarized) |

Polarization at RHIC

| | Max Energy [GeV] | Pol. At Max Energy [%] | |
|--------------|------------------------|---------------------------|----------------------|
| Source+Linac | 1.1 | 82-84 | |
| Booster | 2.5 | ~80-84 | |
| AGS | 23.8 | 67-70 | p-Carbon |
| RHIC | 255 | 55-60 | Jet, full store avg* |
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Loss in polarization along the chain

| | Relative Ramp Polarization Loss (Run 17, full run avg) |
|------|--|
| AGS | 17 % |
| RHIC | 8 % |



Polarimetry available at:

- Source
- End of Linac (200 MeV)
- AGS extraction
- RHIC injection energy
- RHIC flattop

No Booster polarimeter

Alternating Gradient Synchrotron (AGS) Booster



- Pre-accelerate particles entering the AGS ring
- Accepts heavy ions from EBIS or protons from 200 MeV Linac
- Serves as heavy ion source for NASA Space Radiation Laboratory (NSRL)
- 6 super-periods (A to F), 72 main magnets





Simulation studies with magnet misalignment at the ASG Booster



Booster magnet misalignment

- Magnet location in real machine from 2015 survey data
- Misalignment data for quadrupoles and dipoles
- There has been trouble with making physics simulation with misalignment agree with real orbit data



Booster Magnet Misalignment

Misalignment simulation

- Simulation studies were done using Bmad Booster model to see how magnet misalignments affect the bare orbit (orbit with all correctors off).
- Survey misalignments from 2015 were used as the baseline values in the model.
- Three scenarios were studied: only misalign dipoles, only misalign quadrupoles, and misalign both.
- Using survey data as mean, normal distributions of misalignment values with 5% standard deviation were simulated.

Misalignment simulation results

- Quadrupole misalignment has much bigger impact on bare orbit than dipoles, especially in the vertical plane.
- 5% standard deviation can result in deviations as large as 2 mm.
- Further studies needed to compare simulation to real bare orbit.



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Current to magnet strength calibration using orbit response at the AGS Booster



Magnet current to strength mapping

- <u>Magnet transfer function</u>: mapping between the power supply (PS) current and the resulting strength of a magnet
- Example: 5th order polynomial for Booster quadrupoles
- Transfer functions are measured before the magnets were installed in the ring, and there is <u>no existing way to verify them</u> after installation.



CAD script to get real orbit responses

- Script development with Collider Accelerator Department (CAD) Controls Group
- FunctionEditor: send trapezoid-like timedependent function to corrector power supplies
- Script sets three corrector settings: positive, zero, negative; and save corresponding orbits
- All magnet settings (including dipoles and quadrupoles etc.) are saved for model comparison



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Orbit response data

- 2 difference orbits between 3 corrector settings: positive zero, negative zero
- Magnet settings saved during data collection are loaded into Bmad to generate simulated difference orbits
- Good agreements are reached, despite some faulty BPMs (i.e., PUEHC8)
- Small discrepancies (within 1 mm) beyond error bars could be results of inaccurate magnet transfer functions

Quadrupole transfer function calibration

- Discrepancies of difference orbits can be due to inaccurate quadrupole transfer function in the model (PS current → k1 value)
- Adjustments in k1 values of the quadrupoles are shown to affect difference orbit
- MSE between measurement and model decreases from 0.069 to 0.038



Summary of model calibration

- Simulation studies were done to show how magnet misalignments affect the bare orbit.
- Difficult to match model to reality, need new survey data.
- ORM script shows rough difference orbit agreement between measurements and Bmad simulation.
- Small deviations in difference orbit can come from inaccurate quadrupole transfer functions.
- Further investigation is underway to find best quadrupole adjustments to make model agree with measurements.

AGS Booster injection optimization with Bayesian Optimization



Booter injection

- Booster injection/early acceleration process sets maximum beam brightness for rest of acceleration through RHIC
- Linac pulse of 300 us, H⁻ beam ~6-9x10¹¹ protons, strip through a carbon foil
- Intentional horizontal and vertical scraping reduce emittance (and intensity) to RHIC requirements
- Goal: minimize beam loss at scraper / maximize beam intensity after scraping
- Controls: Linac to Booster (LtB) transfer line optics
- Method: Bayesian Optimization





ML package: Xopt

- Flexible **framework** for optimization of arbitrary problems using python
- **Independent** of problem type (simulation or experiment)
- Independent of optimization algorithm & easy to incorporate custom algorithms
- Easy to use text interface



https://github.com/ChristopherMayes/Xopt

Xopt structure



Note: this process can also be done asynchronously

LtB controls and measurement

- 13 quadrupoles and 16 correctors between Linac and Booster
- Common practice to improve Booster injection efficiency: tune
 last few correctors at the end of the LtB line
- Criteria to check injection efficiency: Booster early and late intensity





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LtB corrector scan

 All 16 correctors were scanned on Jan 25, 6:55pm – 8:20pm, on PPM user 4 until Booster late intensity dropped by 50%



Operator: Petra Adams

NN model for LtB scan

- Inputs: 15 correctors (Ihn-d009 is excluded due to insensitivity)
- Outputs: 2 intensities (Bstr_Early, Bstr_Late)
- Got rid of points where input intensity dropped to zero
- Normalized inputs, standardized outputs



NN model for LtB scan

- 15 correctors \rightarrow 2 intensities
- 2 hidden layers: ReLU + Tanh
- Training data 75% (893 points), testing data 25% (297 points), R^2 score = 0.85



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Test Xopt on LtB scan NN model

- Controls: Power supply currents of three correctors (two horizontal, one vertical) at the end of the LtB line
- Booster late beam intensity (after scraping, before extraction to the AGS)
- BO algorithm developed using Xopt, using 242 LtB scan data points as training data
- Algorithm converged within 50 samples



Test Xopt on real machine

- Controls: Power supply currents of correctors and quadrupoles at the end of the LtB
- Goal: maximize Booster late beam intensity (after scraping, before extraction to the AGS)
- Objective function: send PS current to selected magnets, wait 5 seconds (each Booster cycle/injection pulse lasts ~ 4 seconds), read and return Booster intensity measurement
- BO algorithm developed using Xopt, with added features such as interpolated optimization and trust region BO (tuRBO)

Case 1: 2 correctors

- Feb 26, PPM user 4, 7pm 9pm
- Controls: Power supply currents of two correctors (one horizontal, one vertical) at the end of the LtB line
- Algorithm converged within 100 samples (15-20 minutes)



Case 2: 4 correctors

- Feb 27, PPM user 4, 7pm 9pm
- Controls: Power supply currents of four correctors (two horizontal, two vertical) at the end of the LtB line
- Algorithm converged within 120 samples (20-25 minutes)



Case 3: 2 correctors + 2 quadrupoles

Operator: Petra Adams

- Mar 4, PPM user 3, 7pm 9pm
- Controls: Power supply currents of two correctors and two quadrupoles at the end of the LtB line
- Beam size decrease in both planes in the BtA line in correspondence with intensity increase





Case 4: horizontal only

Operator: Vincent Schoefer

- Mar 13, PPM user 4, 9:30am 10am
- Controls: Currents of two
 horizontal correctors and two
 horizontal quads
- Maximize Booster late intensity / input intensity (to reduce noise)
- Initial beam was sabotaged by changing magnets in the middle of LtB line
- Degeneracy problem: objective value converges but input values don't



Case 5: vertical only

Operator: Vincent Schoefer

- Mar 14, PPM user 4, 12:30pm
 1pm
- Controls: Power supply currents of two vertical correctors and two vertical quads
- Maximize Booster late intensity / input intensity (to reduce noise)
- Initial beam was sabotaged by changing magnets in the middle of LtB line
- Degeneracy problem persists



Summary of ML test

- Bayesian optimization algorithm has been demonstrated to work well to improve and maintain Booster injection efficiency in both planes under different system settings (PPM users).
- If controls include upstream and downstream LtB magnets, changes made in the middle can be compensated to bring Booster beam intensity back up.
- Decrease in beam size in the BtA is observed in both planes in correspondence with intensity increase, which signals decrease in emittance.
- Degeneracy problem encountered during experiment may need further investigation.







- Petra Adams, Kevin Brown, Yuan Gao, Levente Hajdu, Kiel Hock, Natalie Isenberg, Vincent Schoefer, Nathan Urban, Keith Zeno
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