

# Phase Space Reconstruction using Differentiable Simulations and Neural Networks

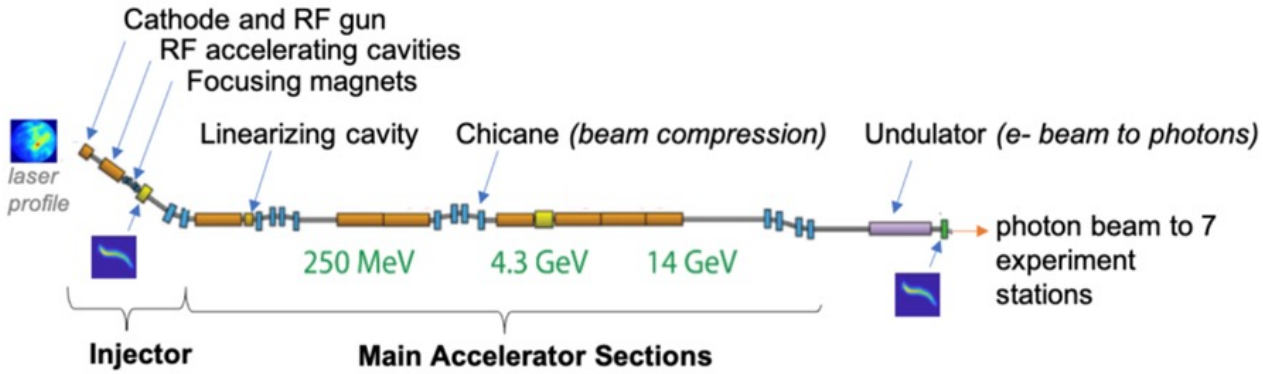
*CBB Annual Meeting*

*Cornell University - July 20<sup>th</sup>, 2023*

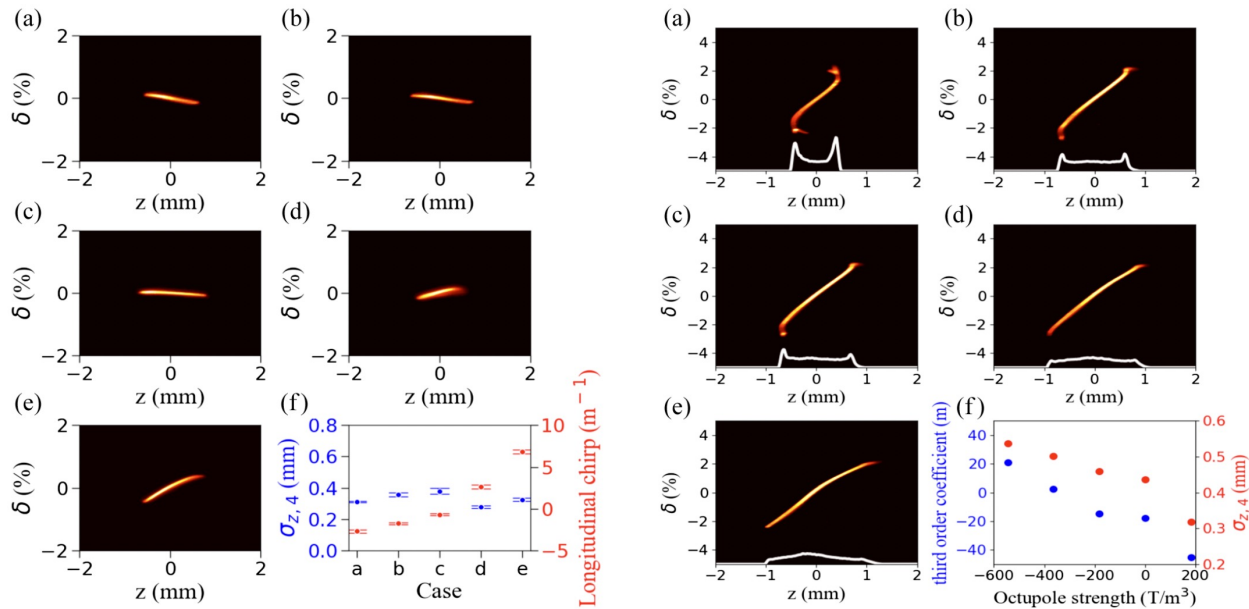
**J.P. Gonzalez-Aguilera\*** (UChicago)



# Manipulating Beams in Phase Space

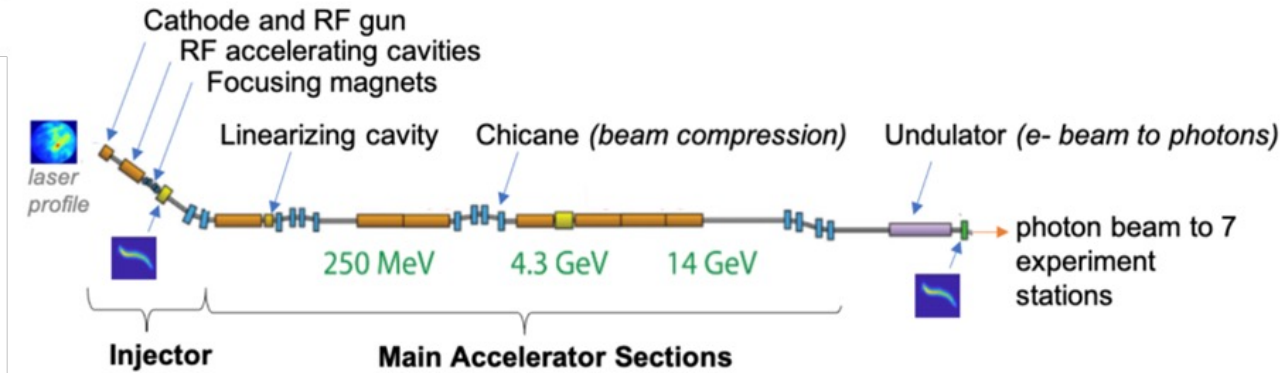


[PRAB 21, 112802 \(2018\)](#)

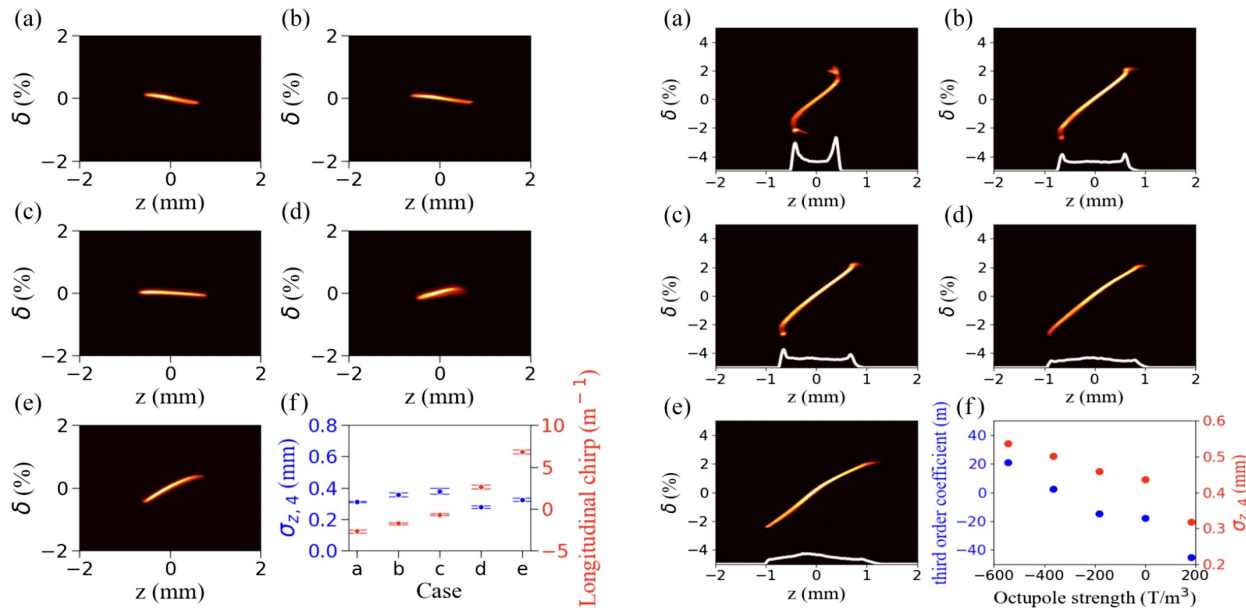


[PRL 129, 224801 \(2022\)](#)

# Manipulating Beams in Phase Space



[PRAB 21, 112802 \(2018\)](#)



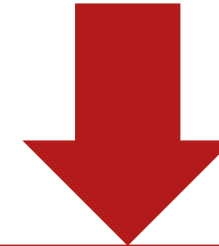
[PRL 129, 224801 \(2022\)](#)

General Accelerator R&D Program

## Accelerator and Beam Physics Roadmap

DOE Accelerator Beam Physics Roadmap Workshop

September 6–8, 2022



### 5 Grand Challenge Three

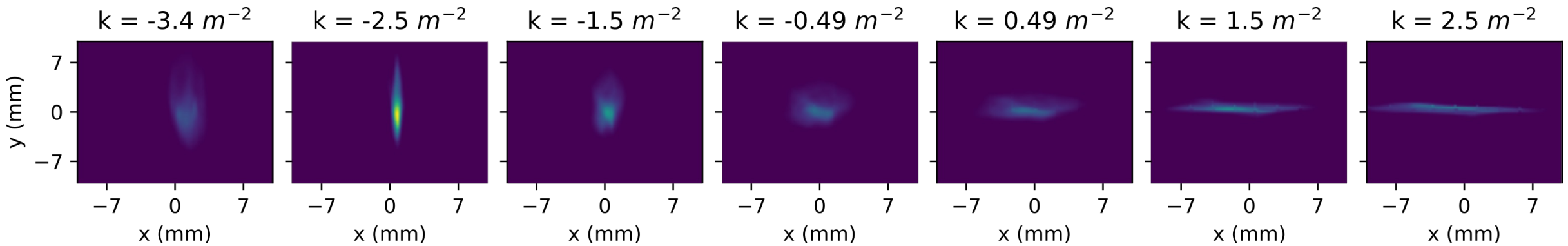
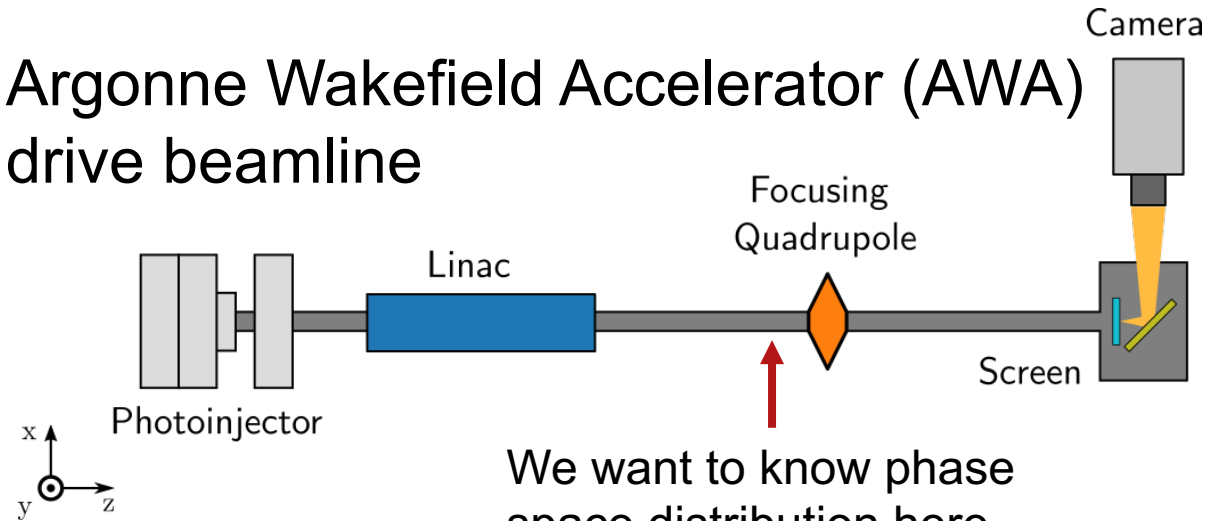
*Beam Control: How do we control and diagnose the beam distribution at all scales—from its macroscopic properties down to the level of individual particles?*

**Detailed measurement of beam phase space distribution is important!**

# Phase space distribution measurements



## Argonne Wakefield Accelerator (AWA) drive beamline

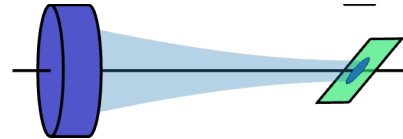
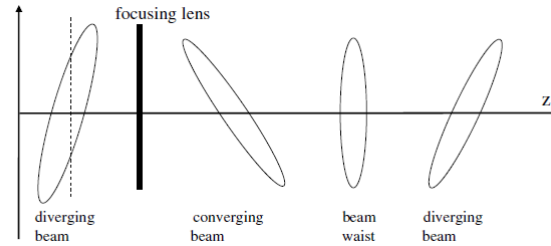


**How do I get the most information out of these in an efficient way?**

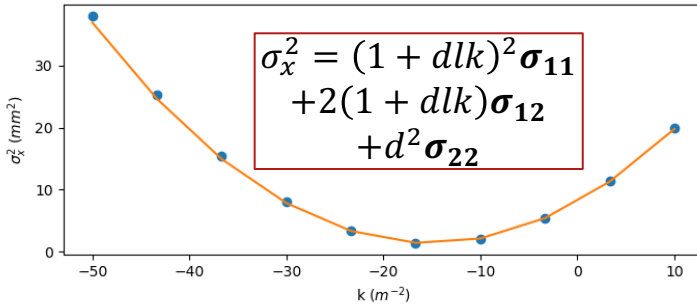
# Usual Approaches

## Simple quad scan:

- rotate beam by scanning focusing strength
- measure the beam size
- Fit and solve for  $\varepsilon$



$$\varepsilon = \sqrt{\sigma_{11}\sigma_{22} - \sigma_{12}^2}$$

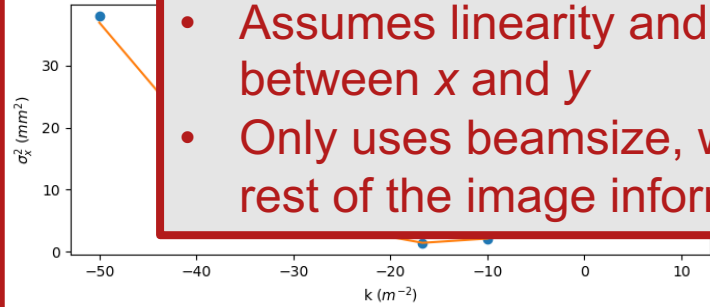
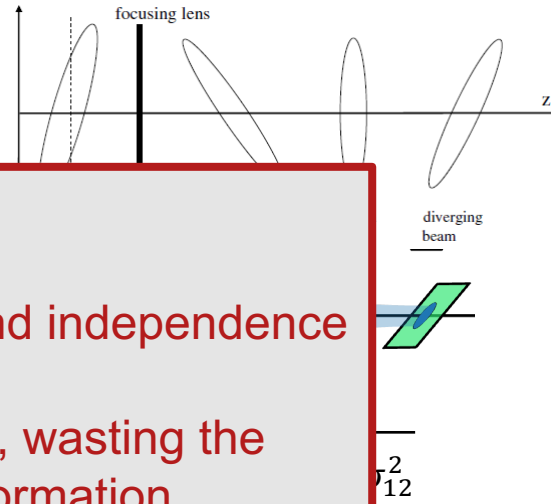


# Usual Approaches

## Simple quad scan:

- rotate beam by scanning focusing strength

- measure
- Fit

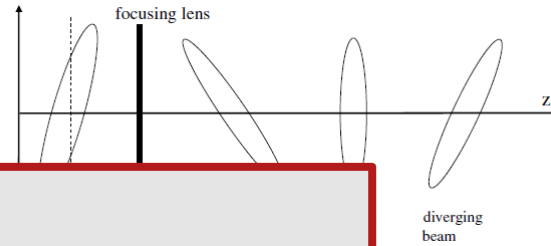


- Easy fit
- Not detailed
- Assumes linearity and independence between x and y
- Only uses beamsize, wasting the rest of the image information

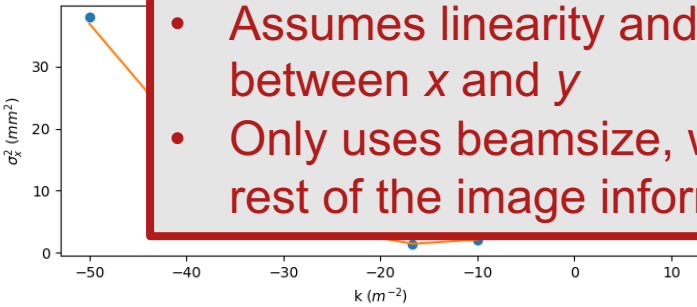
# Usual Approaches

## Simple quad scan:

- rotate beam by scanning focusing strength

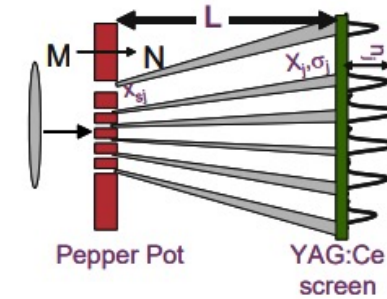


- me
  - Fit
- Easy fit
  - Not detailed
  - Assumes linearity and independence between  $x$  and  $y$
  - Only uses beamsize, wasting the rest of the image information



## Specialized diagnostics:

- pepper-pot (single-shot 4D)
- Multi-slit (single-shot 2D)
- Moving slit (multiple measurements)

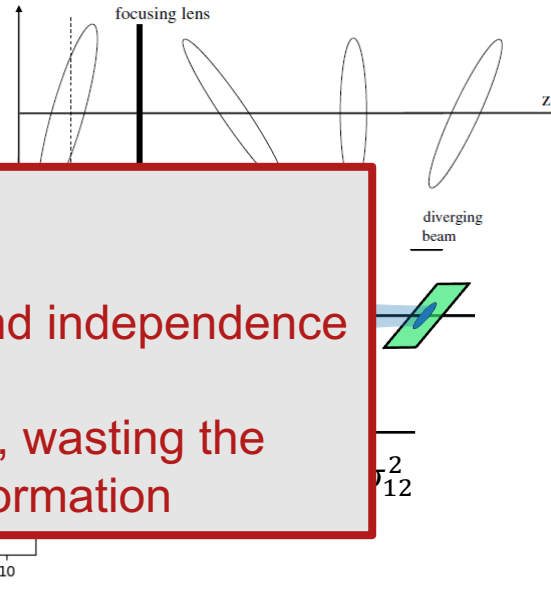


Power. J. et al PAC07, 2007

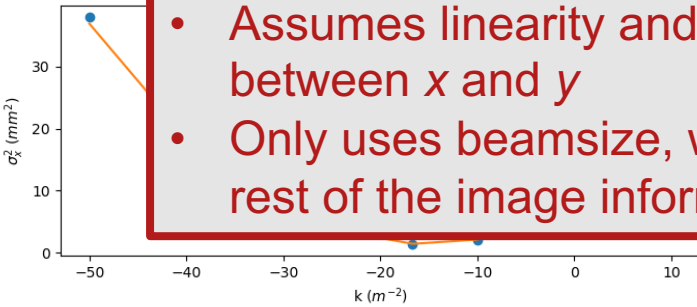
# Usual Approaches

## Simple quad scan:

- rotate beam by scanning focusing strength



- me
- Fit
- Easy fit
- Not detailed
- Assumes linearity and independence between x and y
- Only uses beamsize, wasting the rest of the image information



## Specialized diagnostics:

- pepper-pot (single-shot 4D)
- Multi-slit (single-shot 2D)
- Mo

- Fast
- Not as detailed as we would like
- Design considerations for different beam sizes / charges
- Wastes information: only uses beamlets intensities, positions and sizes

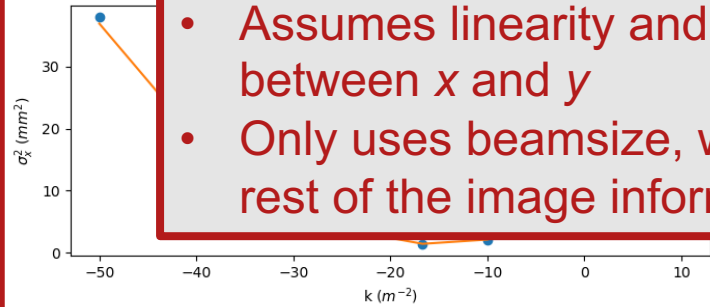
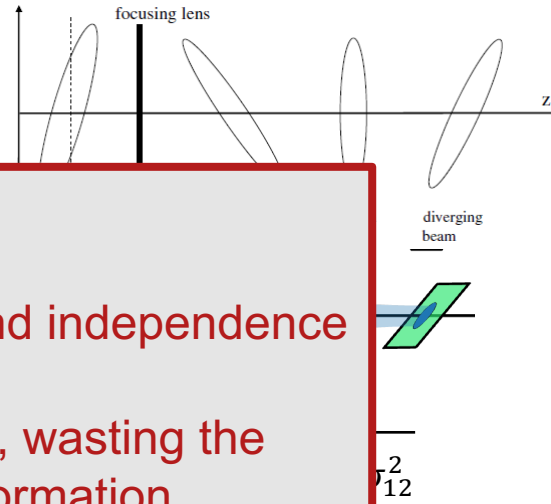
Power. J. et al PAC07, 2007



# Usual Approaches

## Simple quad scan:

- rotate beam by scanning focusing strength
- measure
- Fit



- Easy fit
- Not detailed
- Assumes linearity and independence between x and y
- Only uses beamsize, wasting the rest of the image information

## Specialized diagnostics:

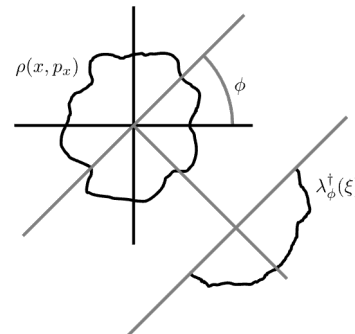
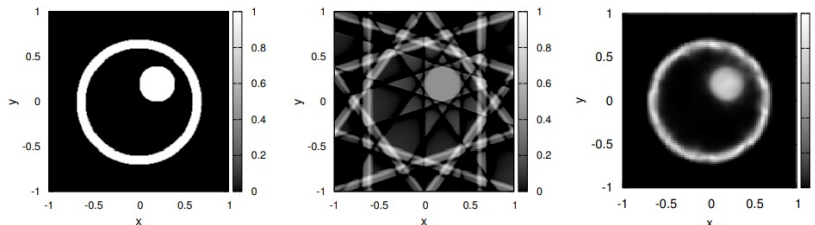
- pepper-pot (single-shot 4D)
- Multi-slit (single-shot 2D)
- Mo

- Fast
- Not as detailed as we would like
- Design considerations for different beam sizes / charges
- Wastes information: only uses beamlets intensities, positions and sizes

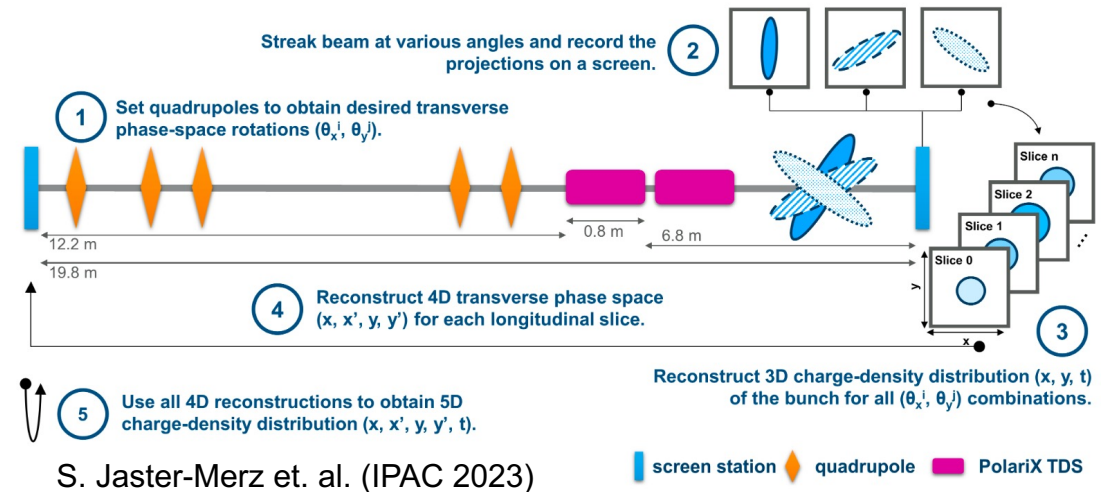
Power. J. et al PAC07, 2007

## Advanced tomographic methods:

- Maximum entropy tomography (MENT)
- Algebraic reconstruction (ART, SART)



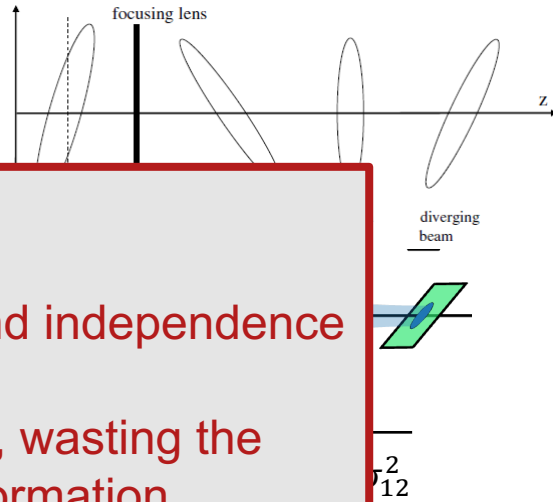
Hock K. and Ibson M., JINST, 2013



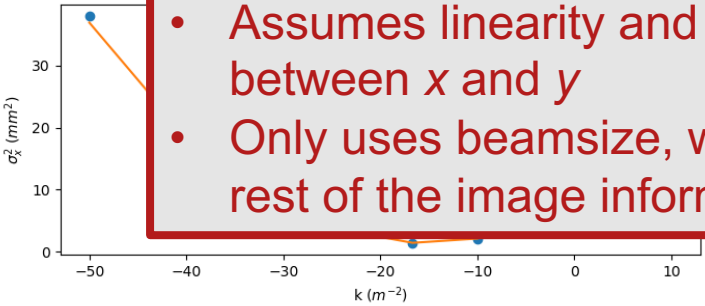
# Usual Approaches

## Simple quad scan:

- rotate beam by scanning focusing strength
- measure
- Fit



- Easy fit
- Not detailed
- Assumes linearity and independence between x and y
- Only uses beamsize, wasting the rest of the image information



## Specialized diagnostics:

- pepper-pot (single-shot 4D)
- Multi-slit (single-shot 2D)
- Mo

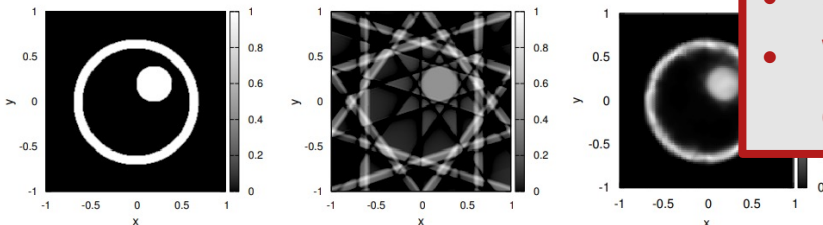
- Fast
- Not as detailed as we would like
- Design considerations for different beam sizes / charges
- Wastes information: only uses beamlets intensities, positions and sizes

Power. J. et al PAC07, 2007

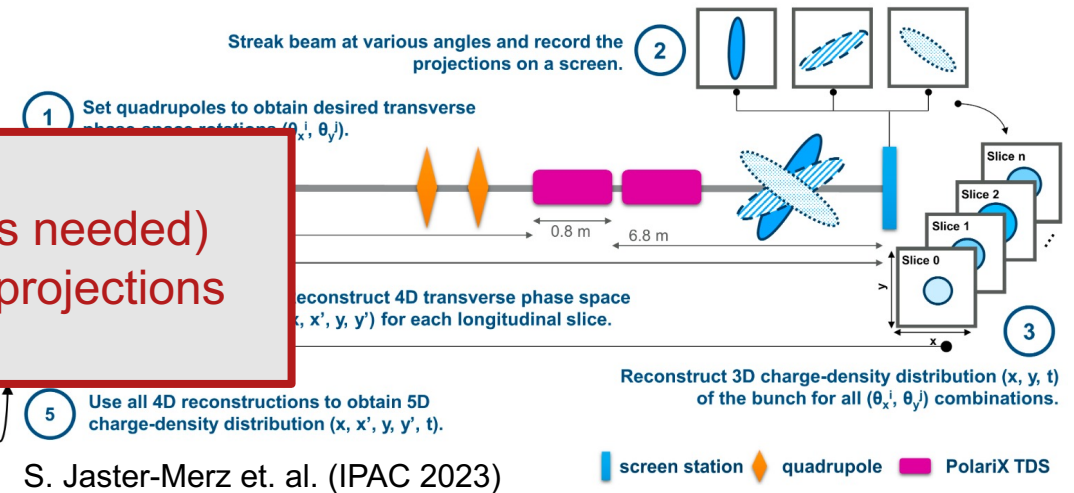
## Advanced tomographic methods:

- Maximum entropy tomography (MENT)
- Algebraic reconstruction (ART, SA)

- Very detailed
- Slow (many observations needed)
- Wastes information: 1D projections only.

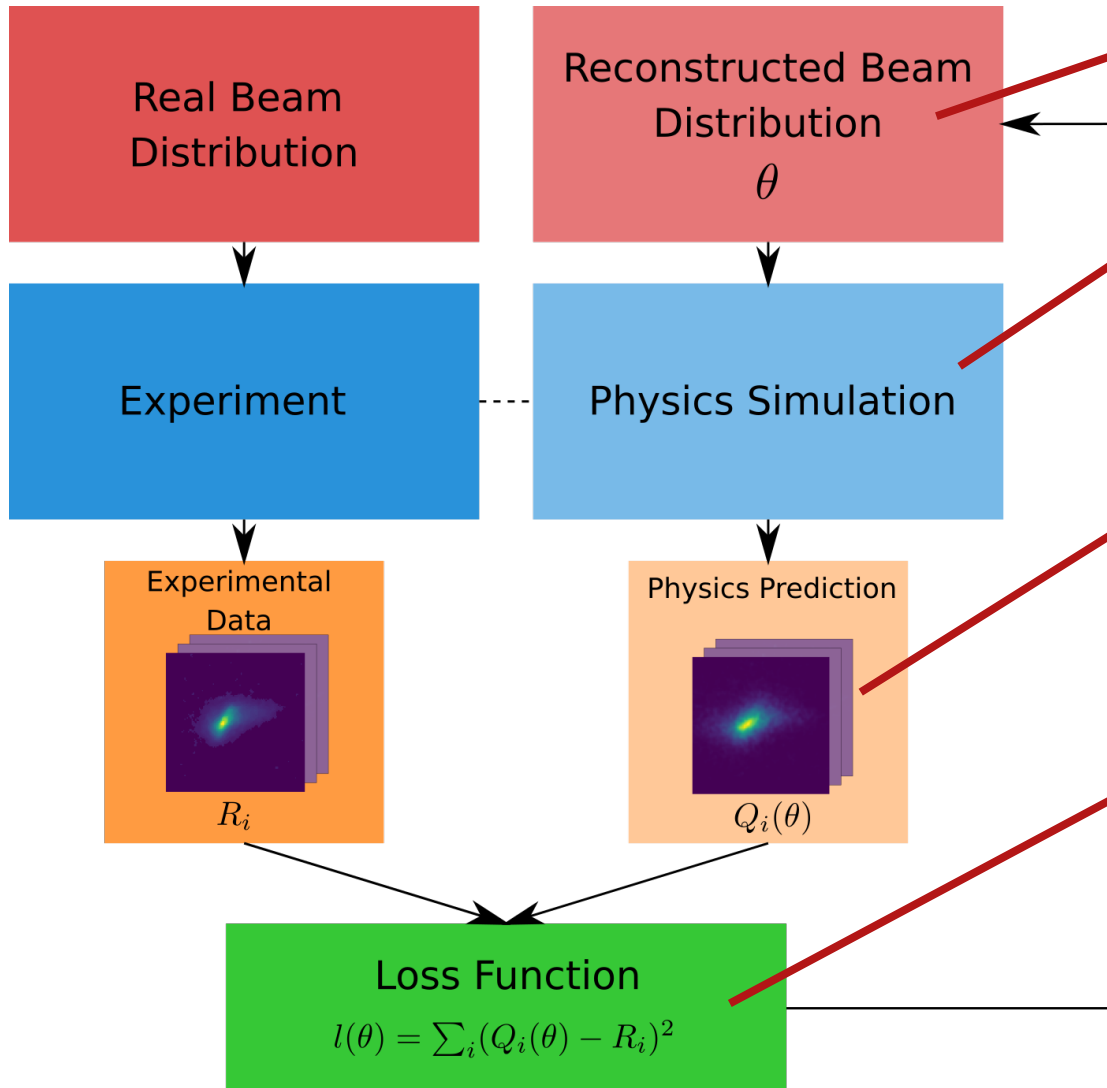


Hock K. and Ibson M., JINST, 2013



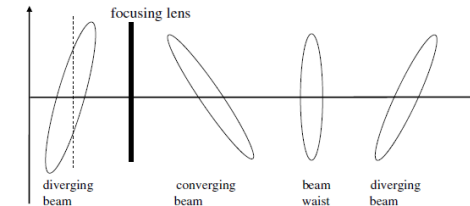
S. Jaster-Merz et. al. (IPAC 2023)

# Phase Space Fitting as optimization problem



## Simple quad scan:

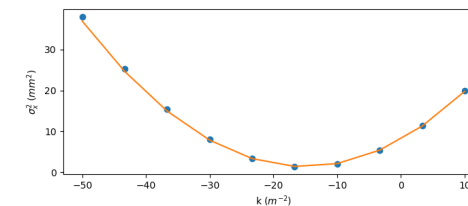
- Beam distribution is assumed to be elliptical. Fully parametrized by  $\sigma_{xx}$ ,  $\sigma_{xp_x}$ ,  $\sigma_{p_x p_x}$
- Assume linear transport of elliptical beam



Beam sizes from screen downstream

$$\sigma_x^2 = (1 + dlk)^2 \sigma_{11} + 2(1 + dlk) \sigma_{12} + d^2 \sigma_{22}$$

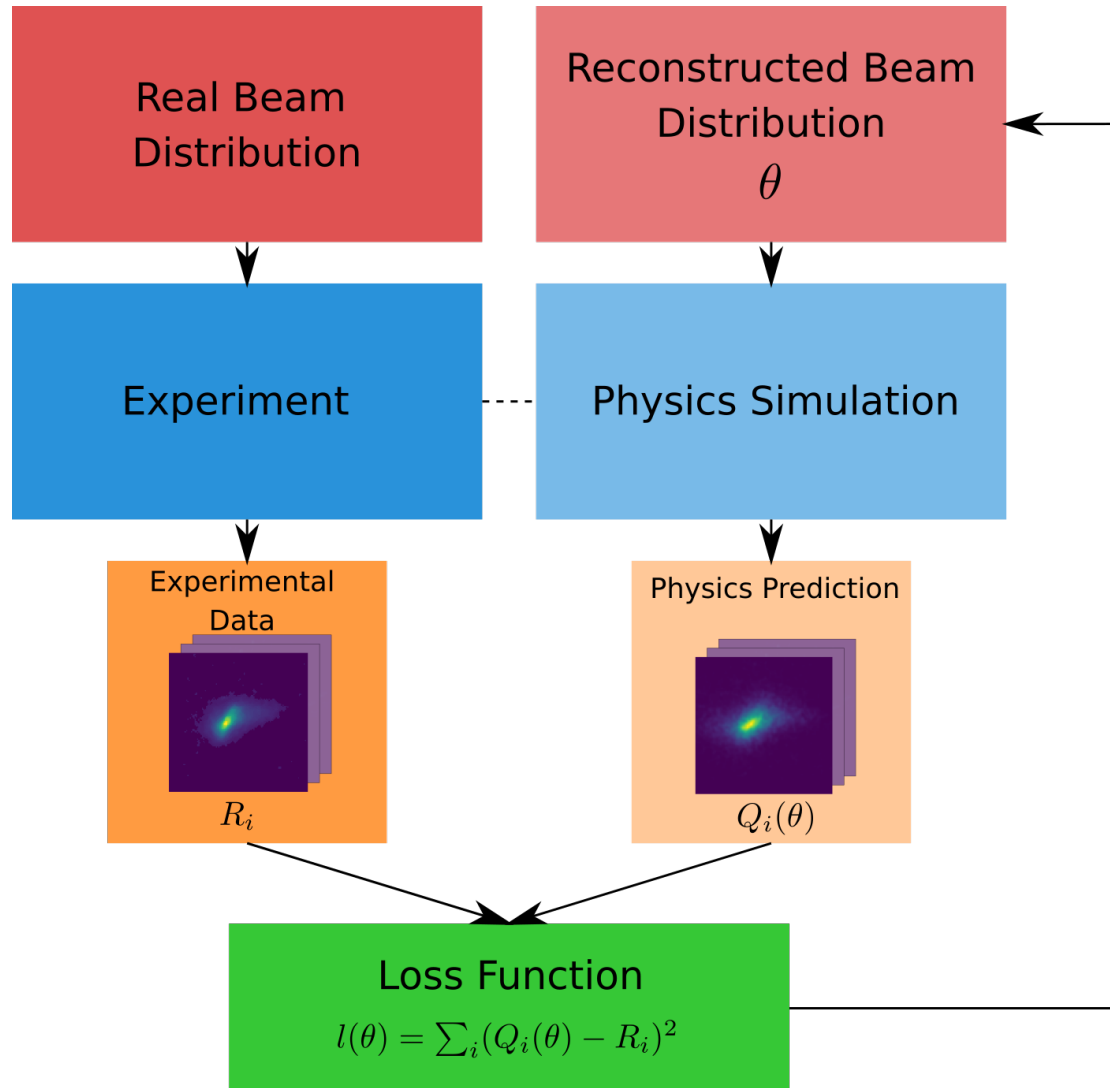
Error of the quadratic fit



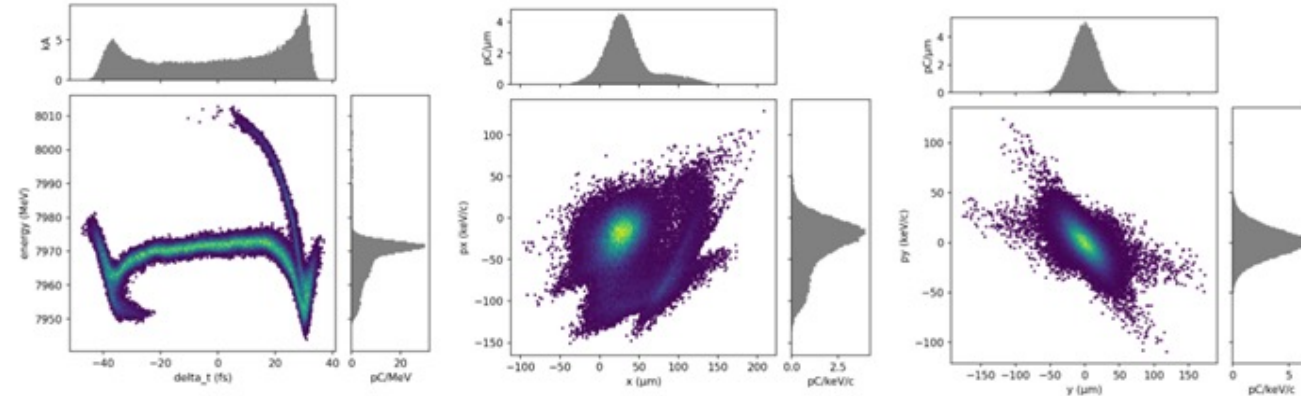
Result:

- Elliptical 2D phase space consistent with beam size measurements.

# Phase Space Fitting as optimization problem



We want more detail:

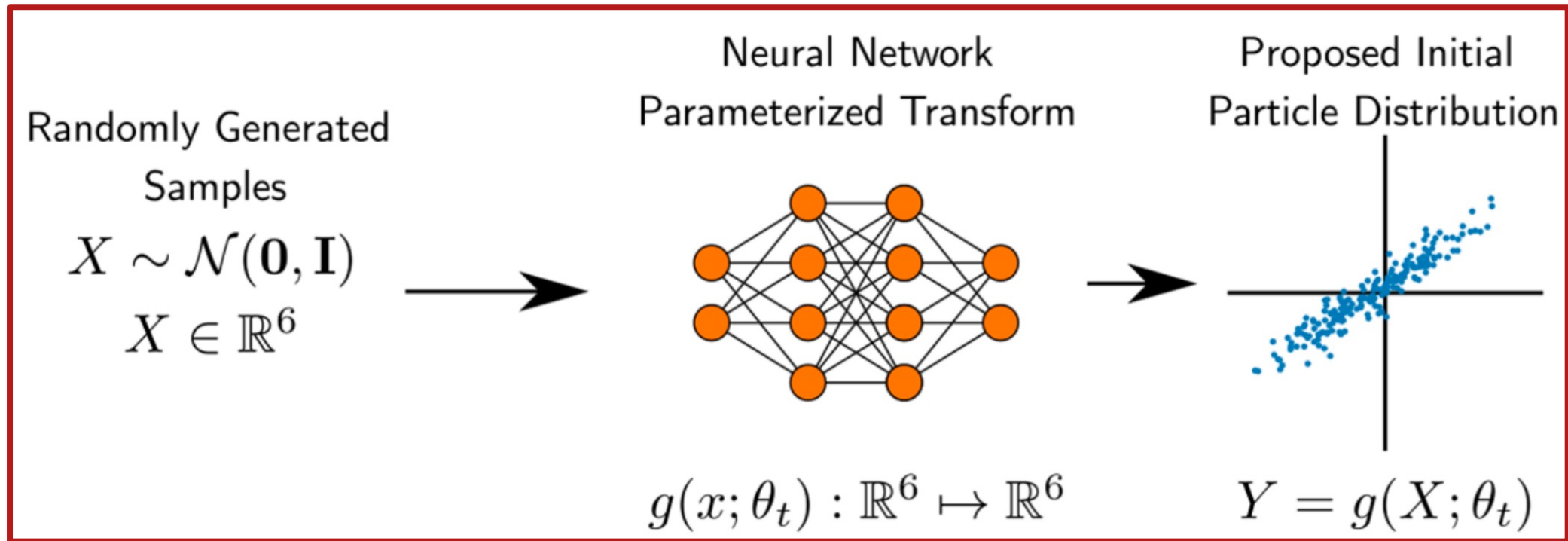


\*LCLS

- How do we **parametrize** the beam 6D phase-space distribution in a **flexible** and **learnable** way?
- How do we run **simulations** that support **optimization** of extremely **high dimensional problems** (~1k parameters)?

# Neural Network Parameterization of Beam Distributions

- 6D phase space distribution parametrization that is
  - flexible
  - learnable



Fully connected NN with  $\sim \mathbf{O(1k)}$  parameters

# Differentiable Simulations (Automatic Differentiation)

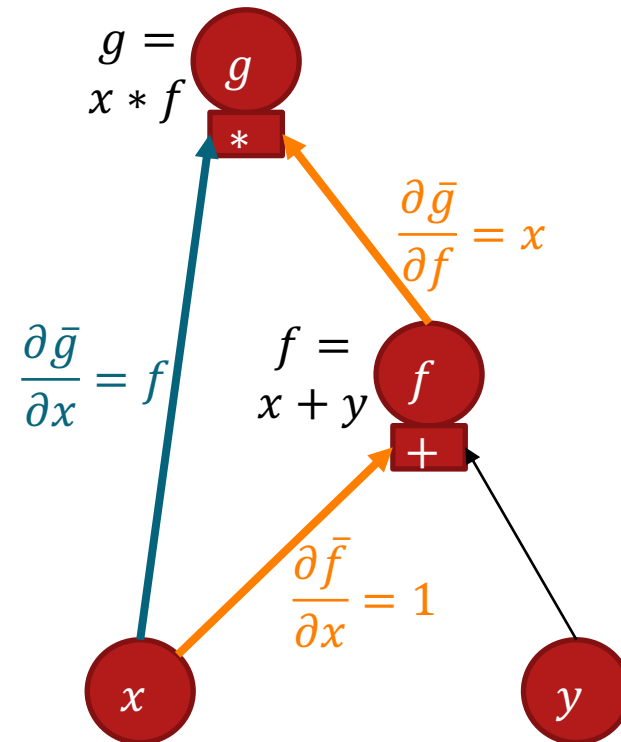
Keep track of derivative information during every calculation step using the chain rule and memory.

**Fast** and **accurate** high-dimensional gradients

Enables **gradient-based optimization** of model with respect to all free parameters.

Easily optimize models with >10k free parameters.

$$\begin{aligned}f(x, y) &= x + y, \\g(x, f(x, y)) &= x * f(x, y), \\x &= 3, \\y &= 2.\end{aligned}$$



$$\frac{\partial g}{\partial x} = \frac{\partial \bar{g}}{\partial x} + \frac{\partial \bar{g}}{\partial f} * \frac{\partial \bar{f}}{\partial x}$$

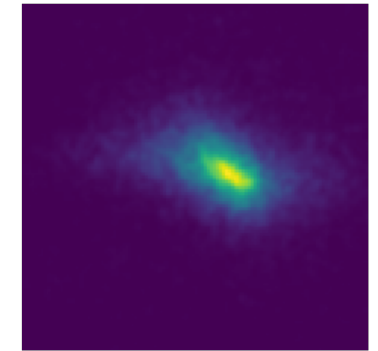
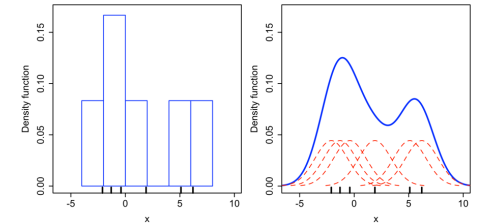
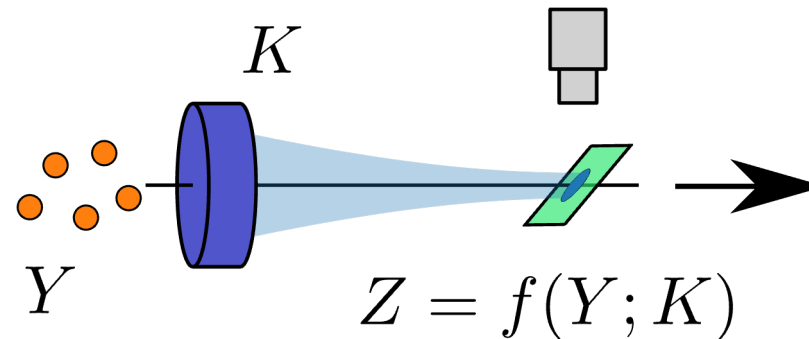
# Differentiable Simulations (Automatic Differentiation)

Keep track of derivative information during every calculation step using the chain rule and memory.

**Fast** and **accurate** high-dimensional gradients

Enables **gradient-based optimization** of model with respect to all free parameters.

Easily optimize models with >10k free parameters.



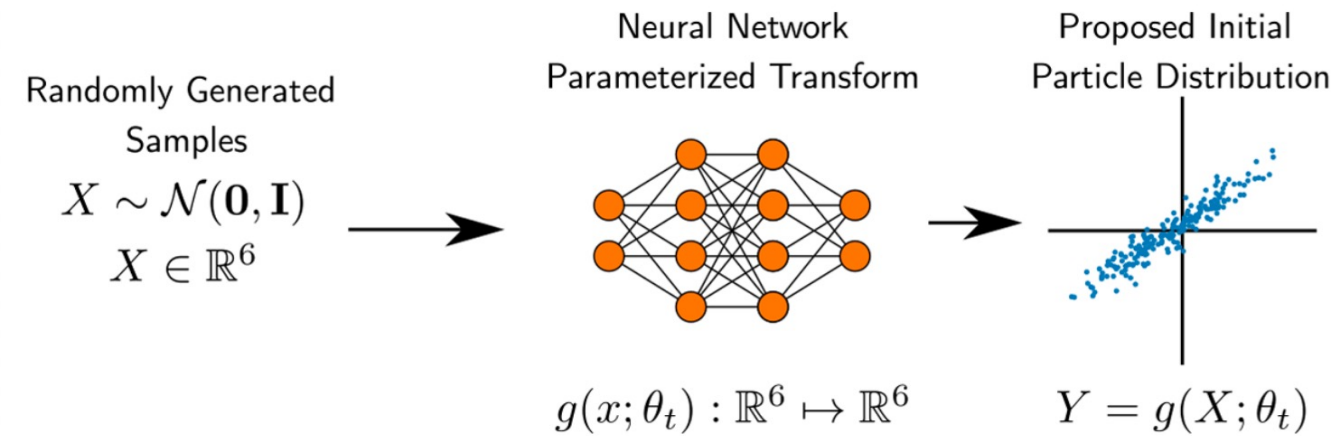
$$Q^{(i,j)} = \text{KDE}(Z)$$

$$\frac{\partial Z}{\partial Y}, \frac{\partial Z}{\partial K}, \frac{\partial \sigma_Z}{\partial K}, \dots$$

$$\frac{\partial Q^{(i,j)}}{\partial Y}, \frac{\partial Q^{(i,j)}}{\partial K}$$

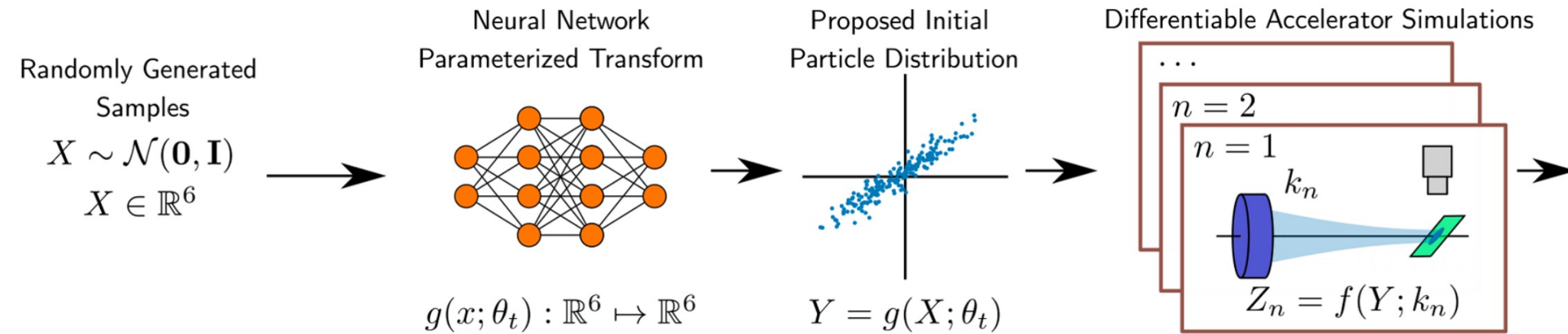
**Poster tomorrow!**

# Phase Space Reconstruction Pipeline

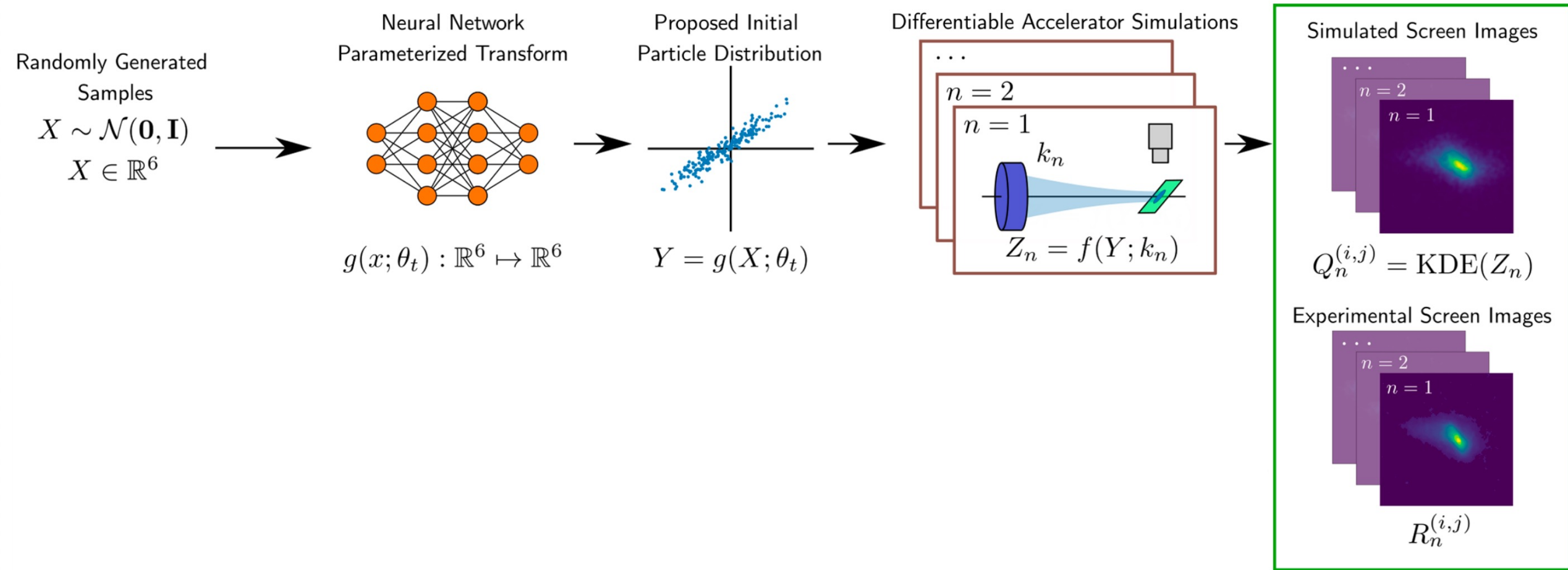




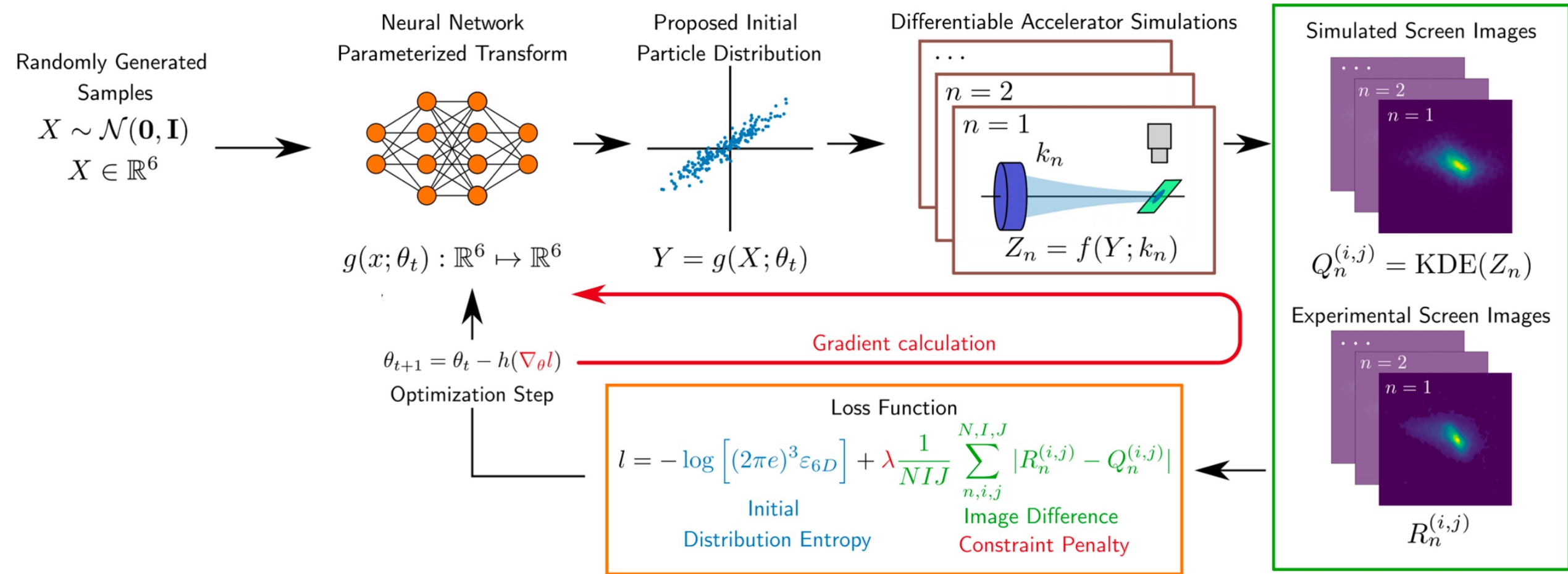
# Phase Space Reconstruction Pipeline



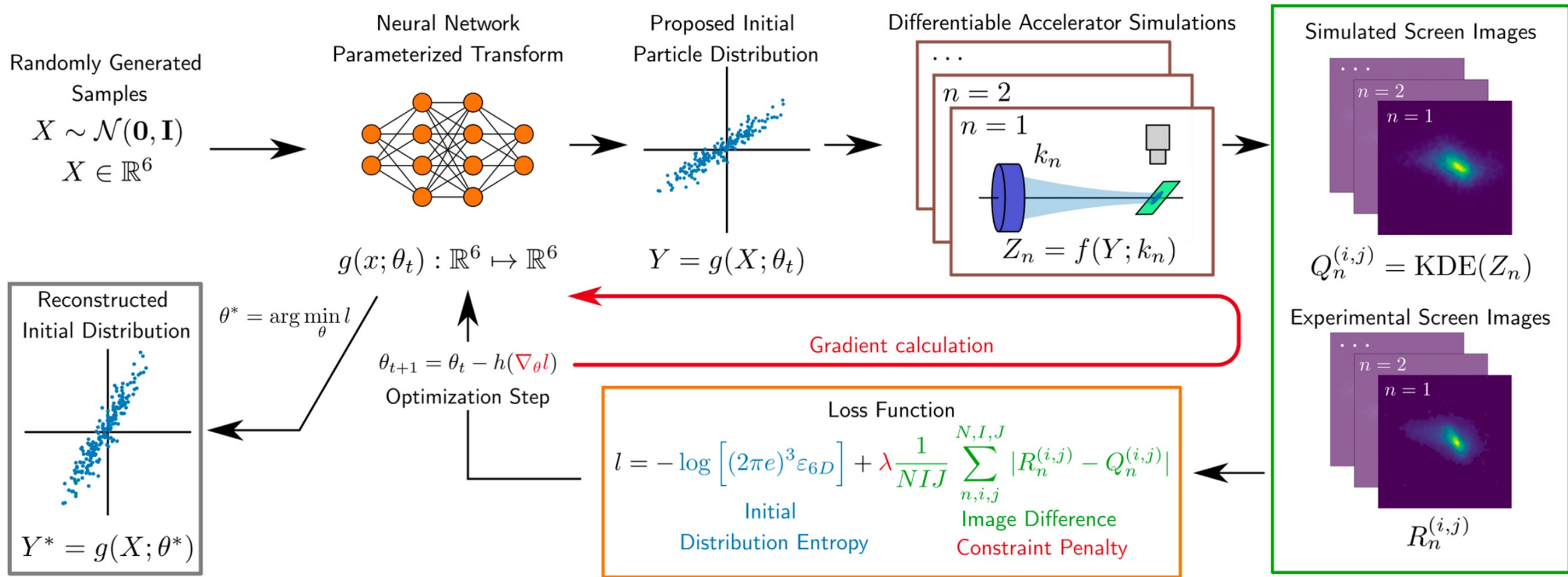
# Phase Space Reconstruction Pipeline



# Phase Space Reconstruction Pipeline

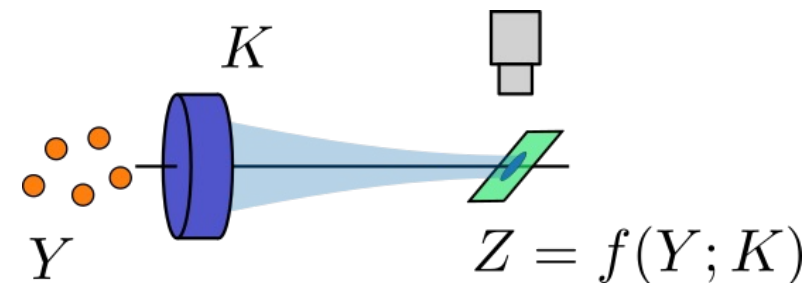
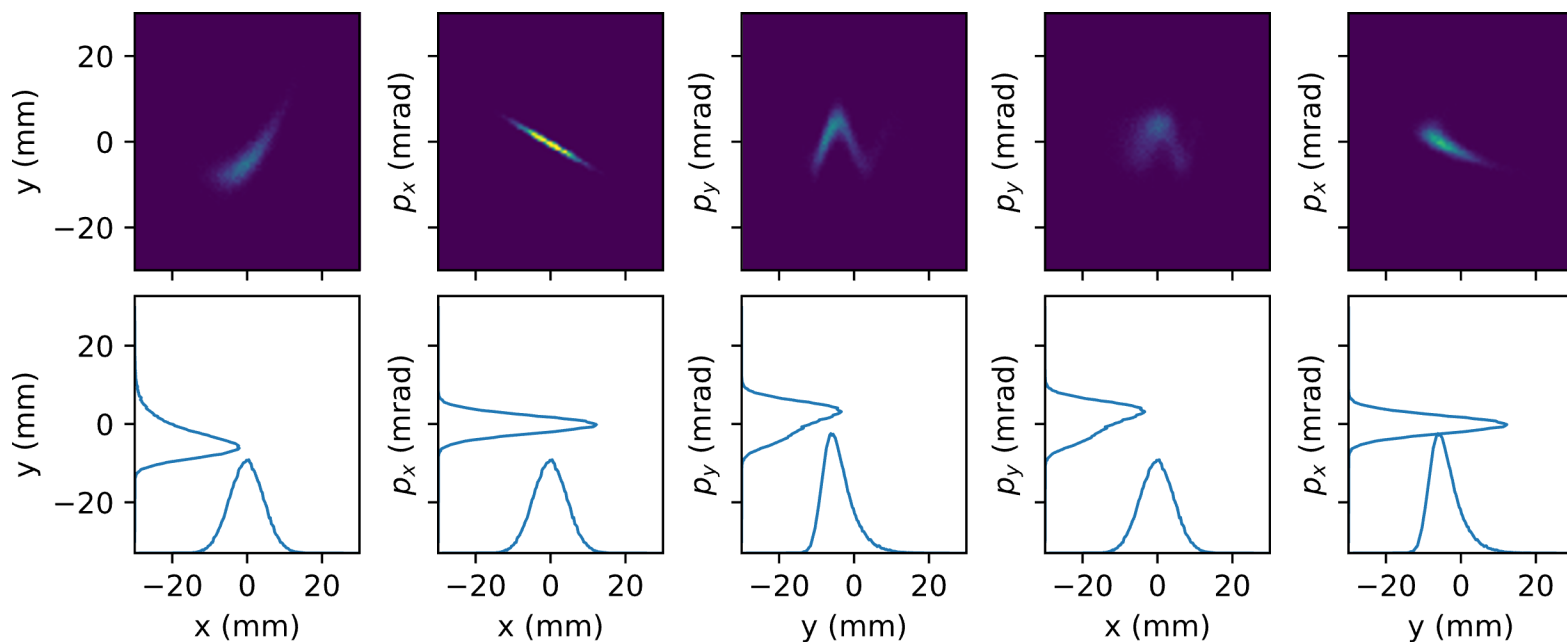


# Phase Space Reconstruction Pipeline

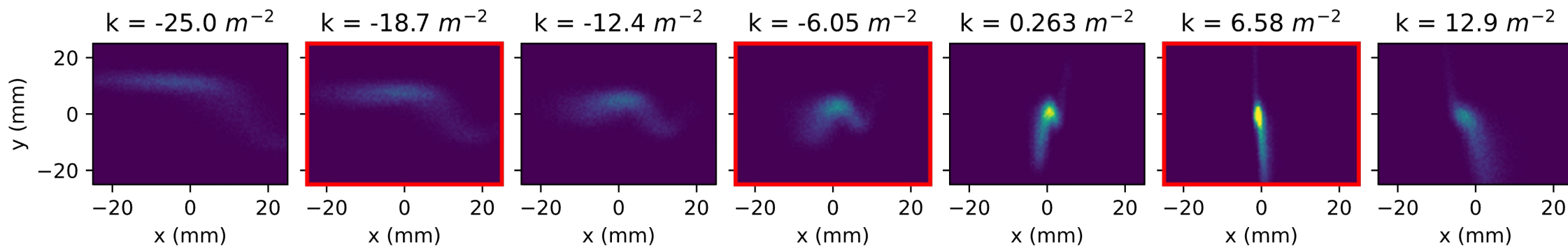


# Synthetic Example

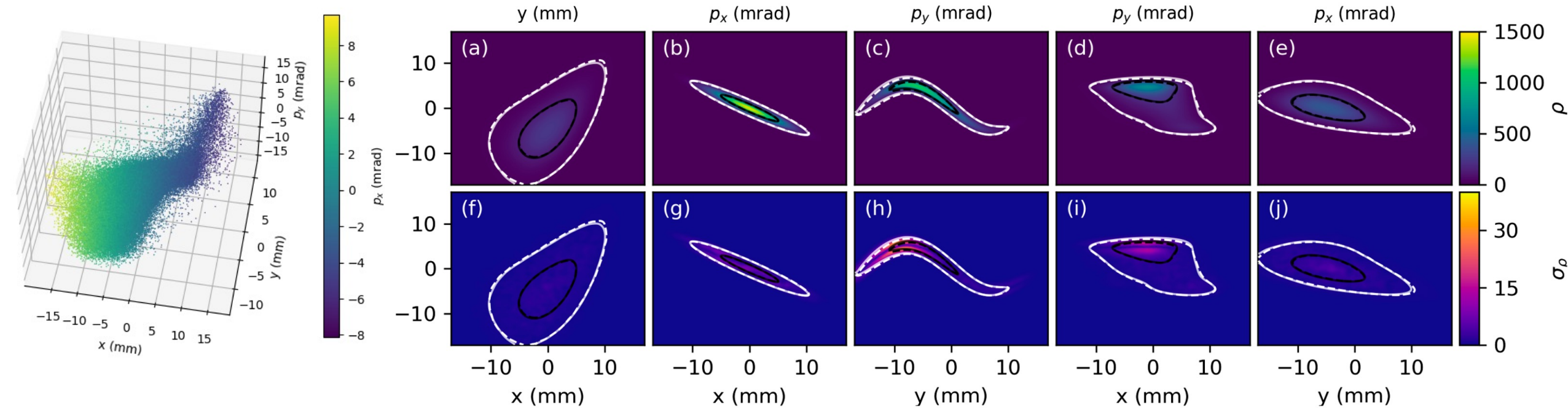
## Synthetic beam distribution in simulation



## Screen images



# Synthetic Example Reconstruction



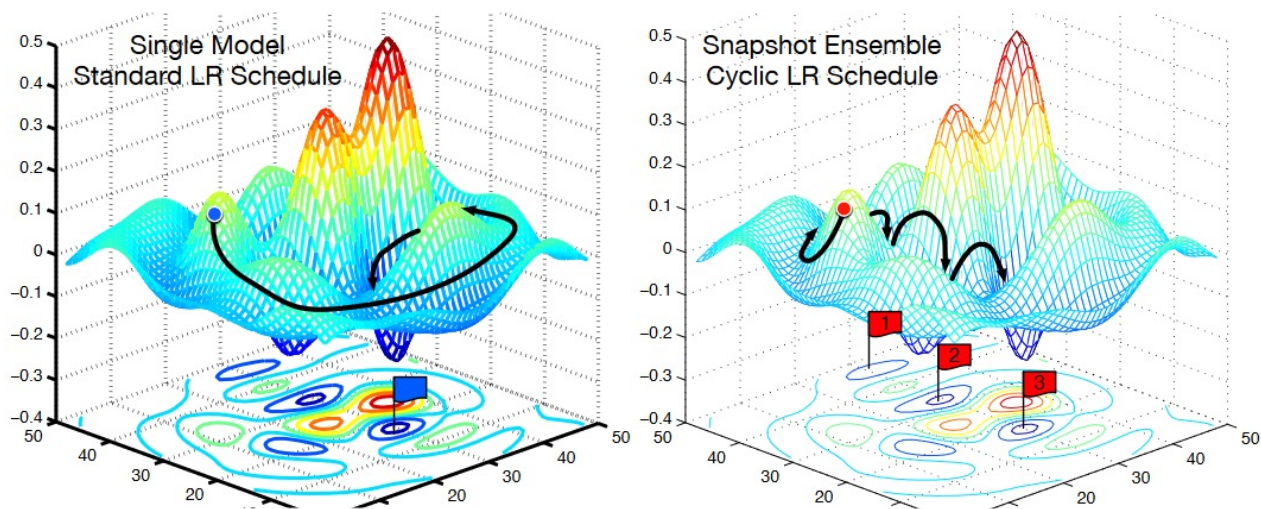
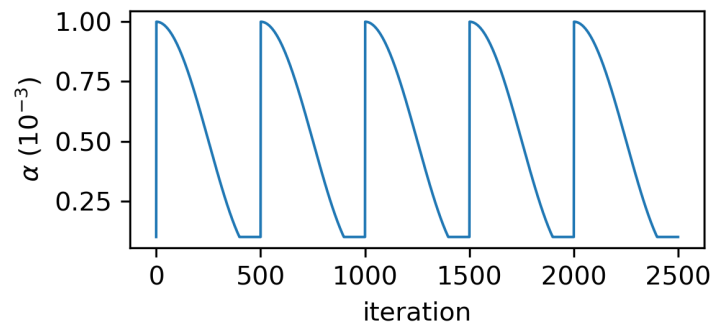
**Detailed reconstruction of 4D phase space with only**

- a quadrupole and a screen
- 10 images

--- 50<sup>th</sup> percentile ground truth  
— 50<sup>th</sup> percentile reconstruction  
- - - 95<sup>th</sup> percentile ground truth  
— 95<sup>th</sup> percentile reconstruction

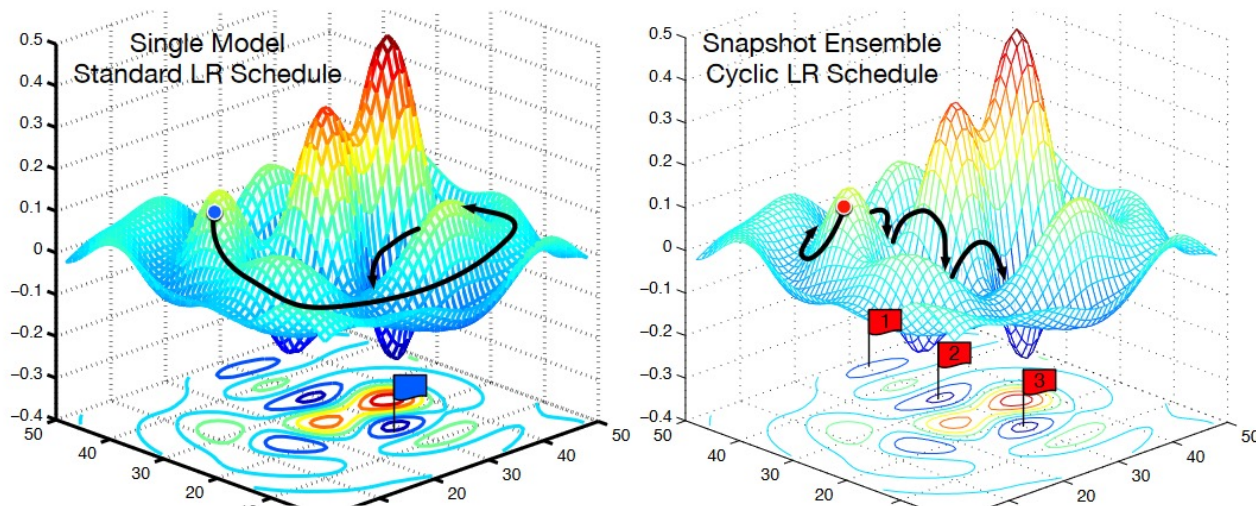
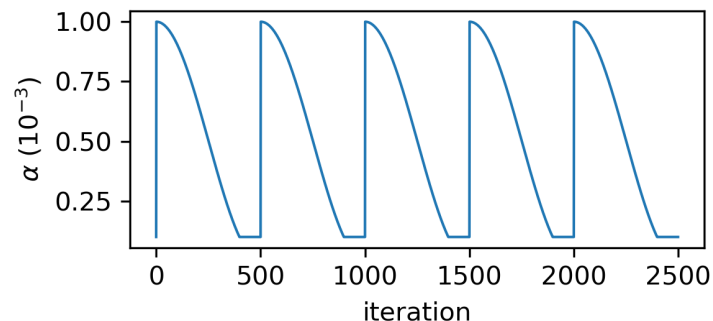
# Measuring Model Uncertainty

Create a **snapshot ensemble** to measure uncertainty by cycling the learning rate

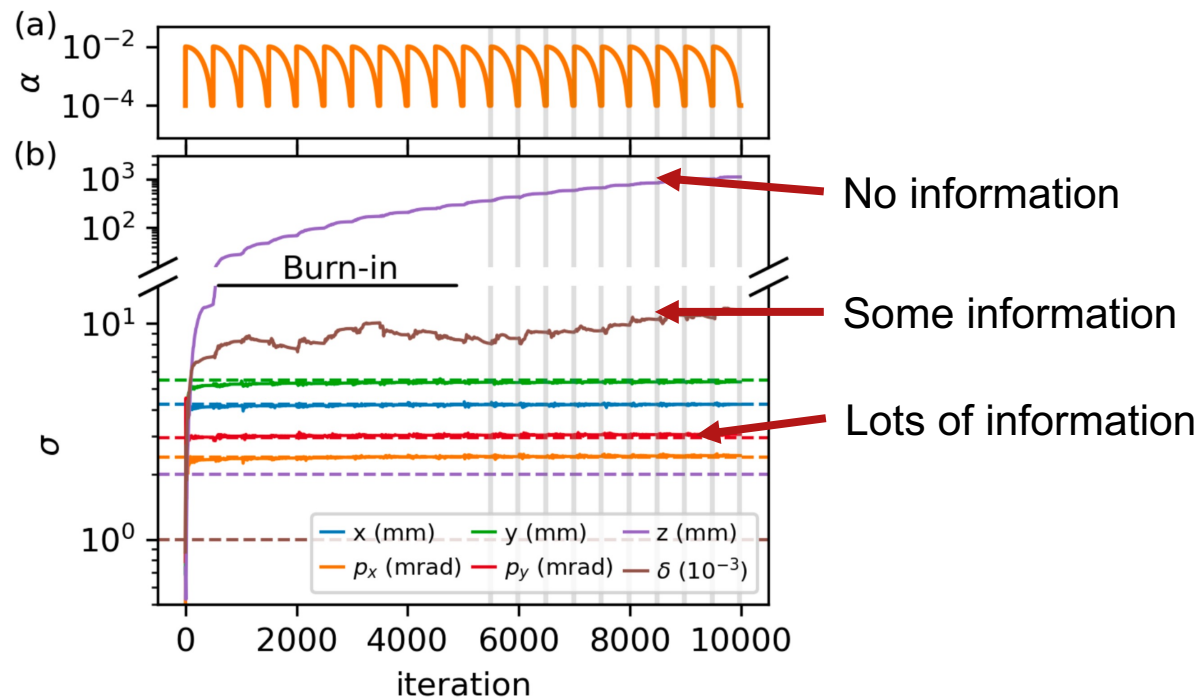


# Measuring Model Uncertainty

Create a **snapshot ensemble** to measure uncertainty by cycling the learning rate



Huang G. et al., ICLR 2017



Quadrupole:

$$H = \frac{p_x^2 + p_y^2}{2(1 + p_z)} + \frac{k_1(p_z)}{2}(x^2 - y^2)$$

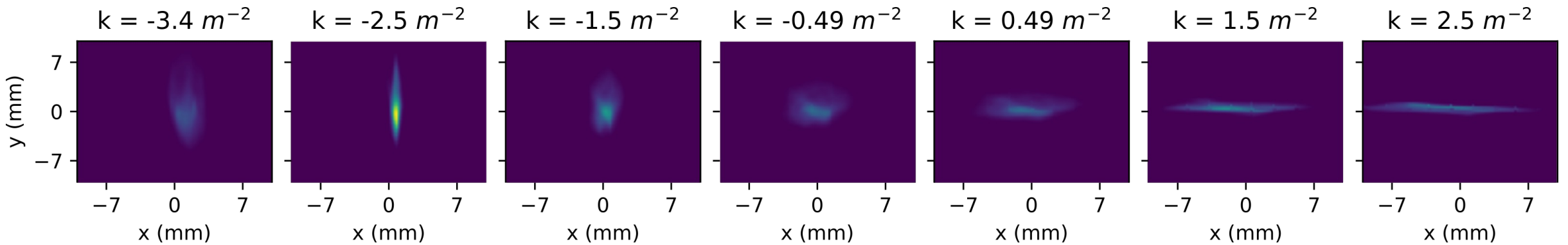
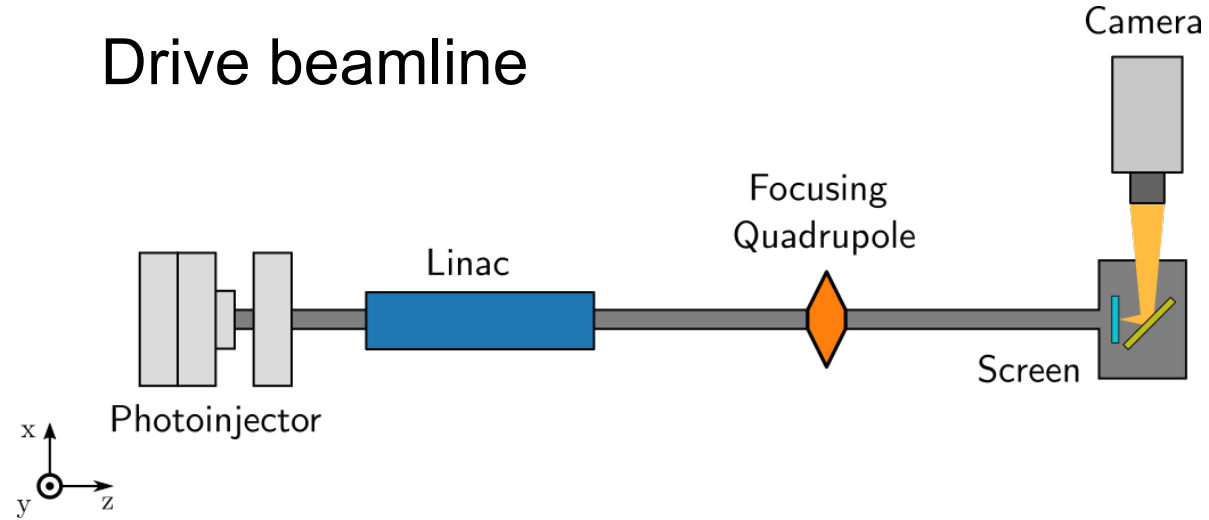
- **Weak dependence on  $p_z$**  via chromatic effects
- **No dependence on  $z$**



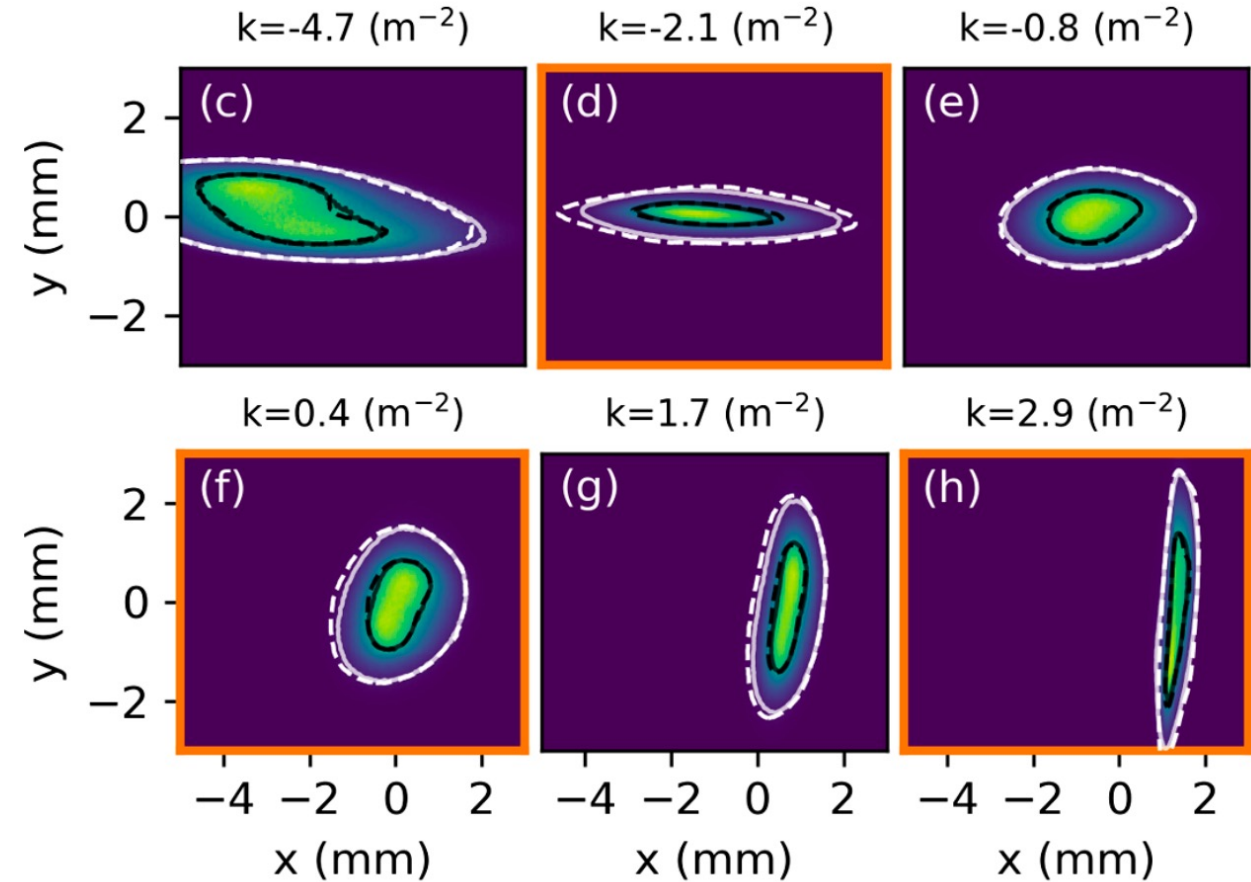
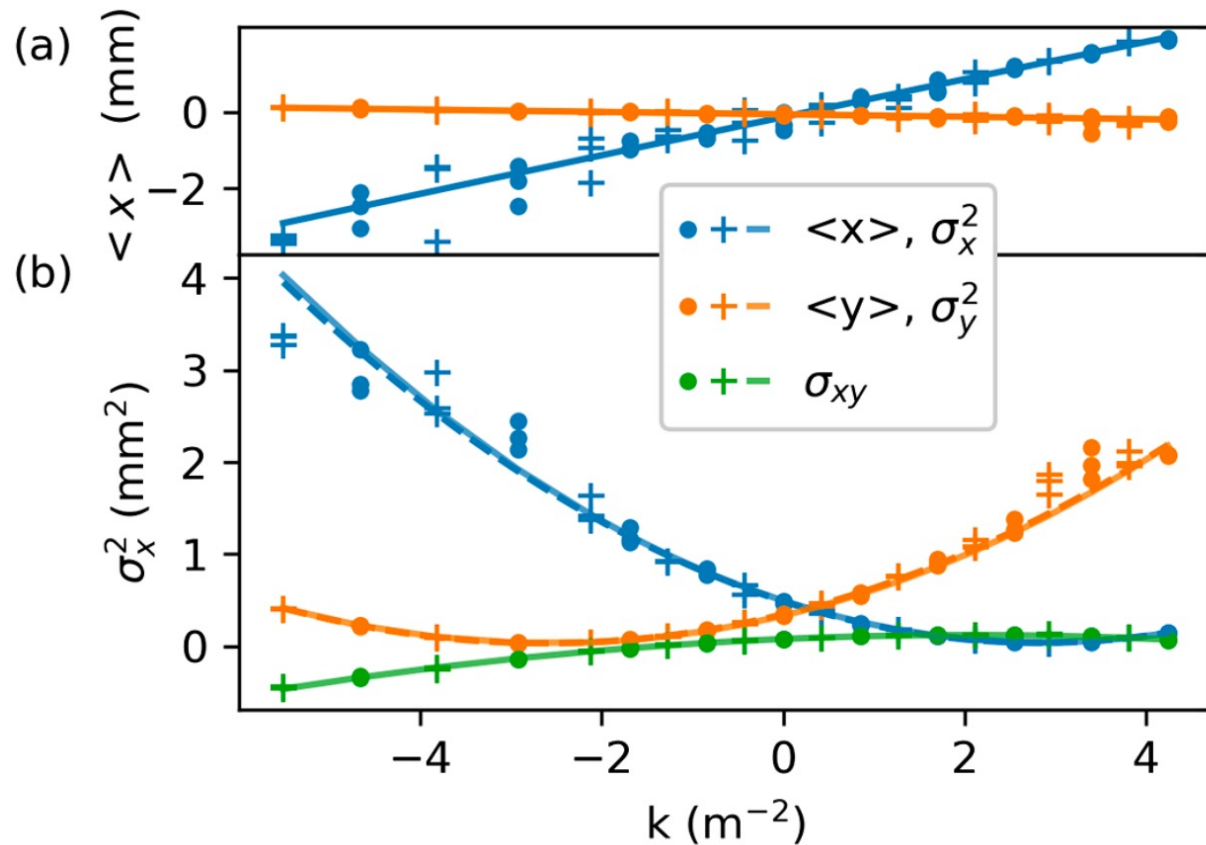
# Tomography Example from AWA



## Drive beamline



# AWA Reconstruction Results



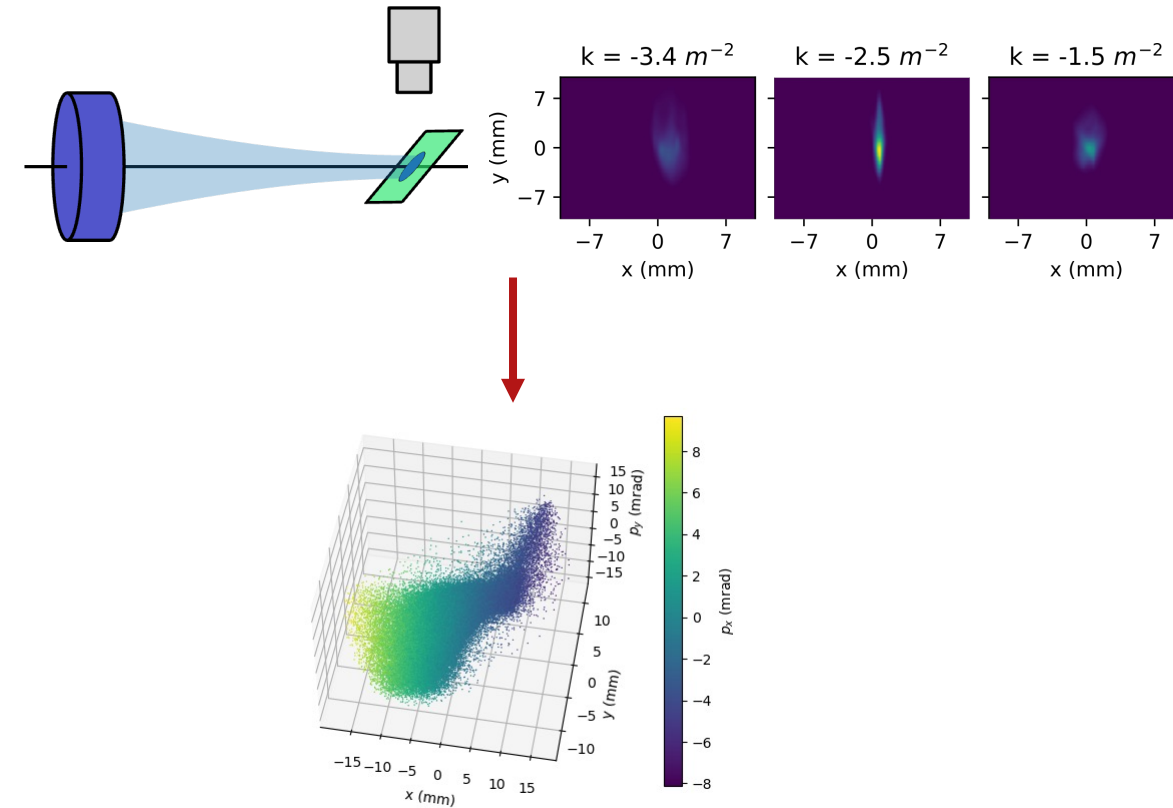
**Detailed reconstruction of 4D phase space in 5 min with only**

- a quadrupole and a screen
- 10 quad strength, 3 measurements for each

- - - 50<sup>th</sup> percentile measured  
 ——— 50<sup>th</sup> percentile reconstructed  
 - - - 95<sup>th</sup> percentile measured  
 ——— 95<sup>th</sup> percentile reconstructed  
 □ test samples

# Conclusions

- **4D detailed phase space reconstruction from few measurements and without special diagnostics**
- Neural Network beam parametrization and differentiable simulations **are not limited by dimensionality.**
- Potentially **extensible to 6D** with the addition of longitudinal diagnostics.
- Can incorporate heterogeneous measurements:
  - More screens, BPMs, ...
  - Different types of data



Details: [PRL 130, 145001 \(2023\)](#)

# Thanks! Questions?

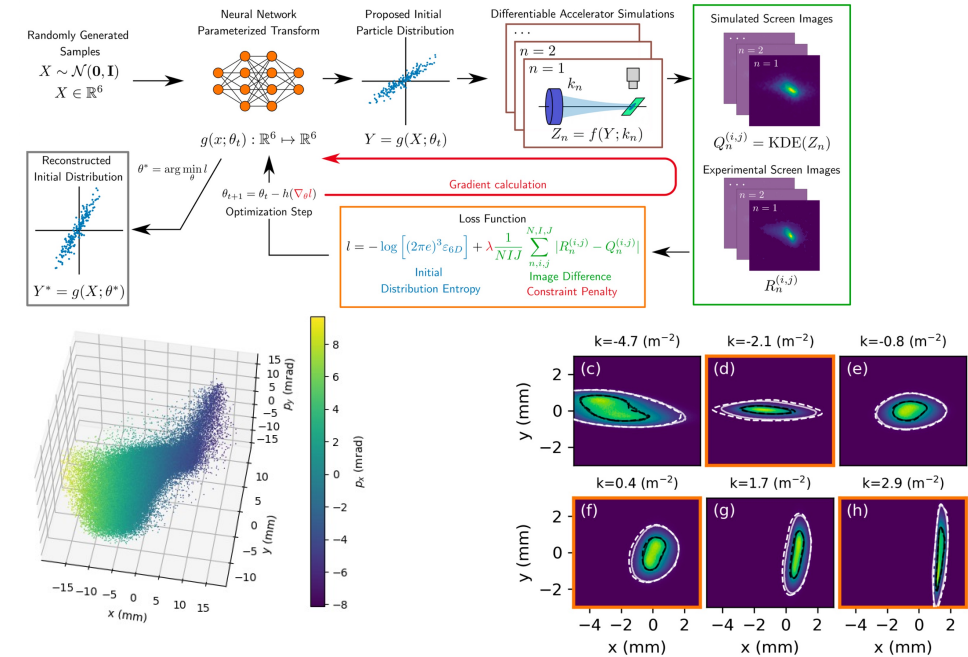
## Phase-Space Reconstruction:

- Ryan Roussel (SLAC)
- Auralee Edelen (SLAC)
- Christopher Mayes (SLAC)
- Daniel Ratner (SLAC)
- Seongyeol Kim (ANL)
- John Power (ANL)
- Eric Wisniewski (ANL)

## Differentiable Accelerator

### Modeling at UChicago:

- Young-Kee Kim
- Chris Pierce
- J.P. Gonzalez-Aguilera



Details: [PRL 130, 145001 \(2023\)](#)

### This work was supported by:

- DoE contract No. DE-AC02-76SF00515
- NSF award PHY-1549132, the Center for Bright Beams
- Physical Sciences Division Fellowship, The University of Chicago
- DoE contract No. DE-AC02-05CH11231, NERSC award BES-ERCAP0023724



# Backup: Maximum Entropy Loss Function

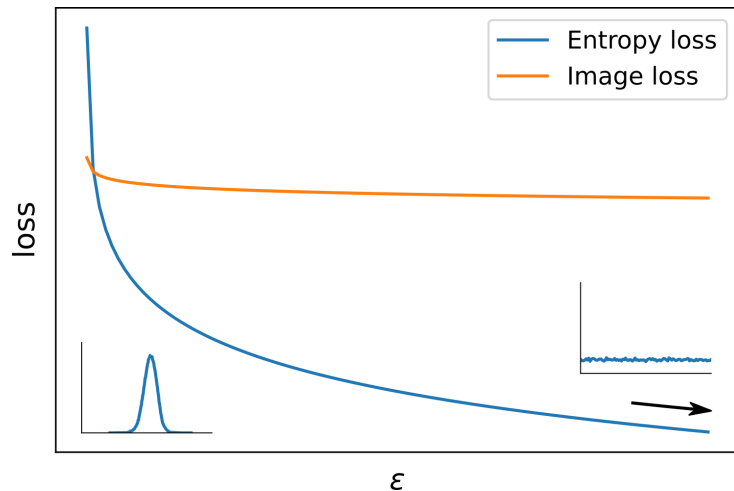
Loss Function

$$l = -\log \left[ (2\pi e)^3 \varepsilon_{6D} \right] + \lambda \frac{1}{NIJ} \sum_{n,i,j}^{N,I,J} |R_n^{(i,j)} - Q_n^{(i,j)}|$$

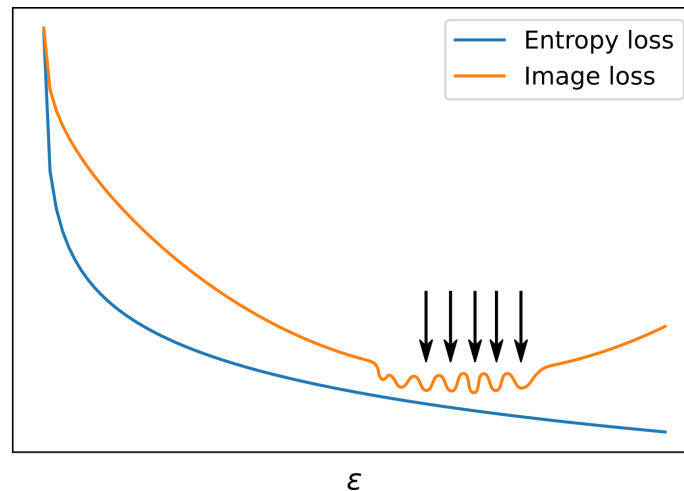
Initial  
Distribution Entropy

Image Difference  
Constraint Penalty

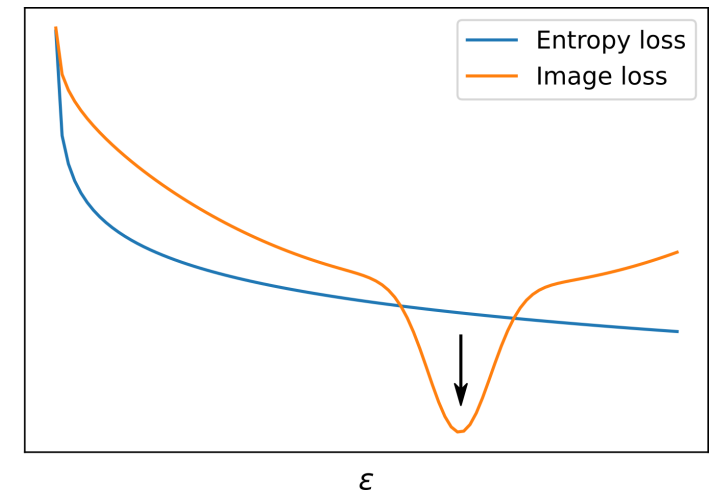
No evidence



Weak evidence



Strong evidence



# Backup: Maximum Entropy Tomography (MENT)

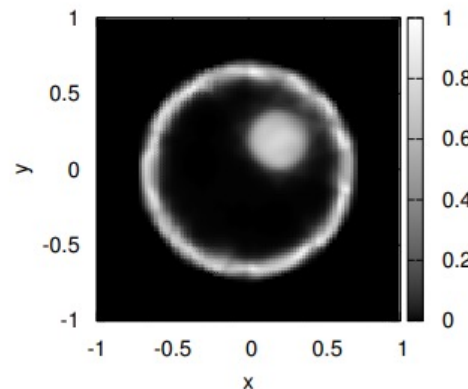
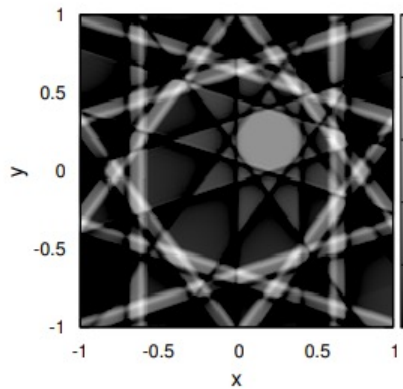
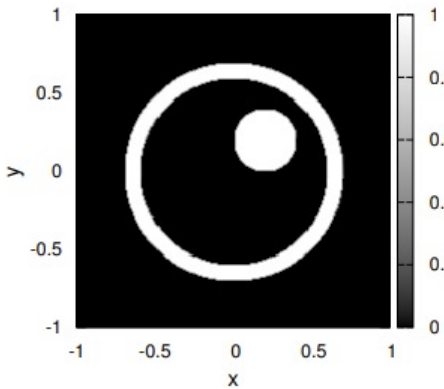
Rotate phase space as before, but reconstruct the distribution from 1D projections + **maximize the beam distribution entropy**

$$\rho^* = \arg \min \{-H(\rho) + \lambda f(\rho)\}$$

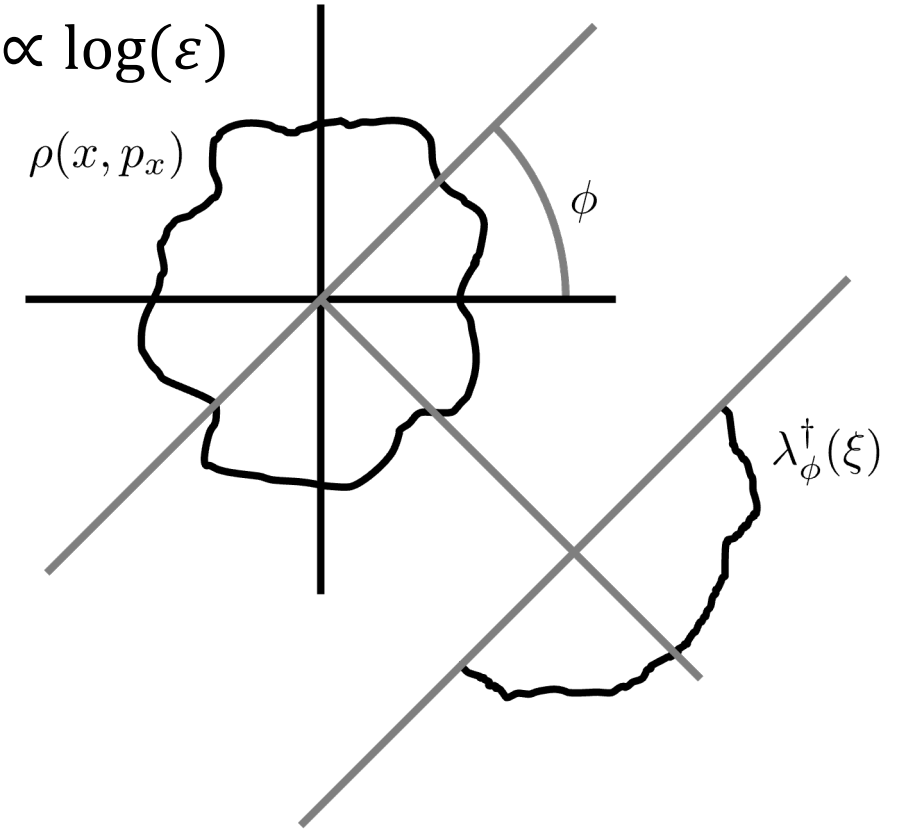
Distribution entropy

Discrepancy with measurement

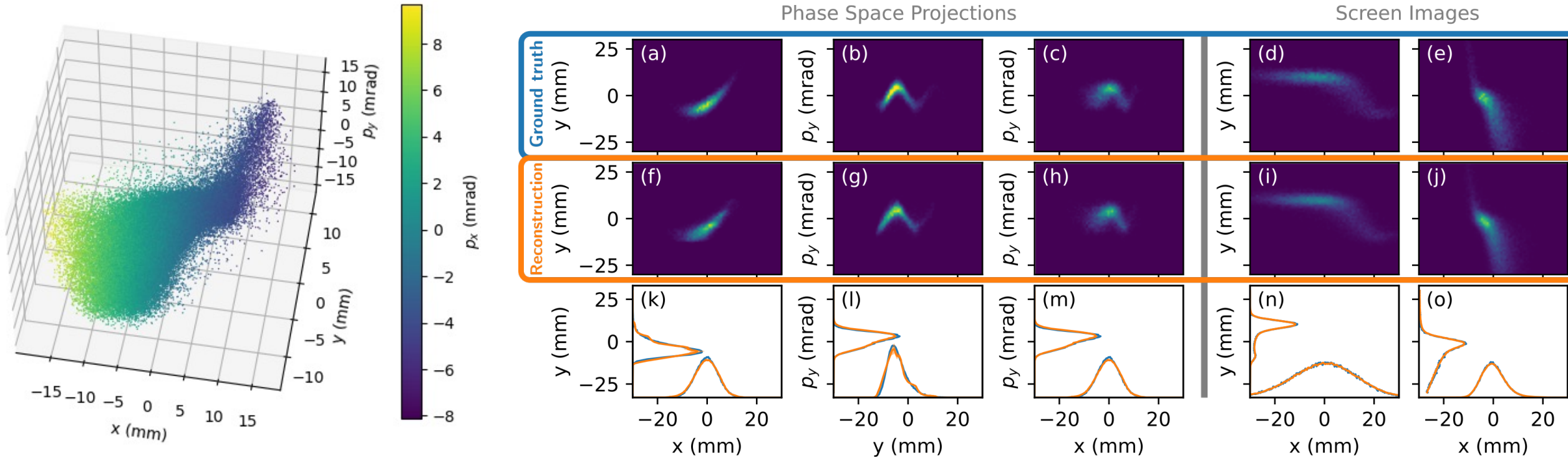
Lagrange multiplier



Note:  $H \propto \log(\epsilon)$

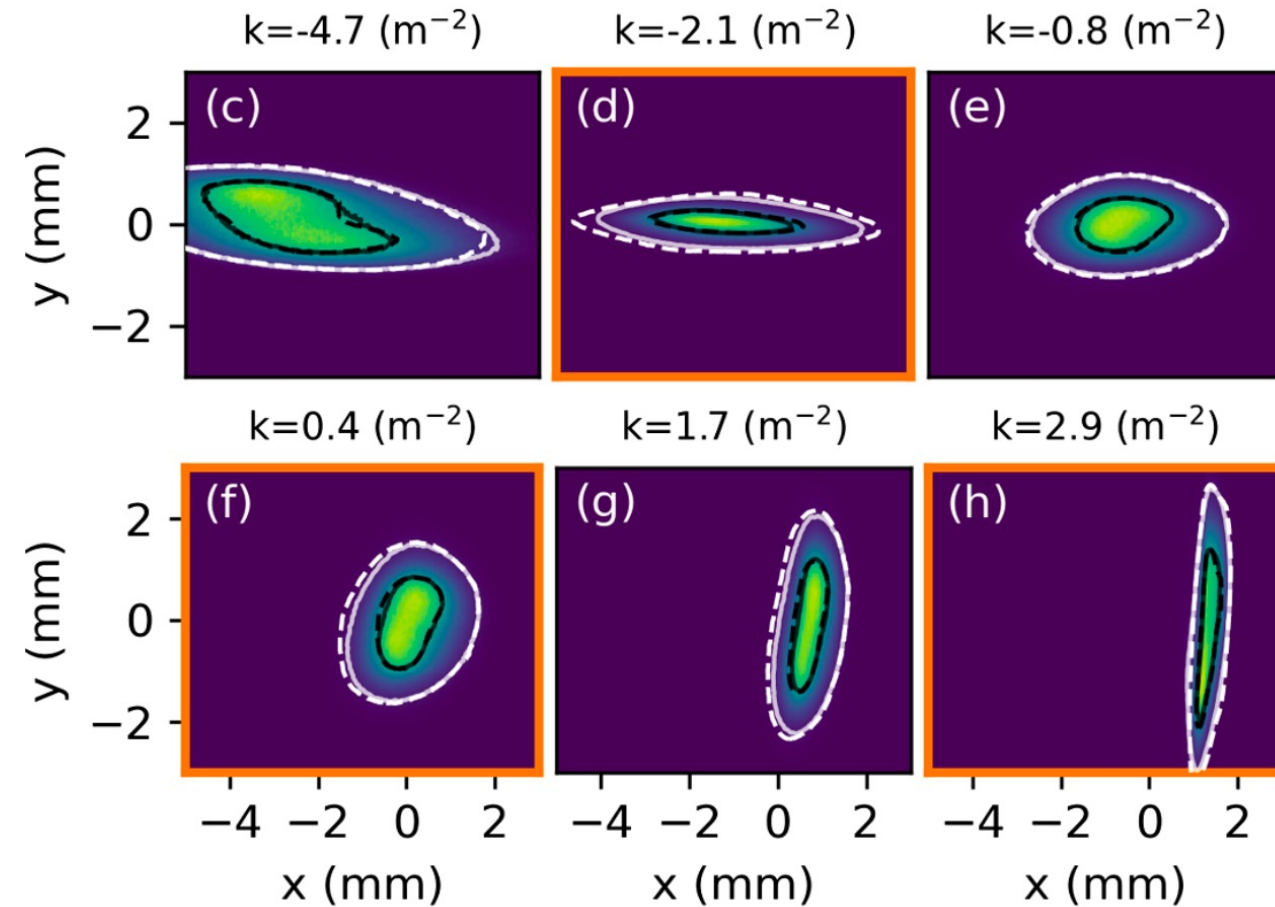
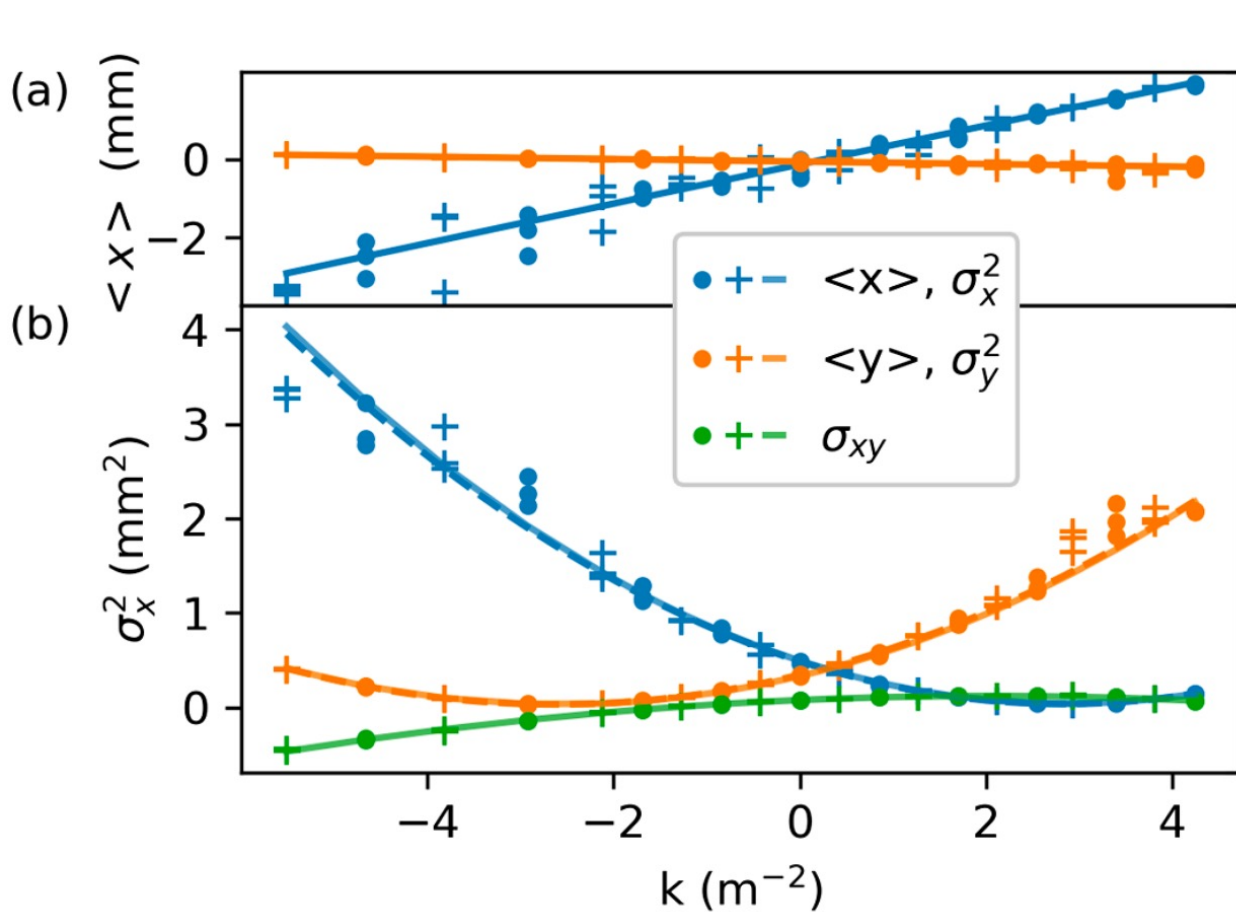


# Backup: Synthetic Example Reconstruction



Parameter	Ground truth	rms prediction	Reconstruction	Unit
$\epsilon_x$	2.00	2.47	$2.00 \pm 0.01$	mm-mrad
$\epsilon_y$	11.45	14.10	$10.84 \pm 0.04$	mm-mrad
$\epsilon_{4D}$	18.51	$34.83^a$	$17.34 \pm 0.08$	$\text{mm}^2\text{-mrad}^2$

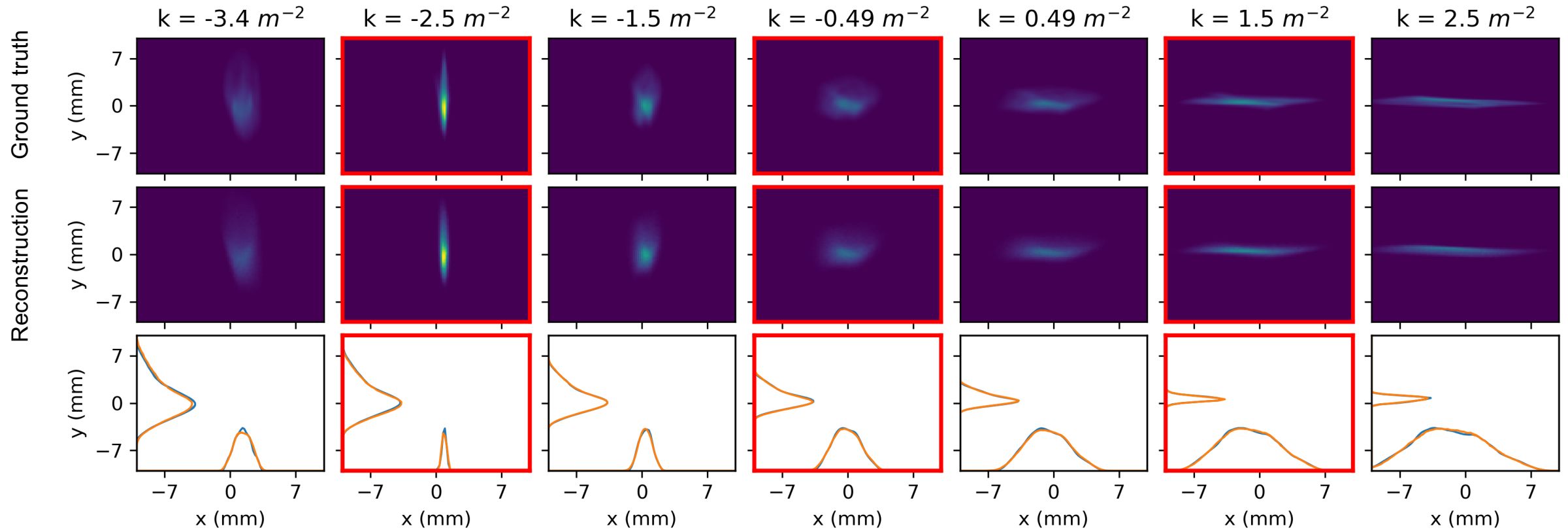
# Backup: AWA Reconstruction Results



Parameter	rms prediction	Reconstruction	Unit
$\mathcal{E}_{x,n}$	$4.18 \pm 0.71$	$4.23 \pm 0.02$	mm-mrad
$\mathcal{E}_{y,n}$	$3.65 \pm 0.36$	$3.42 \pm 0.02$	mm-mrad

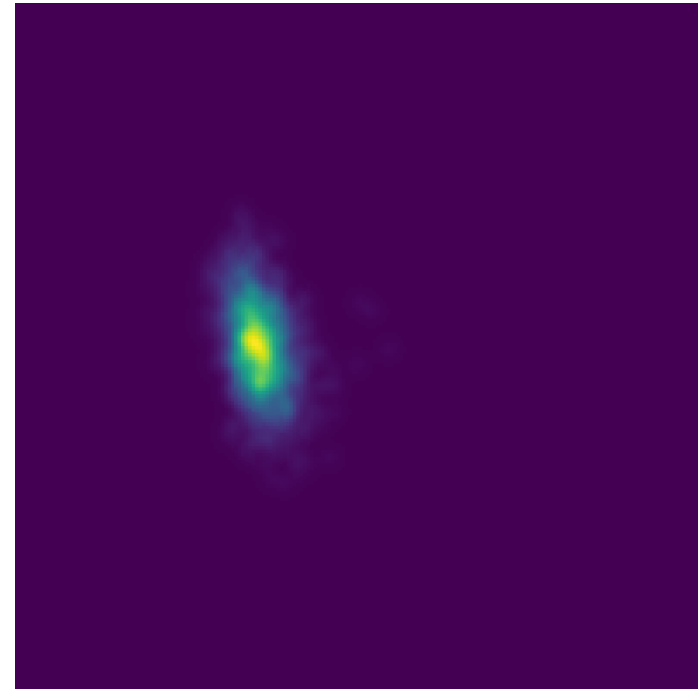
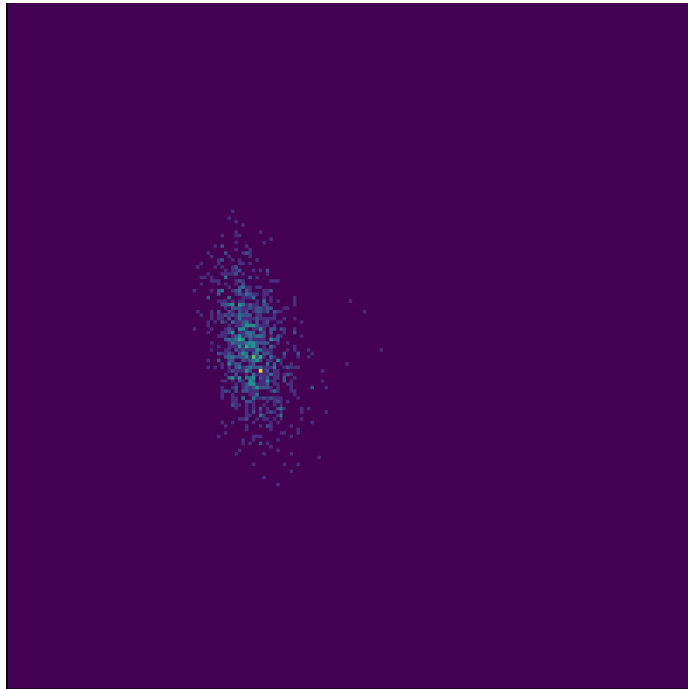


# Backup: AWA Reconstruction

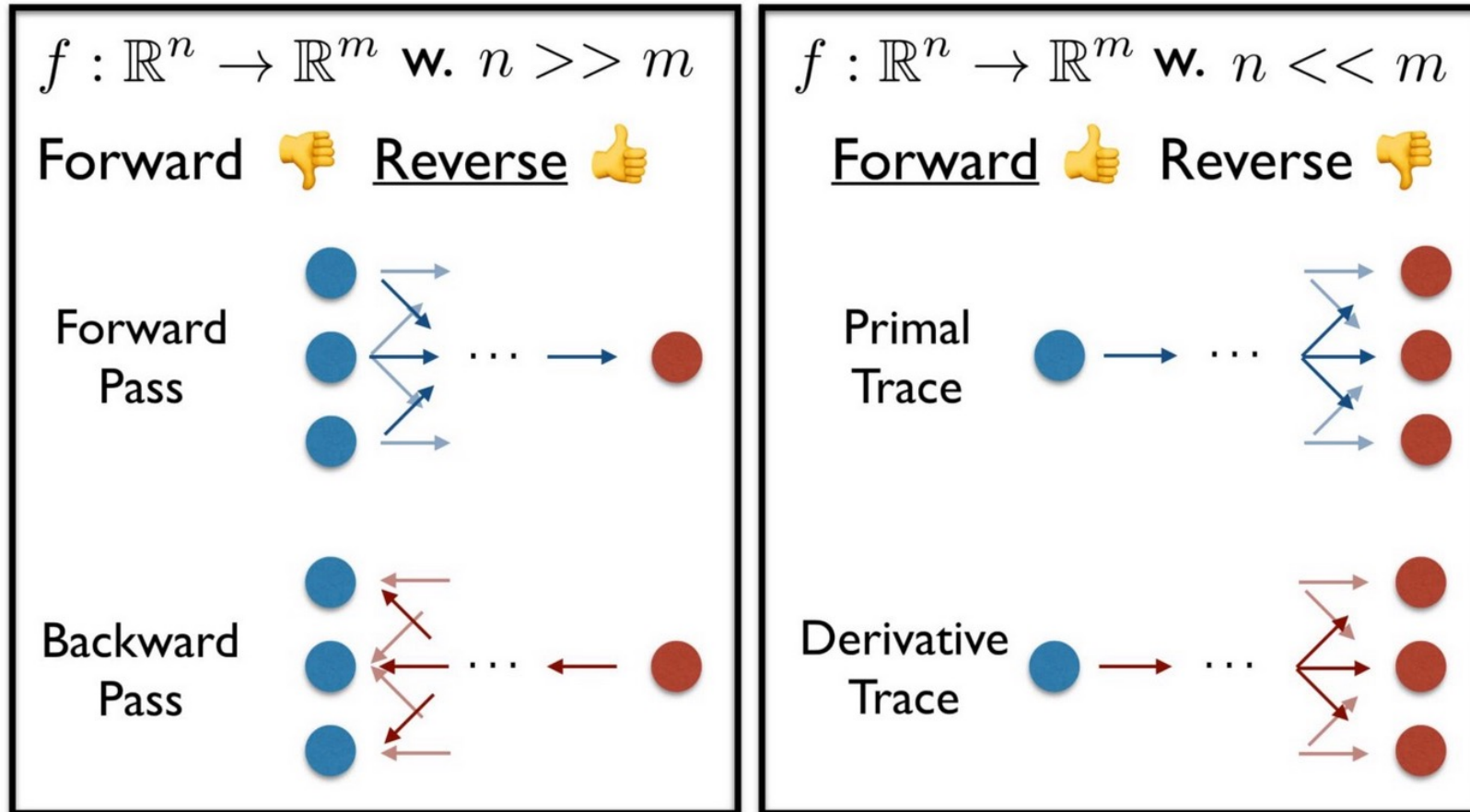


Red border denotes test samples

# Backup: Kernel Density Estimation (KDE)

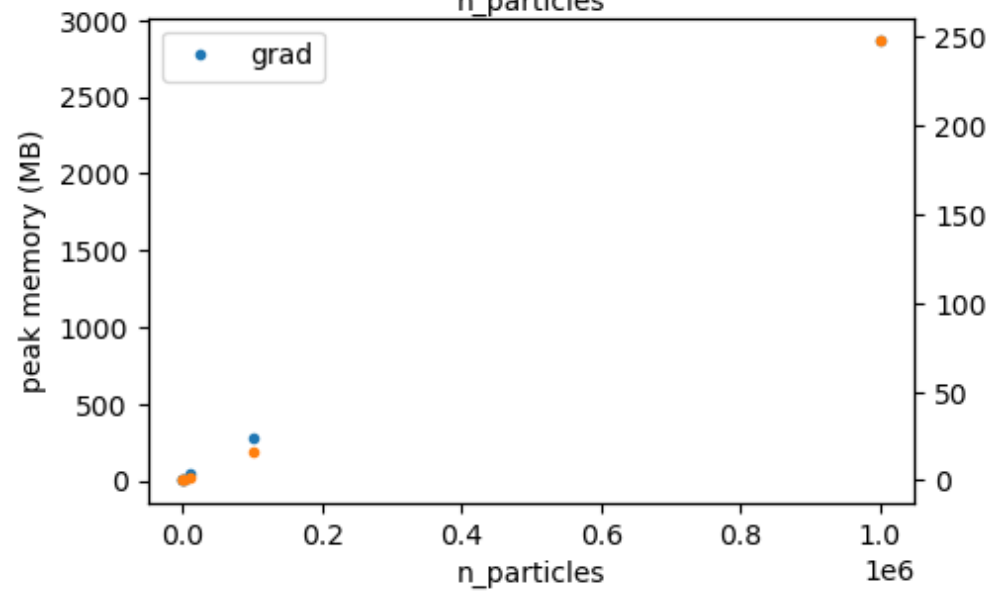
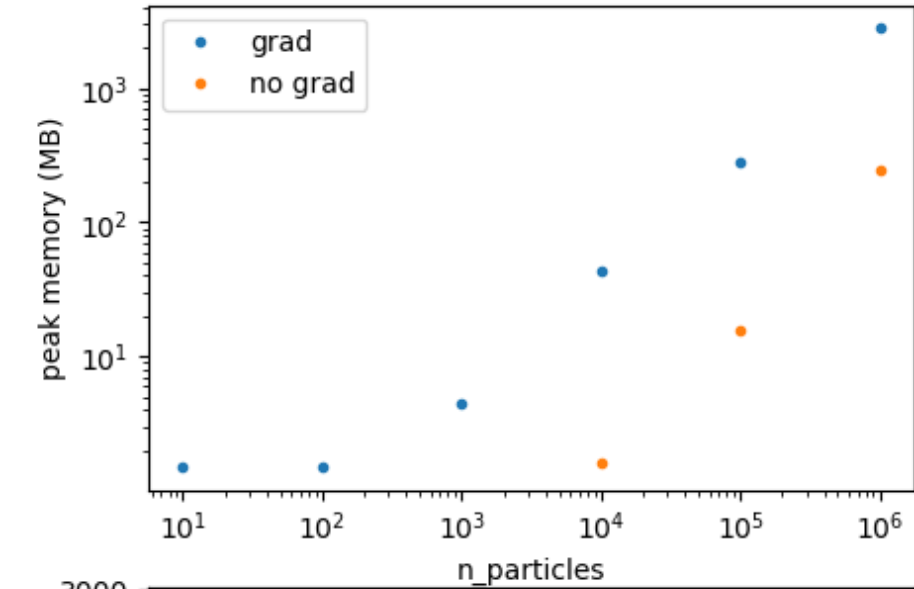


# Backup: Reverse vs Forward Autodiff



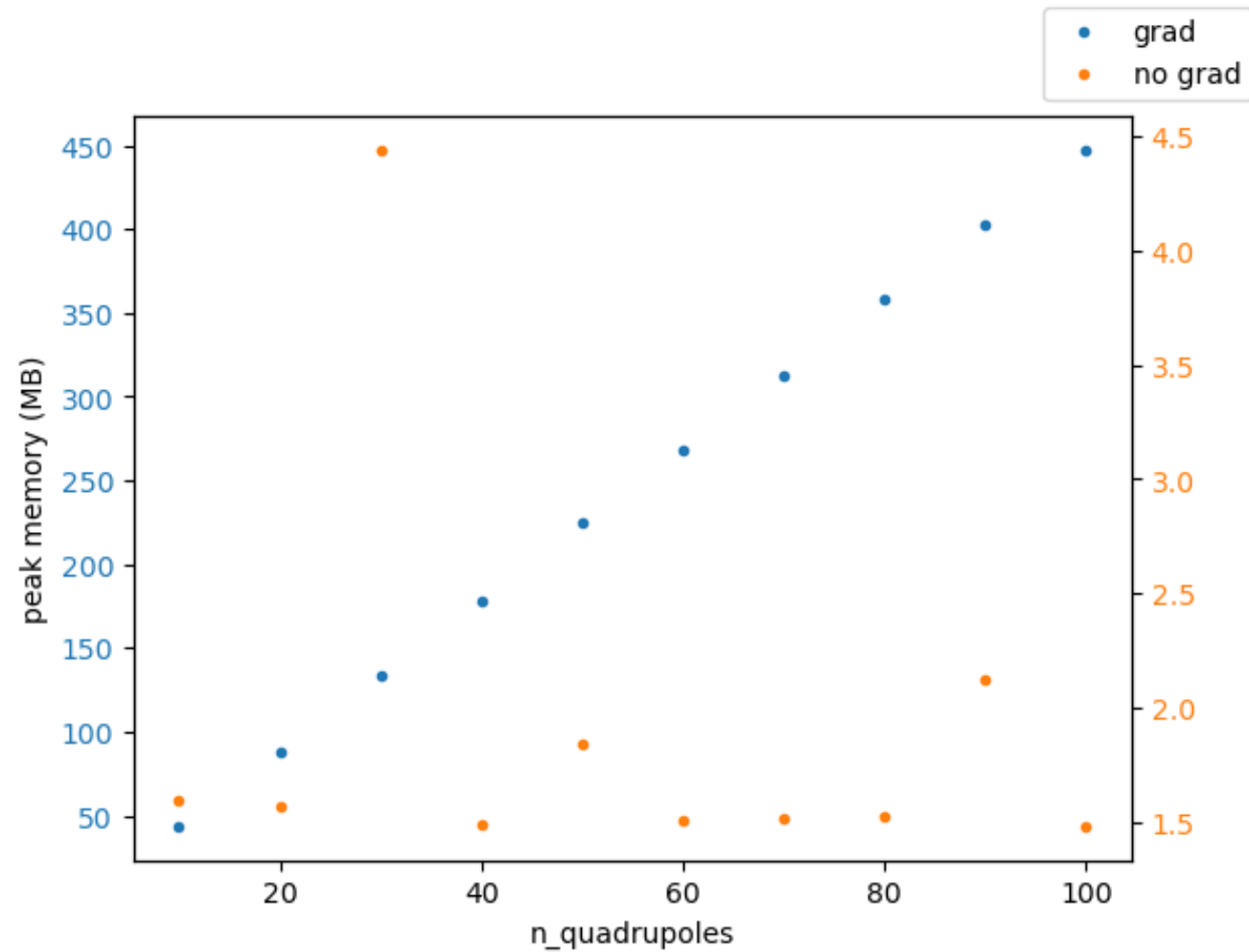
<https://towardsdatascience.com/forward-mode-automatic-differentiation-dual-numbers-8f47351064bf>

# Backup: Memory profiling



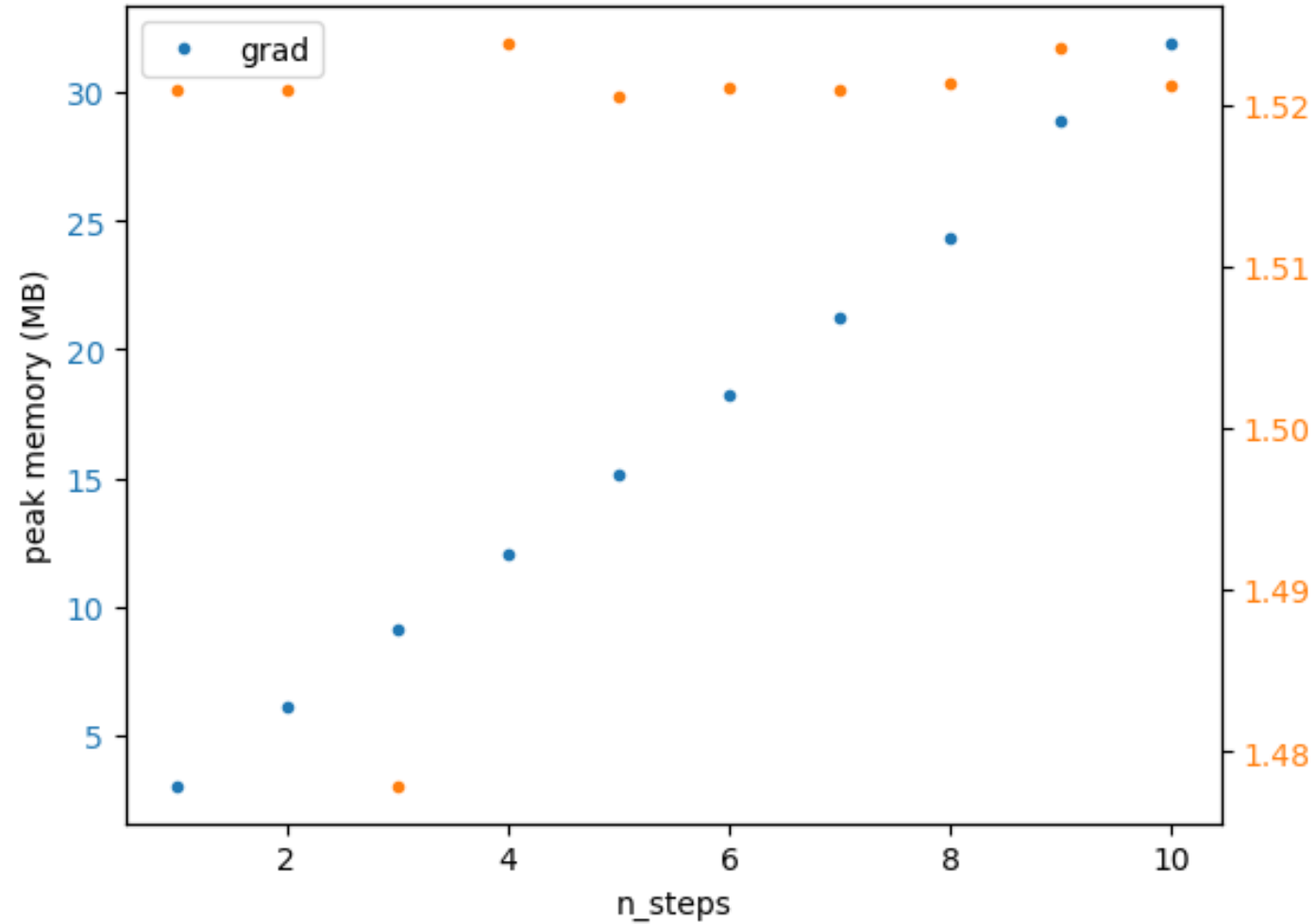
Test 1: 10 quads separated by drifts.  
Peak memory vs number of particles

# Backup: Memory profiling



Test 2:  $10^4$  particles  
Peak memory vs n quads

# Backup: Memory profiling



Test 3:  $10^4$  particles  
Peak memory vs n  
slices in single  
quad+drift