

# Virtual Diagnostics for High Brightness Beams

*Physics and Applications of High Brightness Beams Workshop*  
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# Motivation: Better Diagnostics Inherently Linked to Better Beams

Two major categories of need motivate machine learning (ML) enhanced tools in accelerators:

- **New fundamental capabilities in beam production and control:** attaining unprecedented beam parameters, finely-detailed customization and characterization of beams
- **Facility operations:** efficiency of tuning and quality of beam delivery for scientific users → increase science output, reduce time-to-discovery

General Accelerator R&D Program

## Accelerator and Beam Physics Roadmap

DOE Accelerator Beam Physics Roadmap Workshop  
September 6–8, 2022

Available [here](#)

### ABP Grand Challenges

**Intensity** – “How do we increase beam intensity by orders of magnitude?”

**Quality** – “How do we increase the beam phase space density by orders of magnitude?”

**Control** – “How do we measure and control the beam distribution down to the individual particle level?”

**Prediction** – “How do we develop predictive ‘virtual particle accelerators’”

Need advanced methods to obtain information about the beam at unprecedented levels of detail and speed  
→ important for fine customization/control, user analysis, and improving physics models

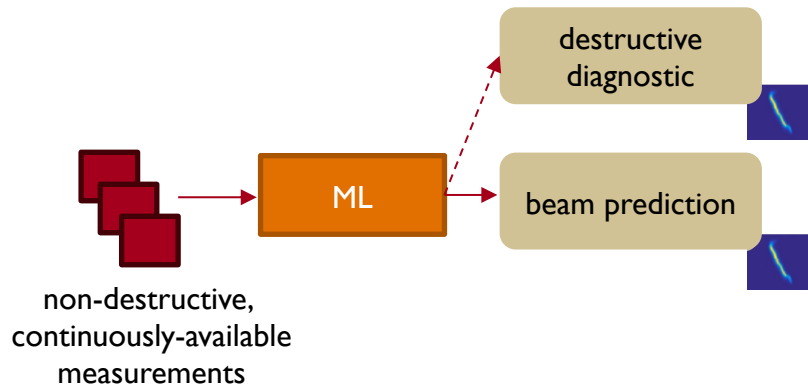
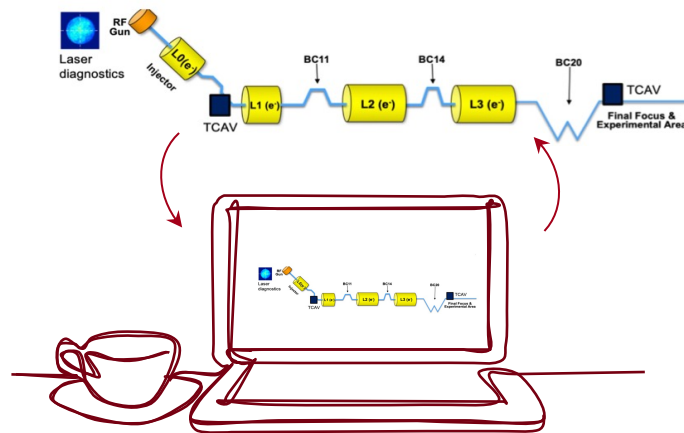
# Virtual Diagnostics $\leftrightarrow$ Virtual Accelerators

Many long-standing efforts to make “**virtual accelerators**” that closely match machine behavior

- Predict machine behavior that isn't directly accessible
- Related to the idea of “digital twins” when combined with tracking/adapting to changes in the system

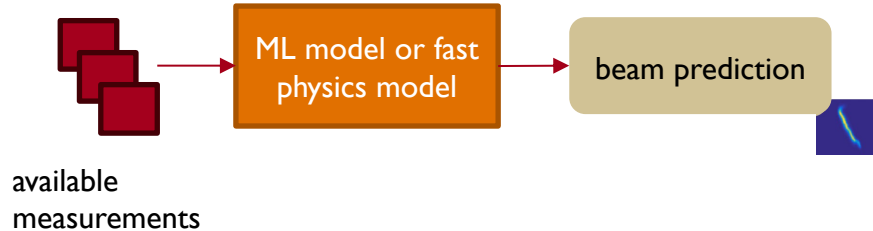
A “**virtual diagnostic**” is an extension of this concept

- Predict beam output in cases where a diagnostic does not exist, is destructive, or updates more slowly than desired
- Machine learning enables new capabilities in prediction  
→ *do not need a physics model, just need sufficiently well-correlated measurements*



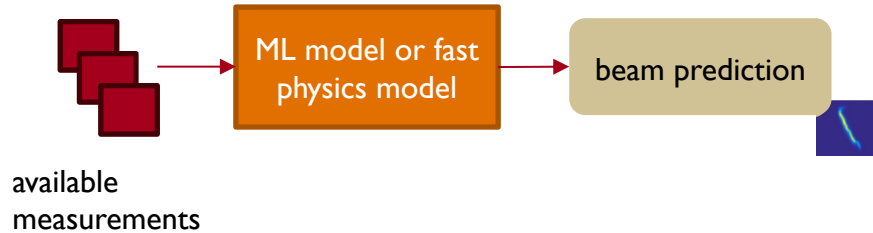
# Virtual Diagnostics $\leftrightarrow$ ML-Enhanced Diagnostics

**Fast, detailed predictions** of  
quantities that aren't  
continuously available

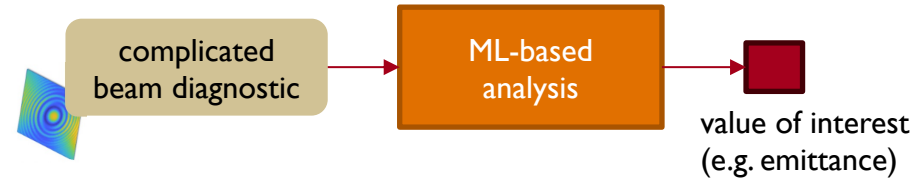


# Virtual Diagnostics $\leftrightarrow$ ML-Enhanced Diagnostics

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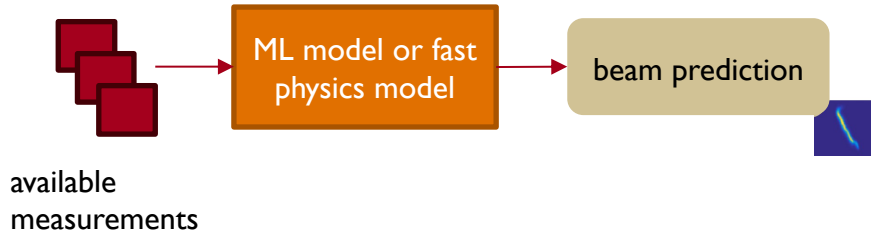


**Fast analysis** of complicated diagnostic output

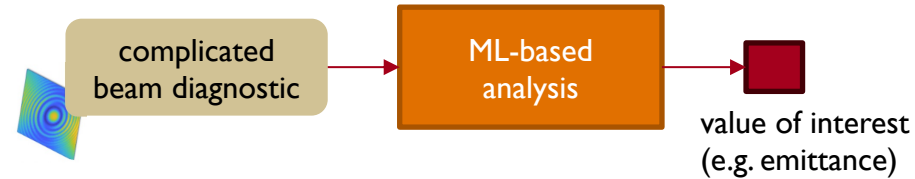


# Virtual Diagnostics $\leftrightarrow$ ML-Enhanced Diagnostics

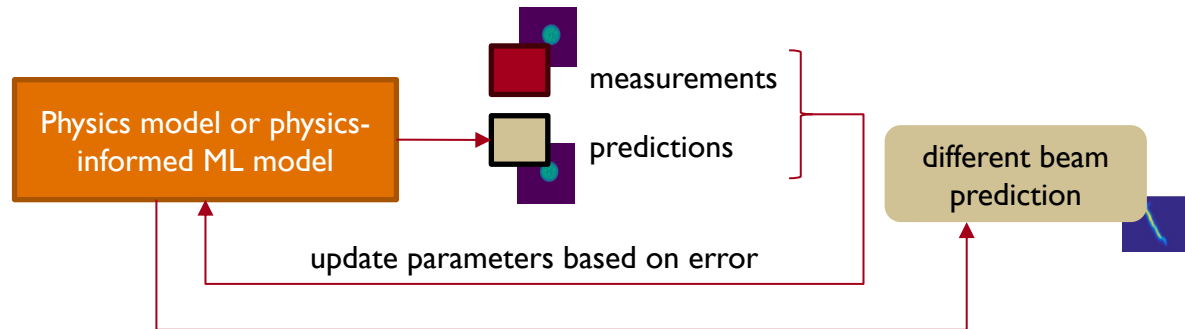
**Fast, detailed predictions** of quantities that aren't continuously available



**Fast analysis** of complicated diagnostic output

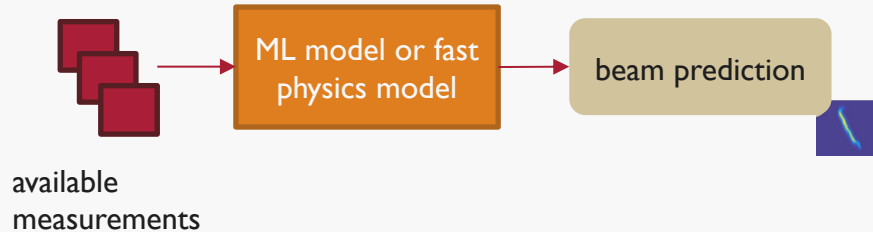


**Active tuning of system models** to infer unseen variables or beam behavior

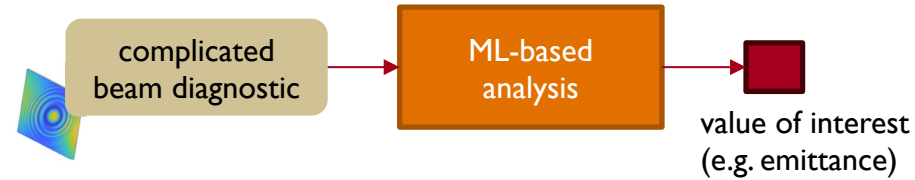


# Virtual Diagnostics $\leftrightarrow$ ML-Enhanced Diagnostics

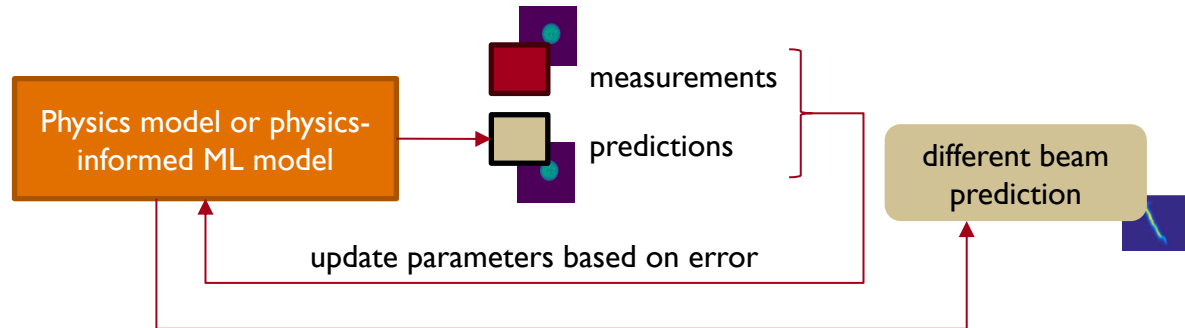
**Fast, detailed predictions** of quantities that aren't continuously available



**Fast analysis** of complicated diagnostic output



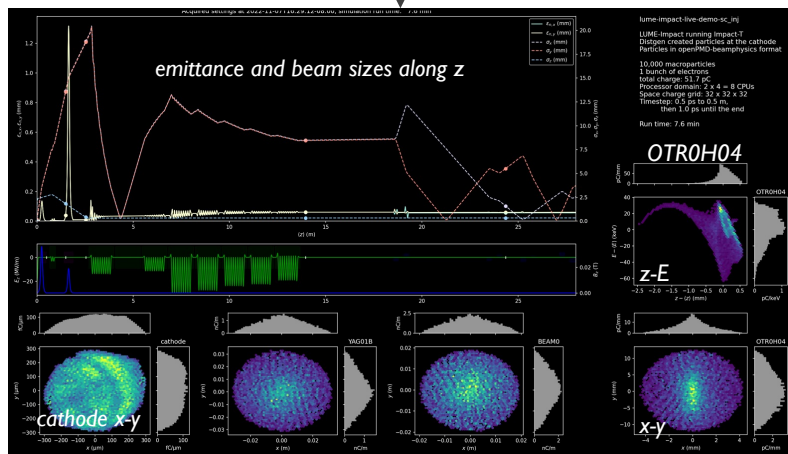
**Active tuning of system models** to infer unseen variables or beam behavior



# Online Models / Virtual Accelerators as a type of “Virtual Diagnostic”

Used combination of online physics simulation and Bayesian optimization algorithms to aid LCLS-II injector commissioning

Readings from machine via EPICS  
injector settings, laser profile from VCC image

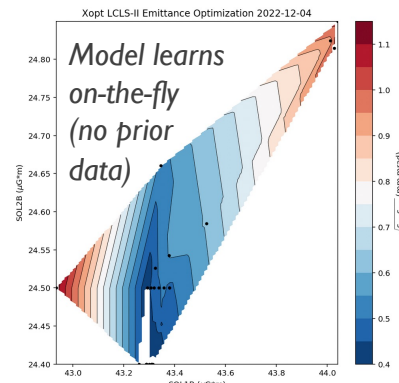
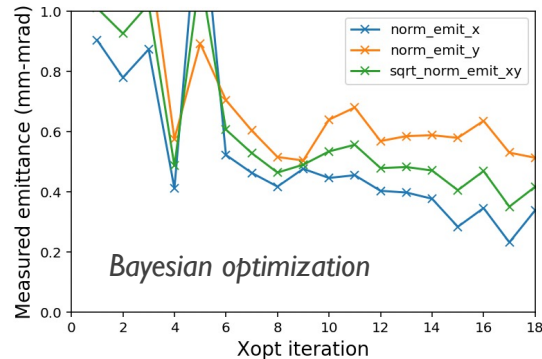


LCLS-II live sim: run on HPC and display in control room

Updates every 3-8 mins, space charge included, uses LUME-IMPACT

Adjust settings / ranges with insight from predictions

Hand over to ML-based optimization for fine tuning



06-Dec-2022 01:53:37  
OTRS HTR 330 EMIT  
 $\gamma E_x$  0.43 / 1.00  
 $\gamma E_y$  0.57 / 1.00

**Best emittance yet obtained during  
LCLS-II injector commissioning**

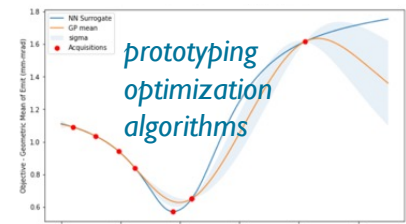
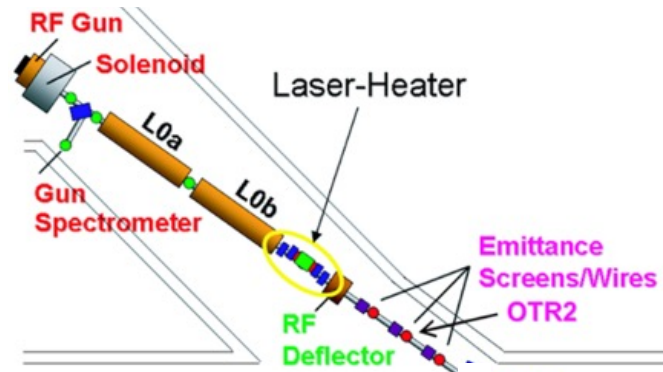
despite extensive previous hand-tuning

Physicists' intuition aided by detailed online physics model → simple example of how a “virtual accelerator” can aid tuning  
HPC enables fundamentally new capabilities in what can be realistically simulated online

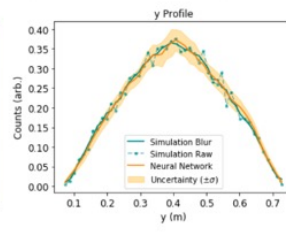
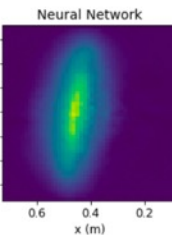
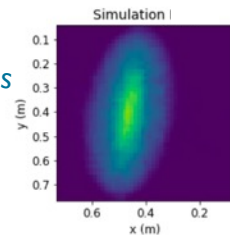


# Example of Faster Execution with ML: LCLS Injector

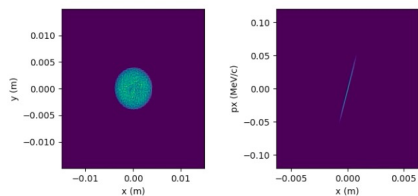
- ML models trained on detailed IMPACT simulations over entire valid range of injector settings and drive laser settings
- Several models with different combinations of output tailored to specific need (*phase space prediction, emittance/match, beam sizes, etc.*)
- Using to develop/prototype new algorithms before testing online (e.g. 20x speedup in emittance tuning: <https://arxiv.org/abs/2209.04587>)
- Will be deployed online for prediction of beam phase space and Twiss parameters



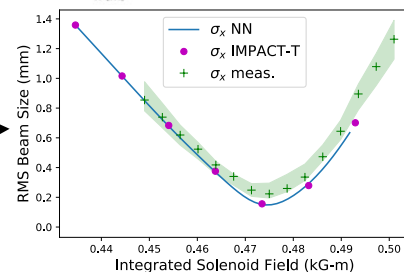
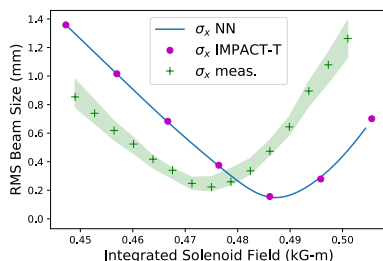
ML model matches simulation under interpolation



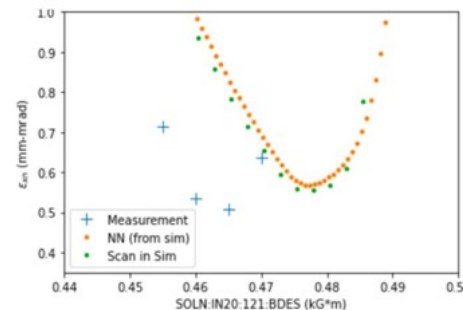
Simulation and ML model trained on it are qualitatively similar to measurements under interpolation



interactive model widget and visualization tools



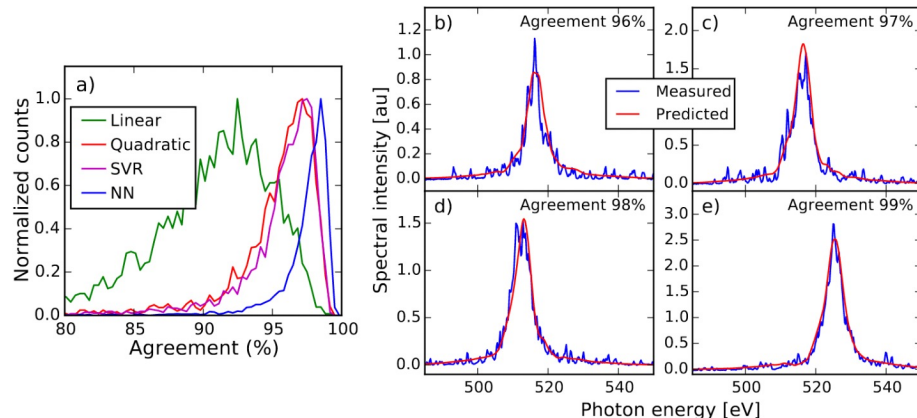
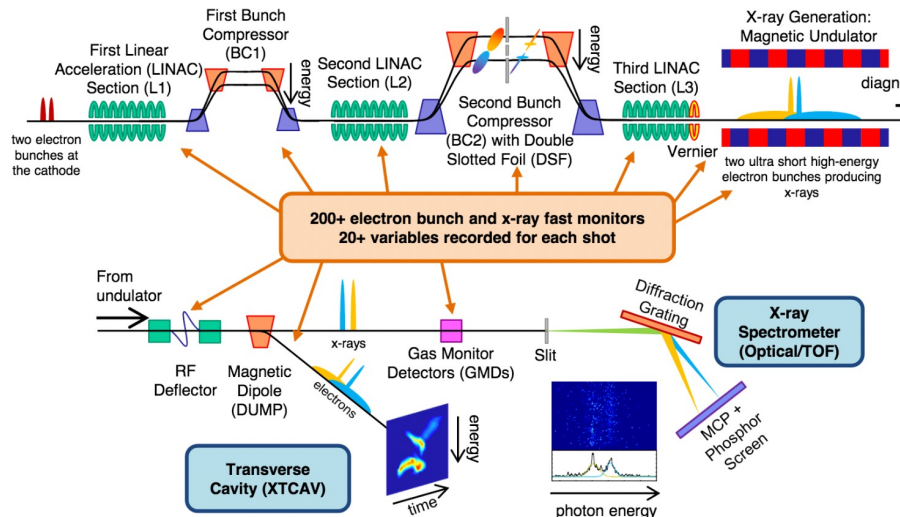
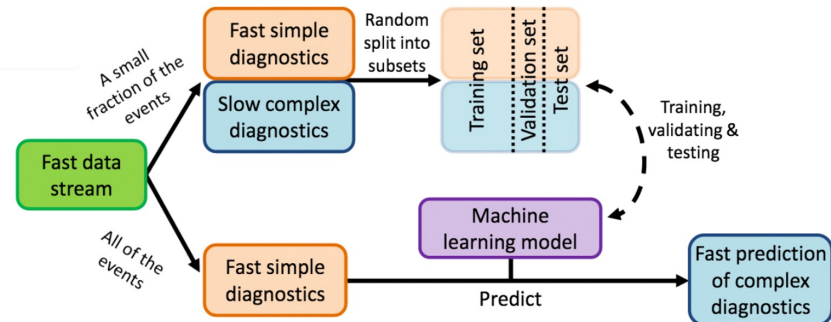
Automatic adaptation of models and identification of sources of deviation between simulations and as-built machine



ML models trained on simulations and measurements have enabled fast prototyping of new optimization algorithms, facilitated rapid model adaptation under new conditions, and can directly aid online tuning and operator decision making

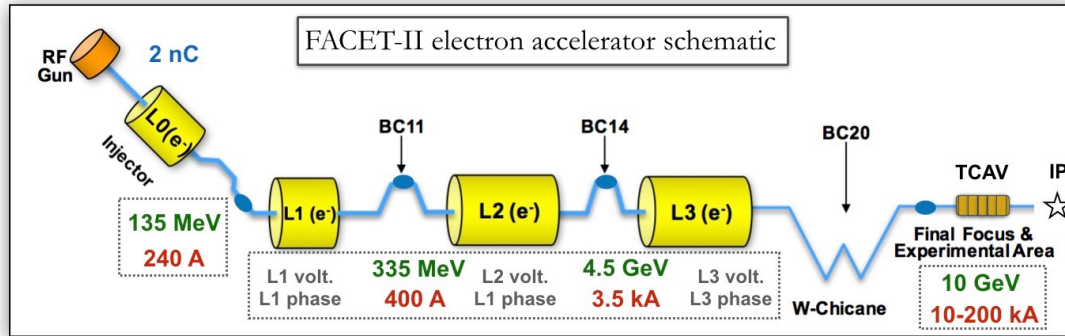
# LCLS Virtual Diagnostic Example: Fill in Missing Shots

- Used correlations with simpler/faster diagnostics to fill in predictions for more complex, slowly-updating diagnostics
- Good agreement between predicted and measured spectra for neural network
- For LCLS-II, going to much higher repetition rates (MHz beam rate) → ongoing work in edge ML for fast data reduction and reconstruction

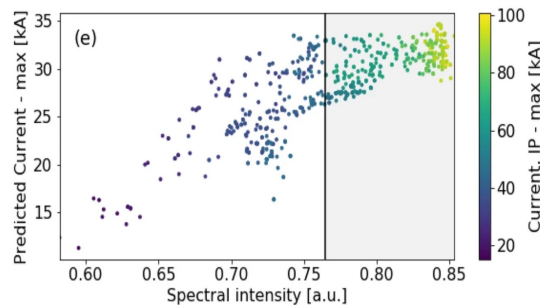


*A. Sanchez-Gonzalez, et al., Nature Communications, (2017)*

# FACET-II Longitudinal Phase Space Virtual Diagnostic

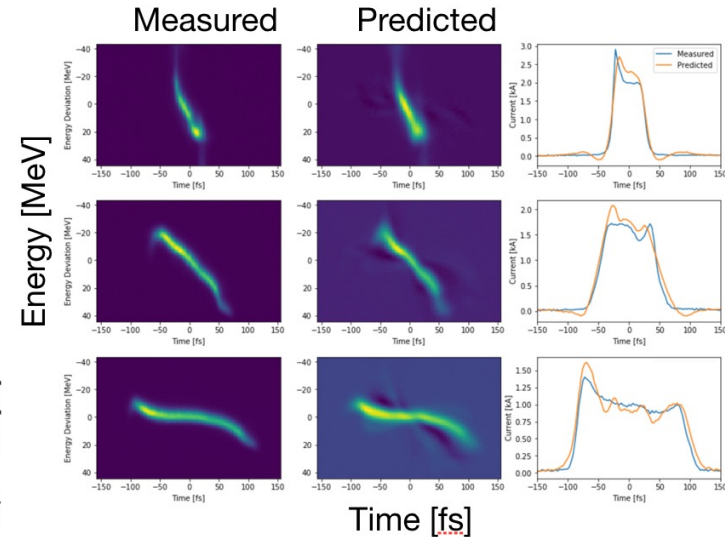


- LPS tuning critical for FACET science program
- LPS measurement is destructive → cannot be measured with TCAV and simultaneously used for experiments
- ‘Virtual TCAV’ gives non-destructive prediction of beam LPS in experimental area
- Simulations indicate incorporation of non-destructive spectral information could enable prediction beyond the TCAV resolution



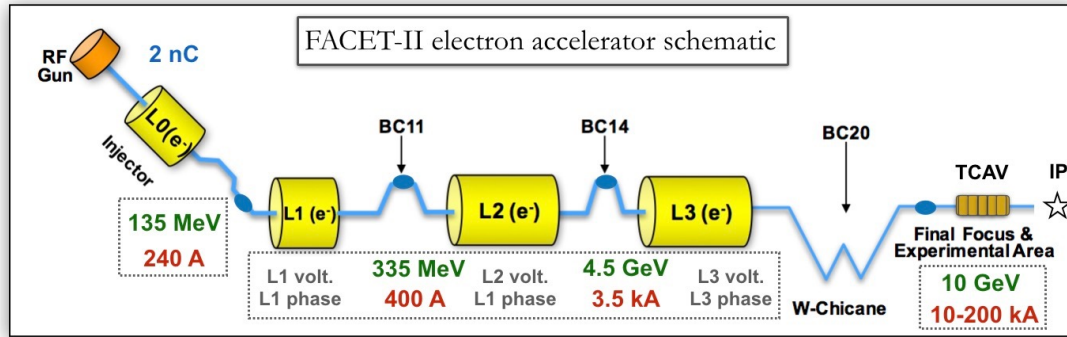
A. Hanuka et al, *Sci. Reports* 11, 2945 (2021)

Initial experimental demonstration of LPS prediction with data from LCLS

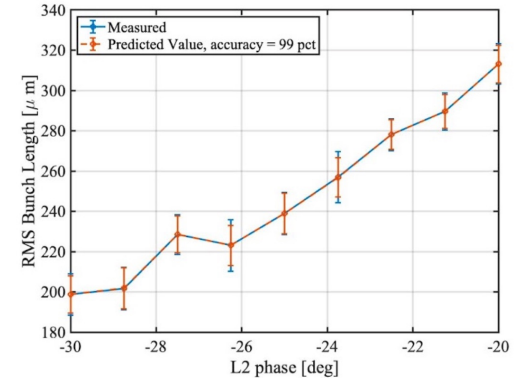
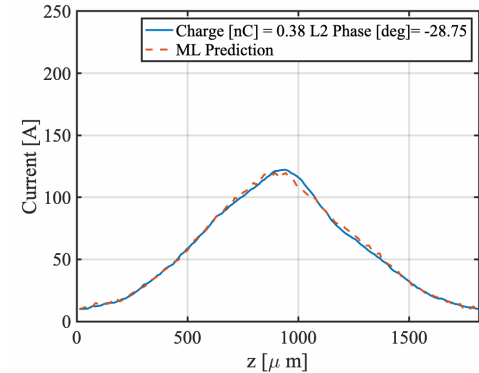


C. Emma, et al. – PRAB 21, 112802 (2018)

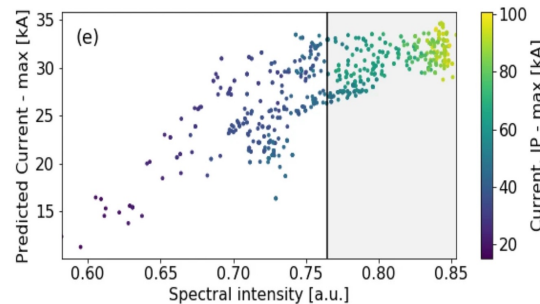
# FACET-II Longitudinal Phase Space Virtual Diagnostic



FACET-II experimental demonstration at low charge (0.5 nC)



- LPS tuning critical for FACET science program
- LPS measurement is destructive  $\rightarrow$  cannot be measured with TCAV and simultaneously used for experiments
- ‘Virtual TCAV’ gives non-destructive prediction of beam LPS in experimental area
- Simulations indicate incorporation of non-destructive spectral information could enable prediction beyond the TCAV resolution

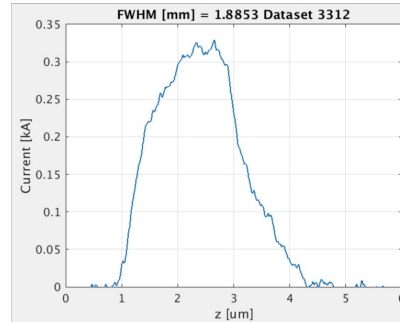


First experimental results demonstrate ML-based current profile + bunch length prediction at FACET-II

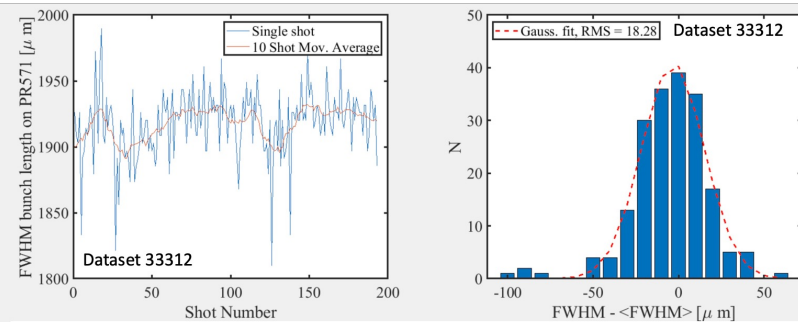
# LPS Predictions at FACET-II Injector

- TCAV used to measure current profile and characterize shot-to-shot current/bunch length variations in the injector
- Bunch length variations correlated with injector RF, magnet, laser parameters
- ML model used to predict changes in the bunch length from non-destructive inputs
- Non-destructive LPS diagnostic to be used for tuning/data analysis in upcoming runs

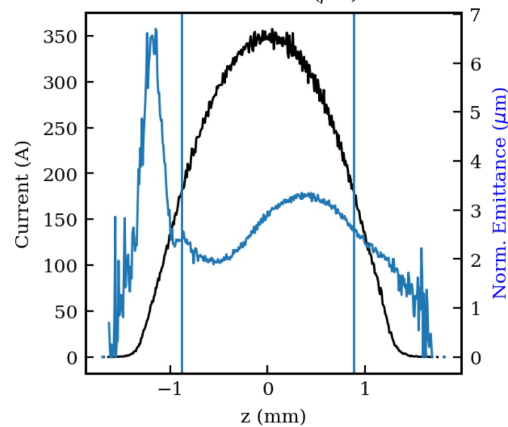
Current profile (measured)



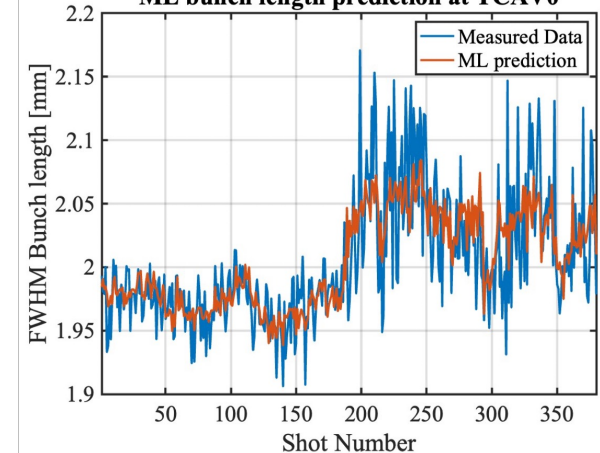
Bunch length variation (measured)



Current profile (simulation)

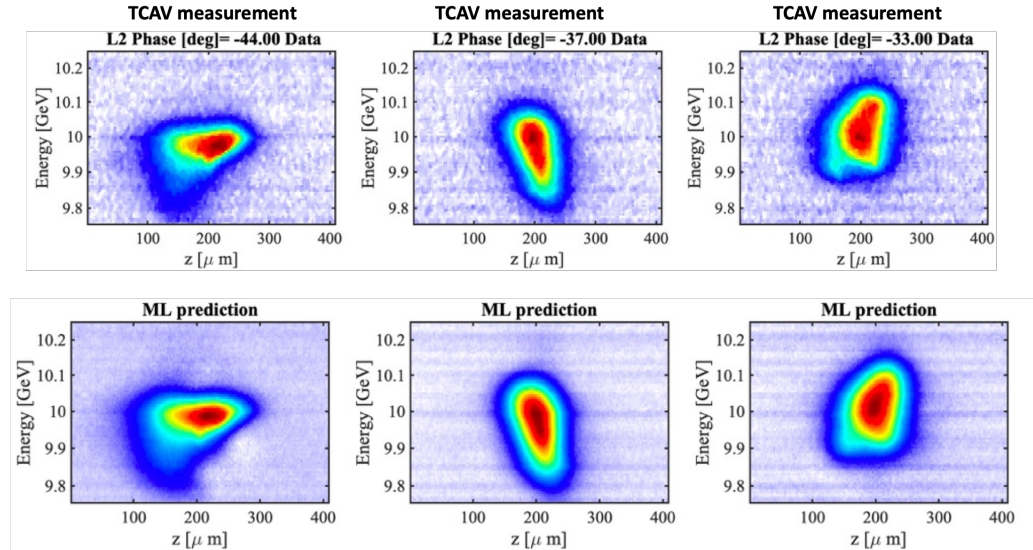
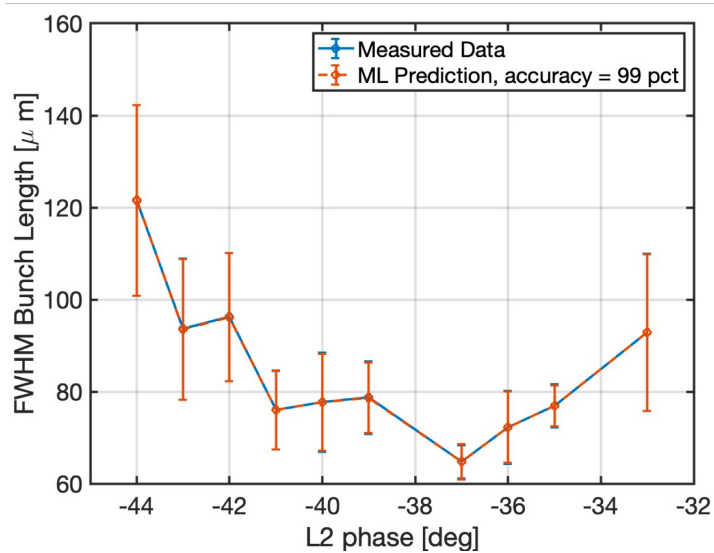


ML bunch length prediction at TCAV0



ML based diagnostic successfully predicts bunch length at the injector exit. Extension to 2D LPS to follow this year.

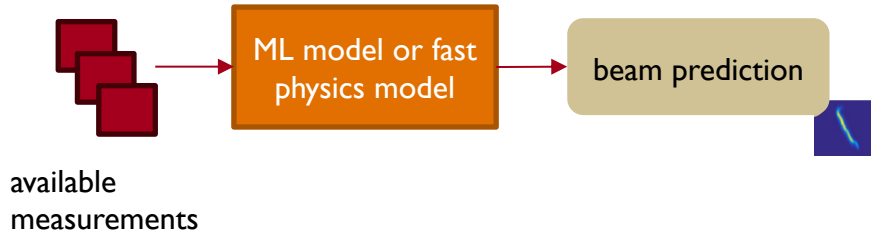
# Neural network prediction of FWHM bunch length and longitudinal phase space in FACET-II experimental area



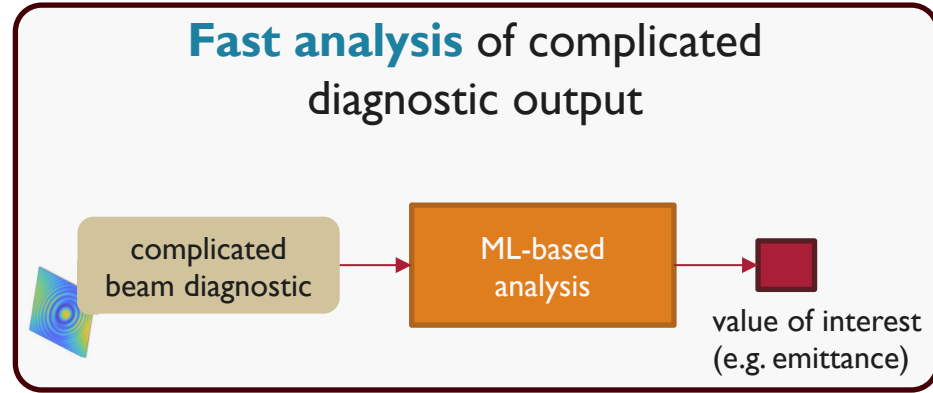
ML based LPS diagnostic feasibility demonstrated at FACET-II. Upcoming work focused on robustness + multiple locations/beam configurations.

# Virtual Diagnostics $\leftrightarrow$ ML-Enhanced Diagnostics

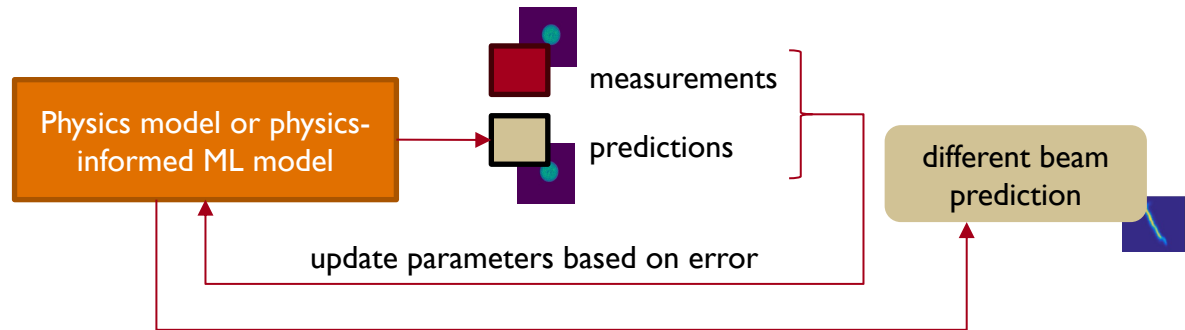
**Fast, detailed predictions** of quantities that aren't continuously available



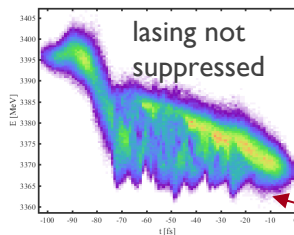
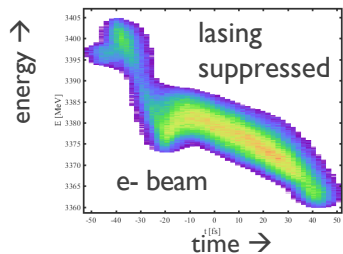
**Fast analysis** of complicated diagnostic output



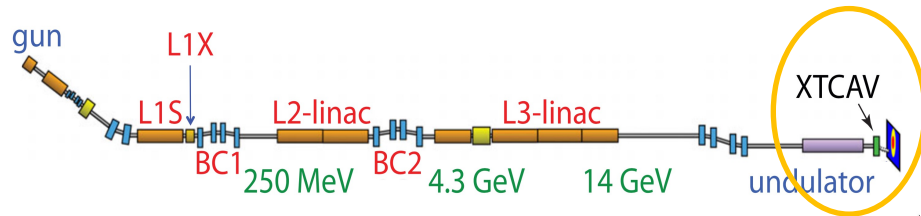
**Active tuning of system models** to infer unseen variables or beam behavior



# ML-Based Analysis of XTCAV Images for X-ray Power Profile



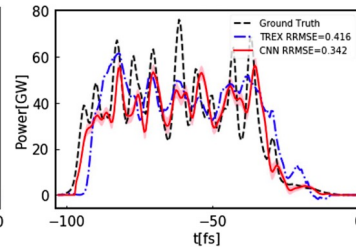
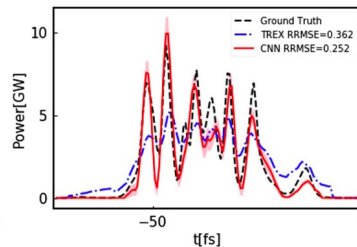
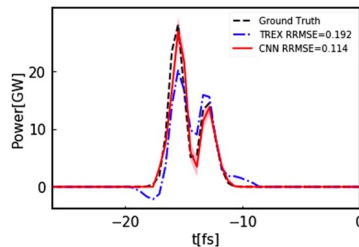
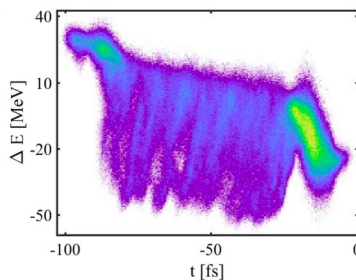
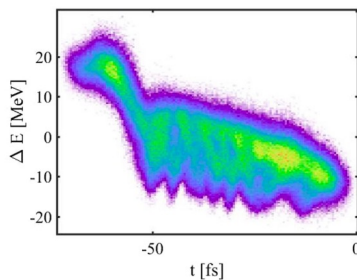
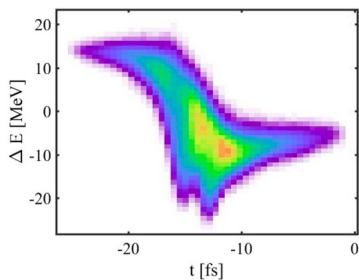
e- beam loses energy to photon beam



At LCLS routinely use XTCAV images to predict unmeasured photon beam power profile

**Standard method uses estimated energy loss:**

- Slow/iterative and doesn't work well into saturation (uses vertical slices of image)
- Needs an associated lasing-off image



X. Ren et al., PRAB 2020

**Convolutional neural network (CNN) analysis:**

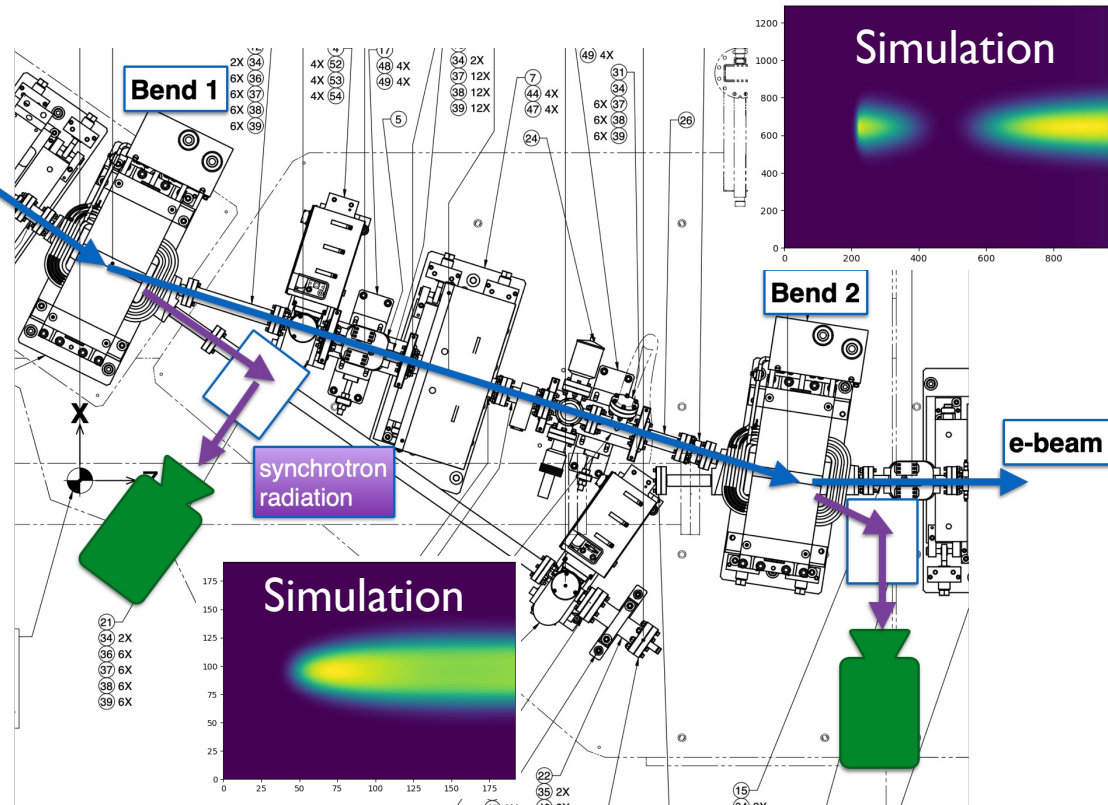
- Uses the whole image
- Does not require a lasing-off reference
- Faster / more accurate than standard reconstruction technique
- Can be used into saturation regime

Simple example of ML-enhanced analysis for photon beam power profile → needs more work to ensure robustness



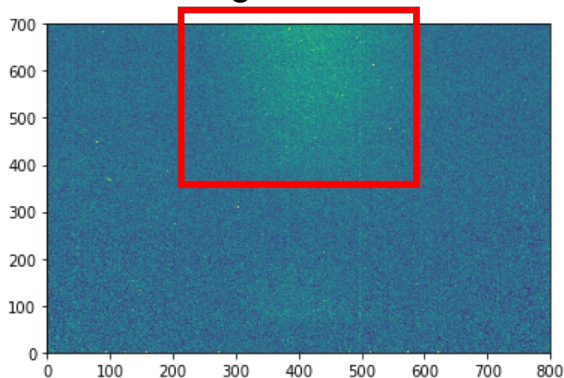
# Single-Shot Emittance Monitoring

- Want a single-shot, non-destructive diagnostic that is “always on”
- Radiation from dogleg: theory suggests can be sensitive to x and y emittance, energy spread
- On-the-fly ML-based image analysis extracts beam emittance, mismatch from radiation pattern
- Push analysis to “the edge” to get rapid (10 Hz) beam quality quantification
- Working on single-image emittance measurement in BC1 I at FACET-II; exploring applications to LCLS-II



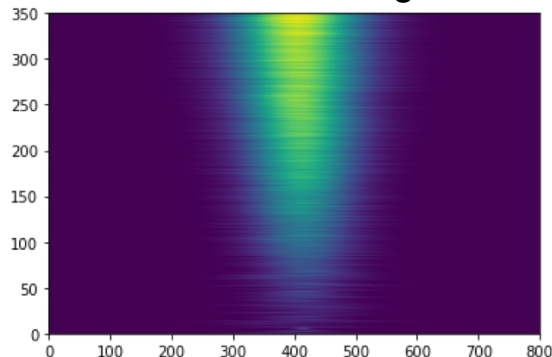
# Injector emittance diagnostic – first results

Data from edge rad camera 4/19/22

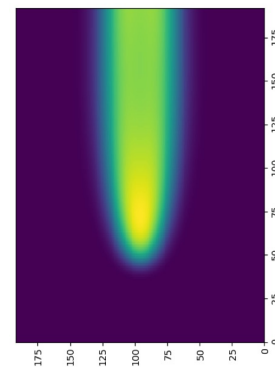


ML-based noise  
reduction

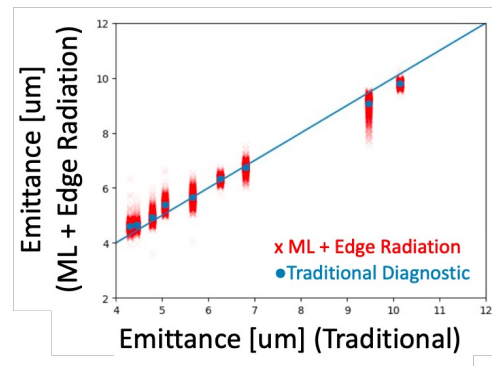
Noise filtered image



Simulation



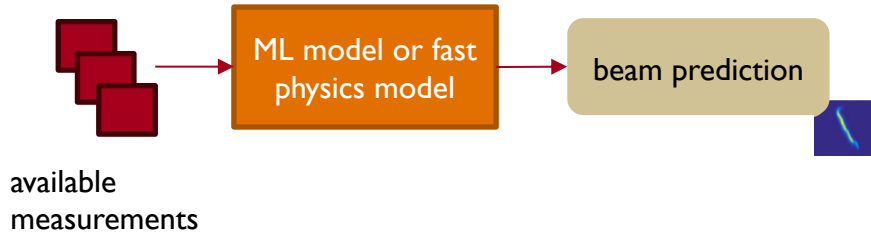
- Initial measurement data taken at injector dog-leg
- ML-based methods extract SR pattern from noisy data
- Noise filtering improves fitting and reduces uncertainty in extracted beam parameters
- First results of ML-based image analysis reproduce expected results from simulation and traditional diagnostic measurements



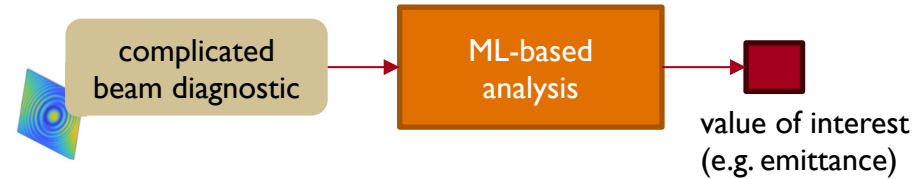
ML-based image analysis reproduces expected results from e-beam tracking simulations and traditional diagnostic measurements.

# Virtual Diagnostics $\leftrightarrow$ ML-Enhanced Diagnostics

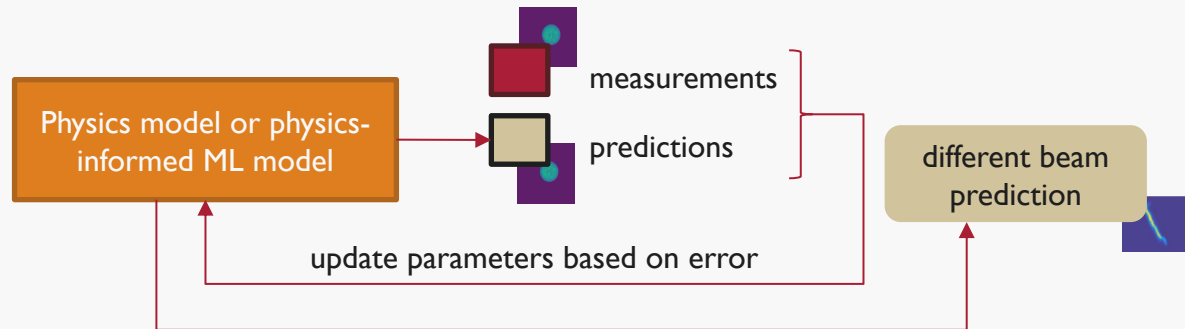
**Fast, detailed predictions** of quantities that aren't continuously available



**Fast analysis** of complicated diagnostic output



**Active tuning of system models** to infer unseen variables or beam behavior



# Finding Sources of Error Between Simulations and Measurements

Many non-idealities not included in physics simulations:

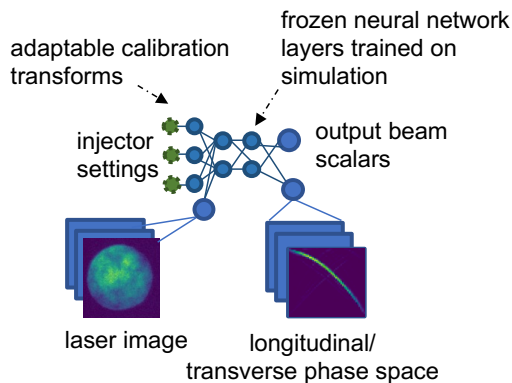
**static error sources** (e.g. magnetic field nonlinearities, physical offsets)

**time-varying changes** (e.g. temperature-induced phase calibrations)

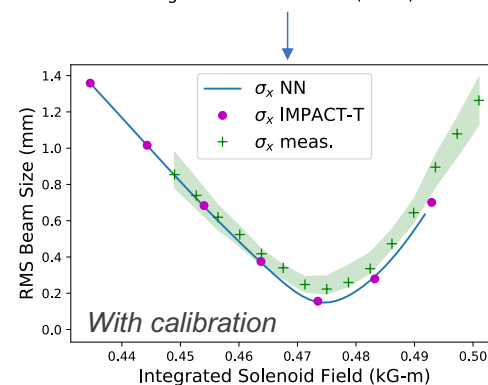
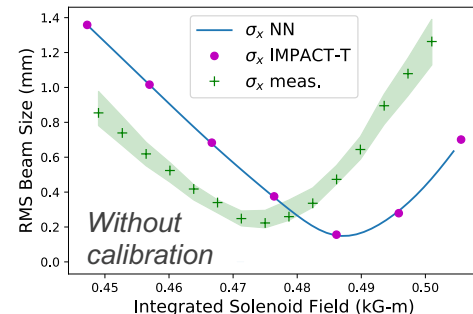
Want to identify these to get better understanding of machine performance

→ ML model allows fast / automatic exploration of error sources in high dimension

*Example: calibration offset in injector solenoid strength found automatically with neural network model (trained first in simulation, then calibrated to machine)*

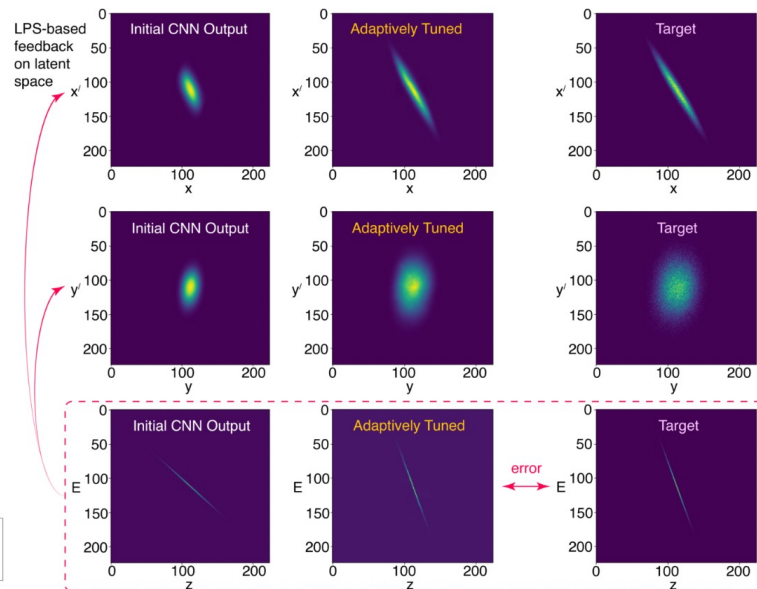
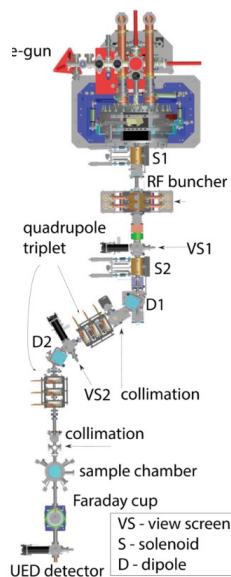
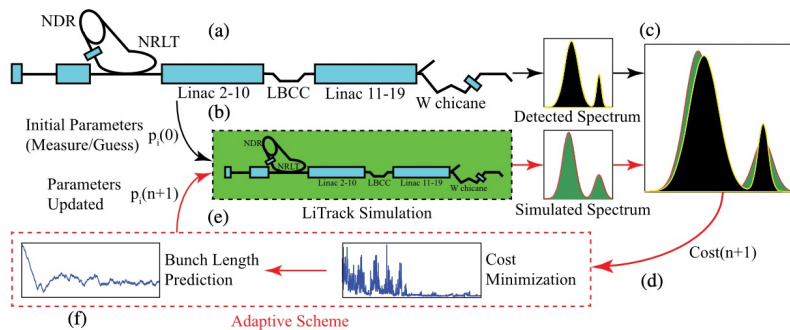


Inputs	Outputs
Laser radius	Beam size (x,y)
Laser spot sizes	Emittance (x,y)
Pulse length	Bunch length
Charge	
Solenoid	
LOA phase	
LOB phase	
SQ quad	
CQ quad	
6 matching quads	



Speed of ML models enables rapid identification of error sources between idealized physics simulations and real machine  
→ path toward gaining insights into machine performance

# Continuous Feedback to Adaptively Tune Models



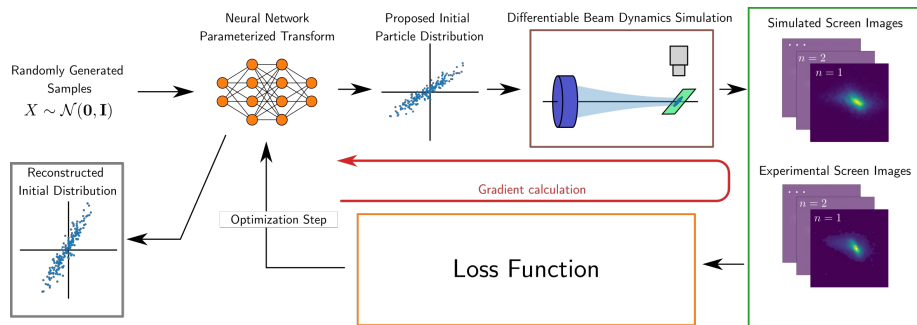
A. Scheinker, JINST 16 P10008 (2021)

A. Scheinker and S. Gessner, PRAB 18, 102801 (2015)

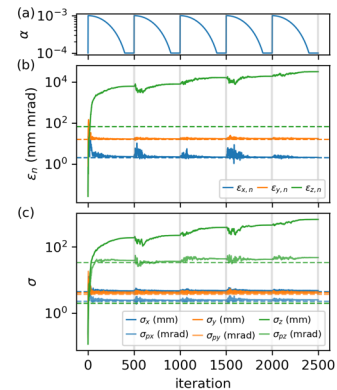
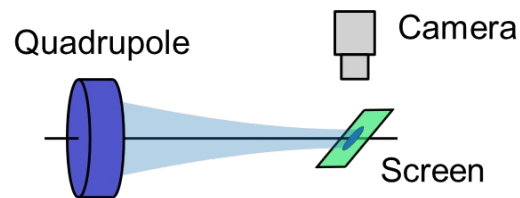
# Phase Space Reconstruction with Differentiable Tracking Simulations

See J.P.'s talk from Tuesday

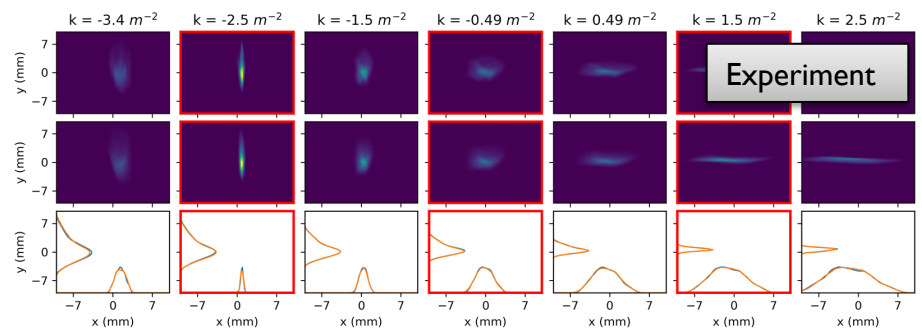
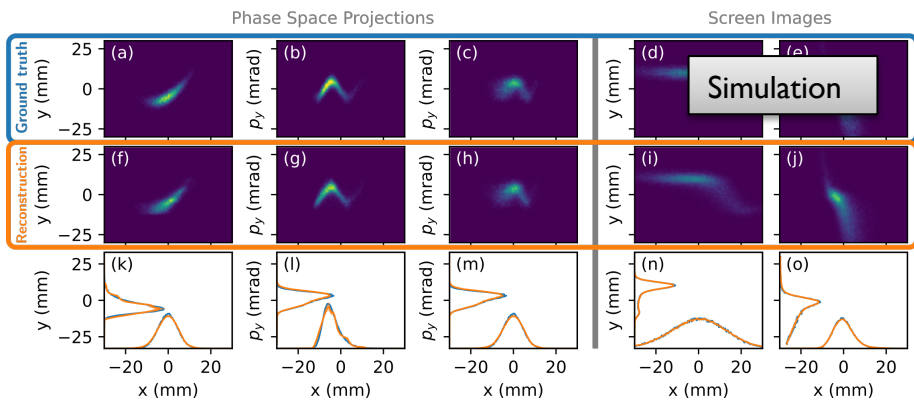
Differentiable pipeline for reconstructing 6D phase space distribution using neural network parameterization



Reconstruct 4D phase space distribution + approx. energy spread from simple beamline diagnostic and 10 measurements



Confidence estimates



ML combined with differentiable simulations opens up a new paradigm for constructing detailed phase space diagnostics in a way that is computationally-efficient and sample-efficient

# Summary/Conclusions

ML and HPC enable wide array of “virtual diagnostic” capabilities, including detailed online physics simulations tied to the control system

- Used online IMPACT model for LCLS-II commissioning (3-8 min execution)
- Neural network system models: ms execution and adaptive tuning of models

ML combined with differentiable simulation techniques enables beam characterization with a high level of detail and minimal data

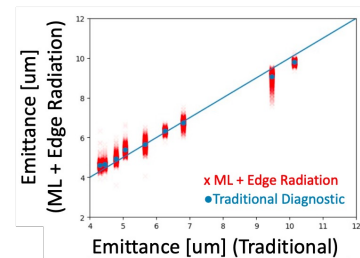
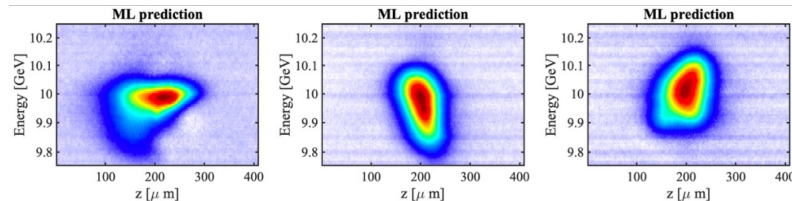
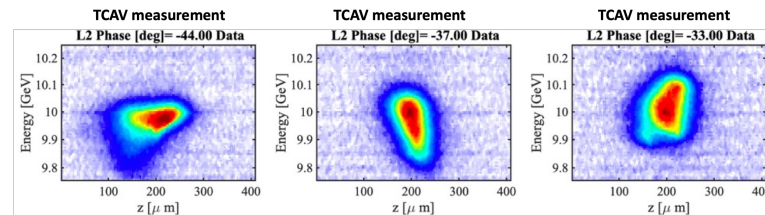
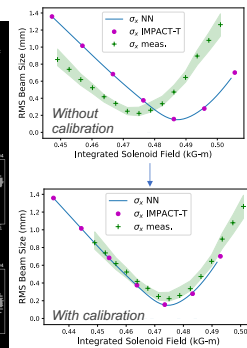
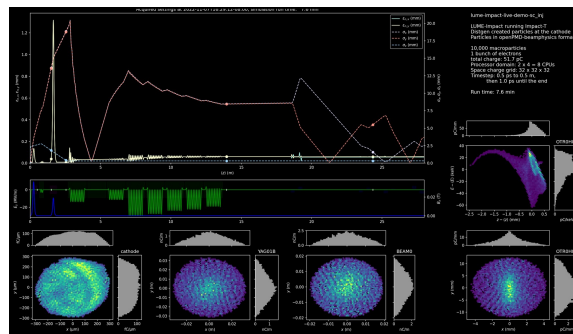
- Have demonstrated unprecedented capabilities in detailed phase space reconstruction for simple quadrupole scan measurement
- Opens up new way of thinking for what a diagnostic can be

Shot-to-shot ML-enhanced diagnostics at FACET-II will provide continuous predictions of beam quality (longitudinal and transverse)

- Will aid both beam control and user analysis for experiments
- Good progress on experimental results so far → full steam ahead!

Still much work ahead for ML-enhanced diagnostics in practice

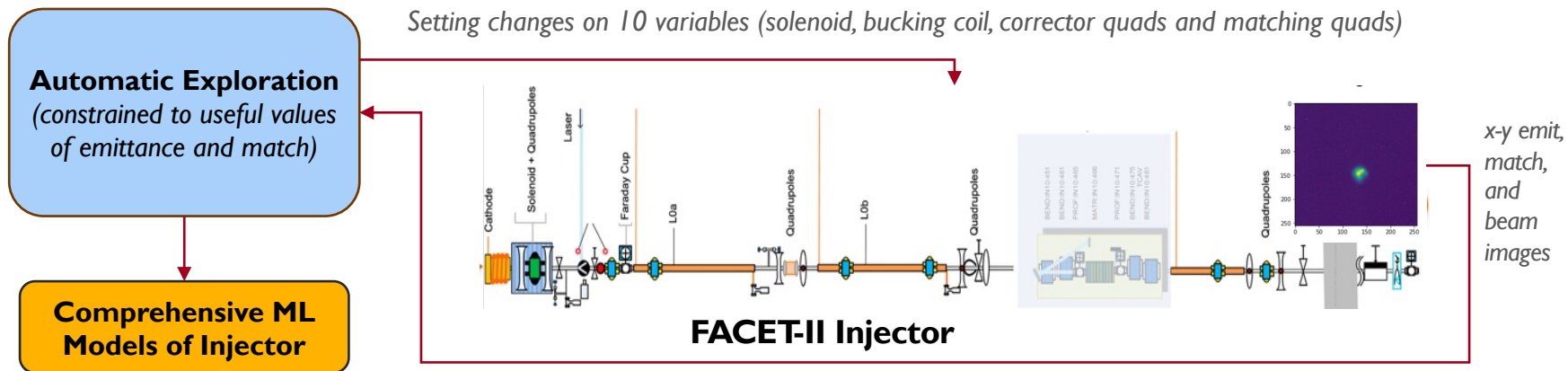
- Ensuring reliability of predictions and uncertainty estimates under changing conditions (i.e. distribution shift)
- MLOps and related software infrastructure for regular deployment/maintenance (track performance of and update ML components as needed)



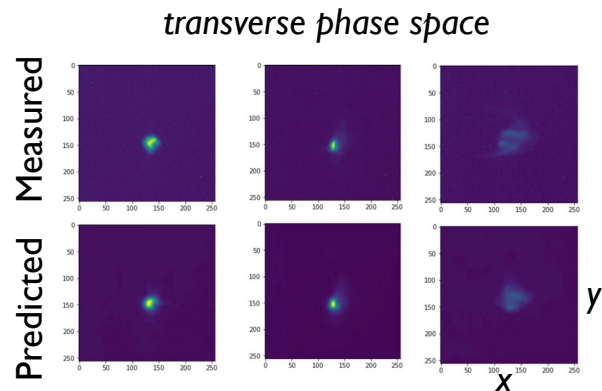
# Backups



# Efficient Characterization of FACET-II Injector



- Used Bayesian Exploration for efficient high-dimensional characterization (10 variables) of emittance and match at 700pC: **2 hrs for 10 variables compared to 5 hrs for 4 variables with N-D parameter scan**
- Data was used to train neural network model of injector response predicting x-y beam images. GP ML model from exploration predicts emittance and match.
- Example of integrated cycle between characterization, modeling, and optimization → now want to extend to larger system sections and new setups

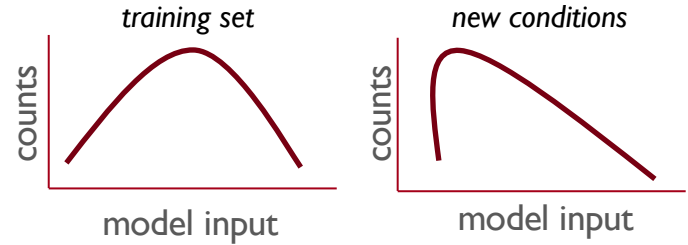


Use of Bayesian exploration to generate training data was sample-efficient, reduced burden of data cleaning, and resulted in a well-balanced distribution for the training data set over the input space. ML models were immediately useful for optimization.

# Uncertainty Quantification / Robust Modeling / Model Adaptation

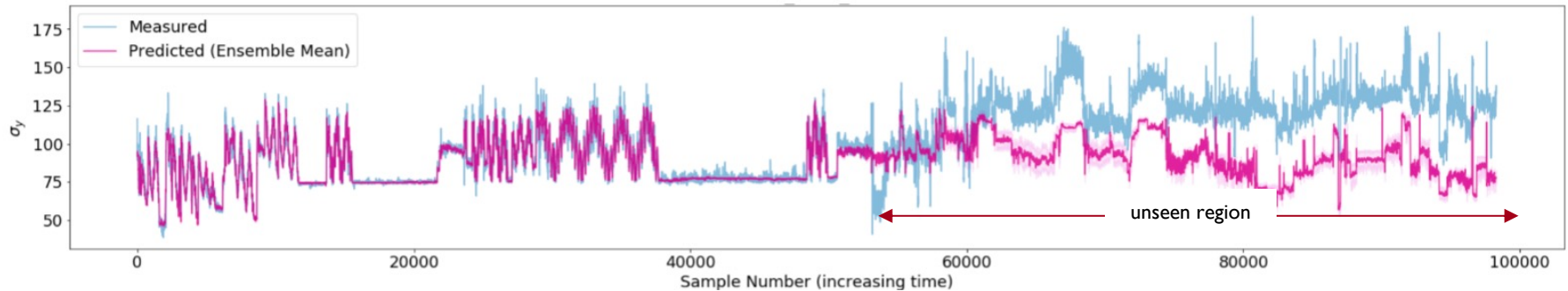
Major area of AI/ML research: statistical distribution shift between training and test data degrades prediction

Distribution shift is extremely common in accelerators, due to both deliberate changes in beam configuration and uncontrolled or hidden variables



*Example: beam size prediction and uncertainty estimates under drift from a neural network*

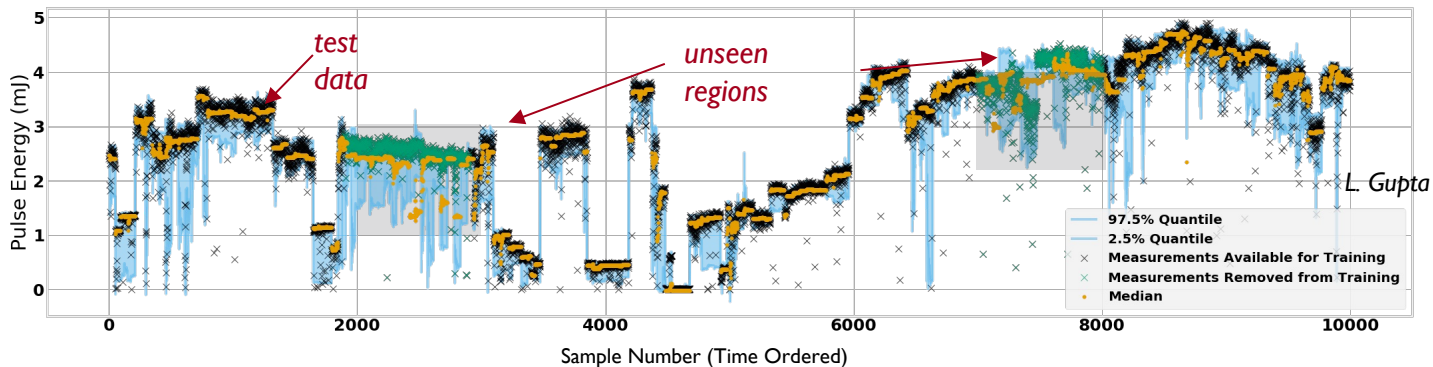
*Uncertainty estimate from neural network ensemble does not cover prediction error, but does give a qualitative metric for uncertainty*



Reliable uncertainty estimates and model adaptation methods are key for putting online models to use operationally

# Uncertainty Quantification / Robust Modeling

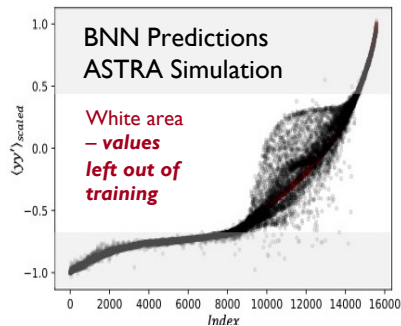
Essential for decision making under uncertainty (e.g. safe opt., intelligent sampling, virtual diagnostics)



Current approaches

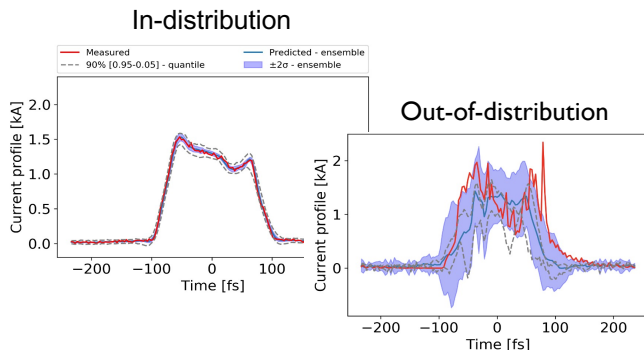
- Ensembles
- Gaussian Processes
- Bayesian NNs
- Quantile Regression

Neural network with quantile regression predicting FEL pulse energy at LCLS



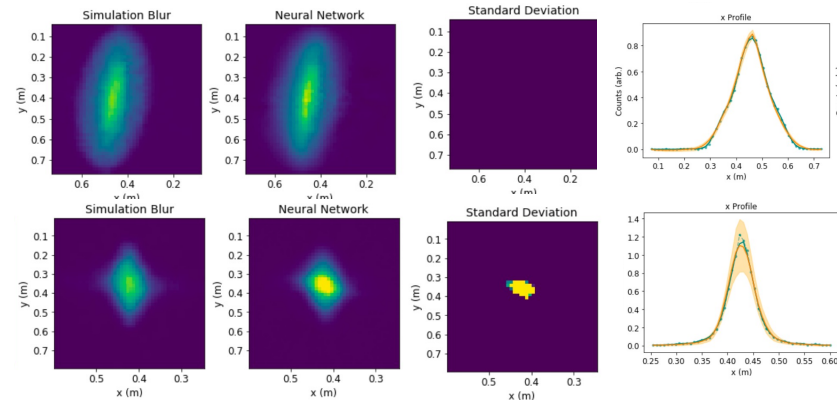
Scalar parameters for the LCLS-II injector (Bayesian neural network)

A. Mishra et al., PRAB, 2021



longitudinal phase space (quantile regression + ensemble)

O. Convery, et al., PRAB, 2021

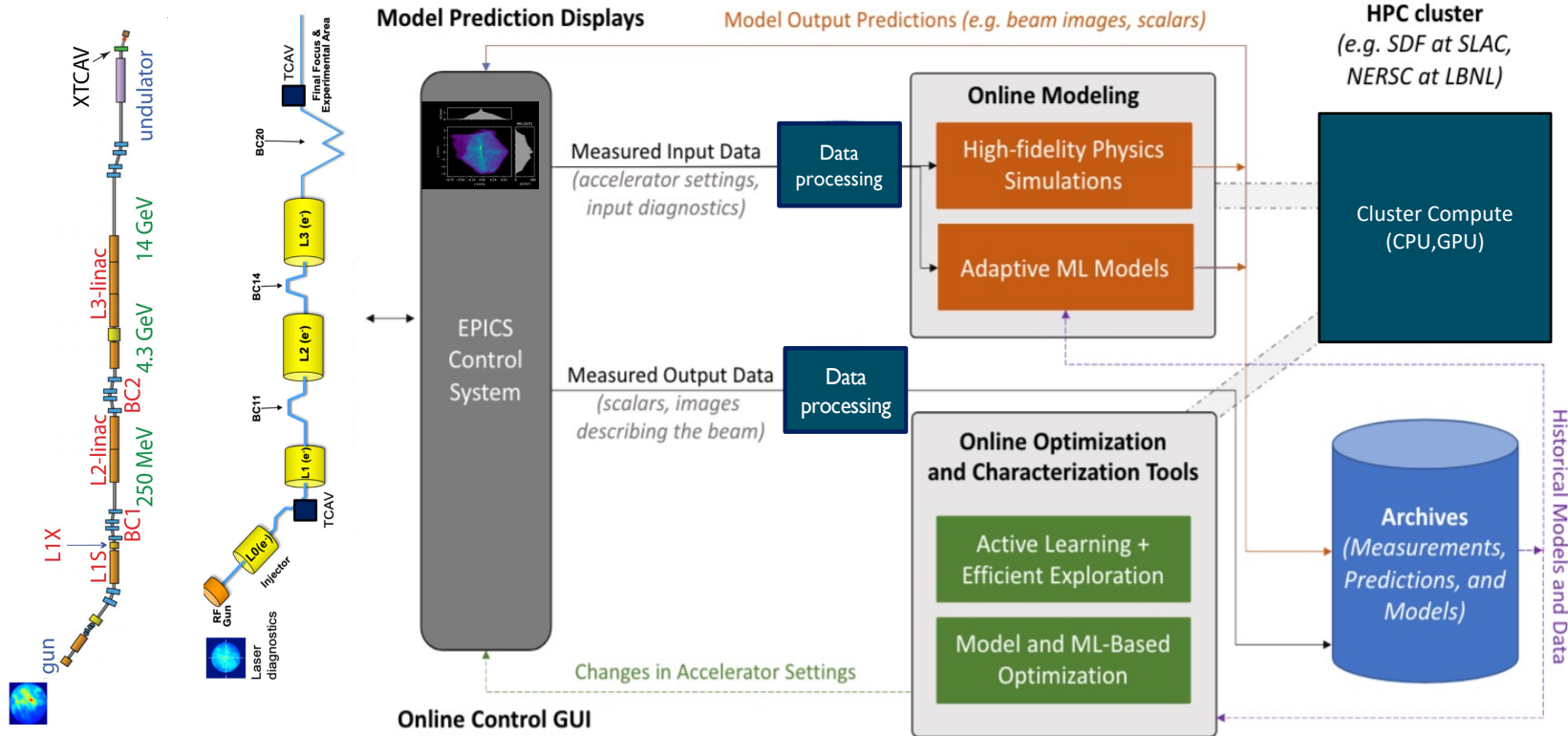


LCLS injector transverse phase space (ensemble)

# Goal: Full Integration of AI/ML Optimization, Data-Driven Modeling, and Physics Simulations

Working on a *facility-agnostic* ecosystem for online simulation, ML modeling, and AI/ML driven characterization/optimization

Will enable system-wide application to aid operations, and help drive AI/ML development (e.g. higher dimensionality, robustness, combining algorithms efficiently)



Making good progress toward this vision with open-source, modular software tools

# Modular, Open-Source Software Development

Community development of **re-usable, reliable, flexible software tools** for AI/ML workflows has been essential to maximize return on investment and ensure transferability between systems

**Modularity has been key:** separating different parts of the workflow + using shared standards

## Different software for different tasks:

Optimization algorithm driver (e.g. *Xopt*)

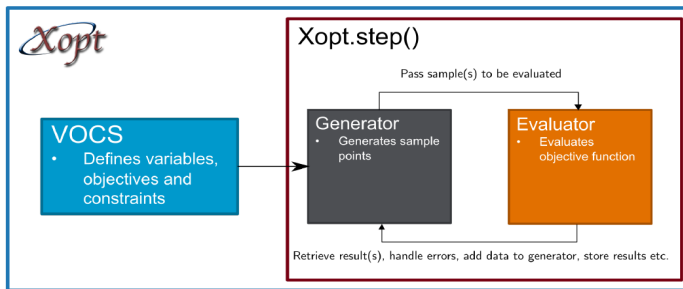
Visual control room interface (e.g. *Badger*)

Simulation drivers (e.g. *LUME*)

Standards model descriptions, data formats, and software interfaces (e.g. *openPMD*)

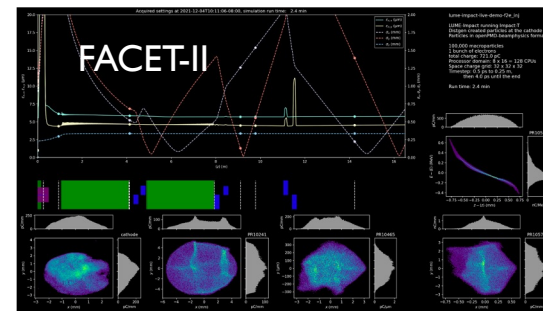
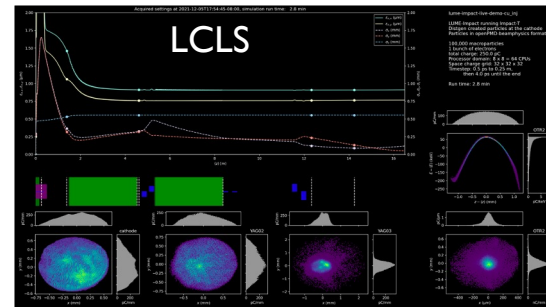
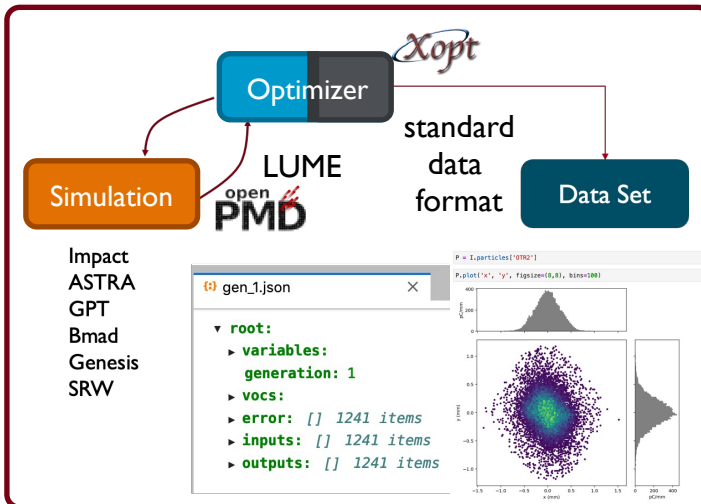
Online model deployment (*LUME-services*)

More details at <https://www.lume.science/>



```
vocs:
name: TNK_test
variables:
x1: [0, 3.14159]
x2: [0, 3.14159]
objectives: {y1: MINIMIZE}
constraints:
c1: [GREATER_THAN, 0]
c2: ['LESS_THAN', 0.5]
```

```
algorithm:
name: bayesian_exploration
options:
n_initial_samples: 5
n_steps: 25
generator_options:
batch_size: 1
#sigma: [[0.01, 0.0],
use_gpu: False
```

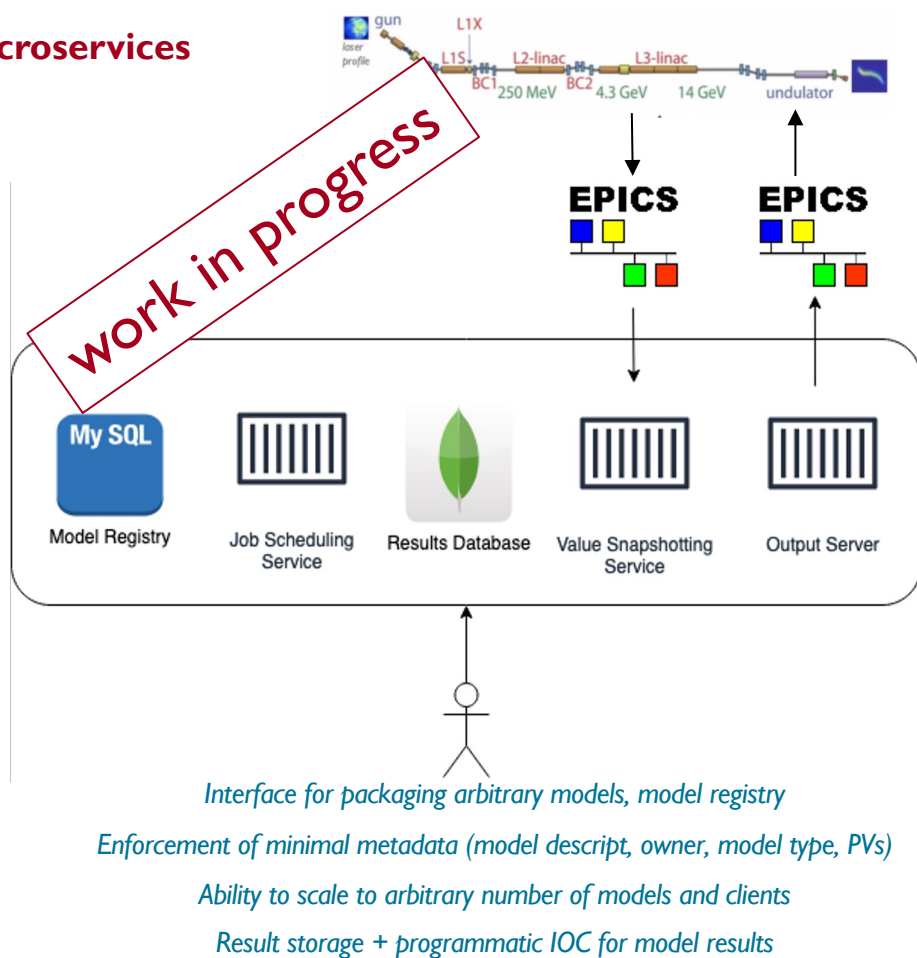


**Online Impact-T simulation and live display; trivial to get running on FACET-II using same software tools as the LCLS injector**

Modular open-source software has been essential for our work. We welcome new users and contributors.

# LUME-services: An online modeling service built on microservices

- LUME-services is a Python package providing data APIs for inter-service interactions and user tooling
- Models are pip-installable Python packages and templates may be auto-generated using the LUME-services tools
- Models run in containers when a user schedules a workflow run
- The template provides Continuous Integration (CI) tools (e.g. GitHub actions) for users to use for testing and deployment
- Have demoed for a variety of physics sims and ML models at SLAC → now testing / improving for new cases
- Have not yet integrated MLOps components (e.g. continuous/triggered automated model adaptation)
- Resources:
  - lume-services <https://slaclab.github.io/lume-services/demo/>
  - lume-model <https://slaclab.github.io/lume-model/>
  - lume-epics <https://slaclab.github.io/lume-epics/>
  - distgen <https://github.com/ColwynGulliford/distgen>



Infrastructure for reliable, continuous online model deployment and model version tracking / updating  
**Aimed for transferrable design between platforms → we welcome collaborators!**