Detailed Phase Space Reconstruction using Neural Networks and Differentiable Simulations

Physics and Applications of High Brightness Beams

San Sebastián, Spain - June 20th , 2023

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Seongyeol Kim, John Power, Eric Wisniewski *(ANL)*

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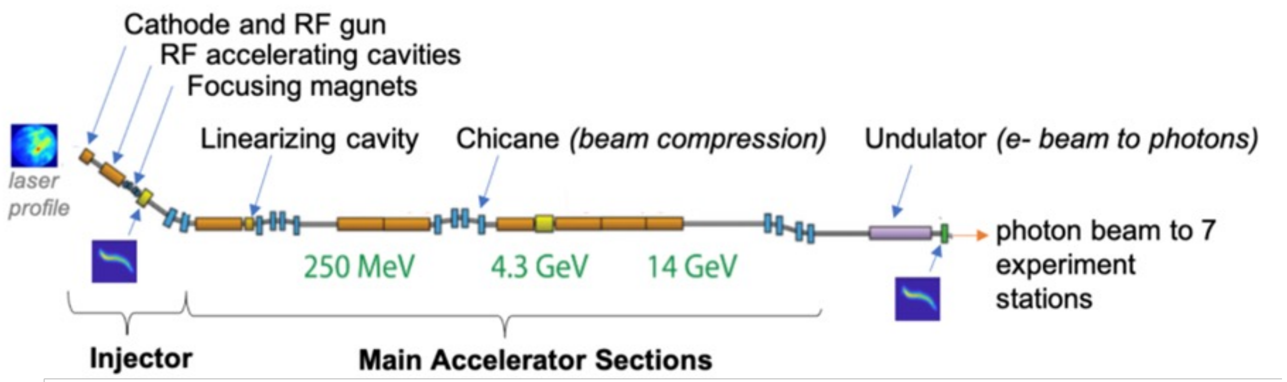
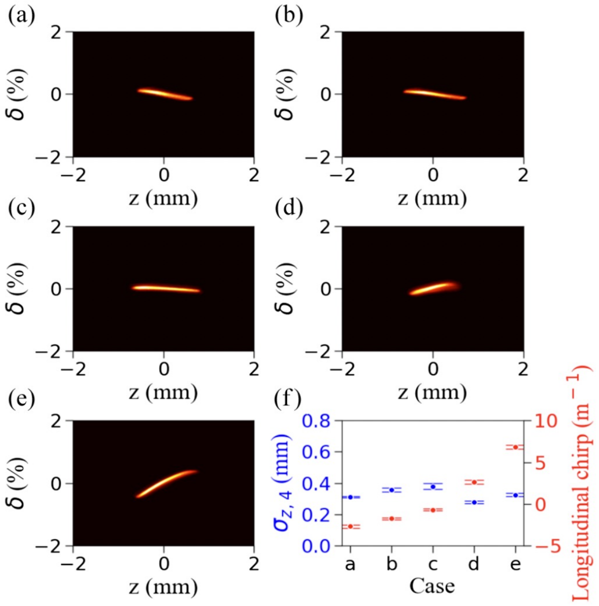
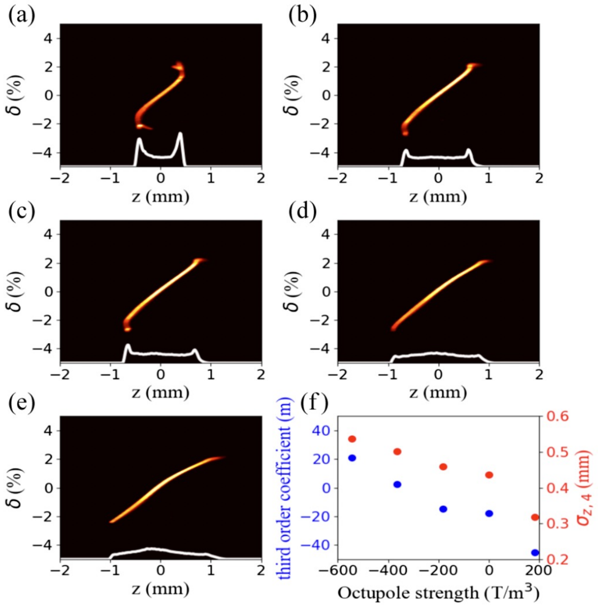
Manipulating Beams in Phase Space



PRAB 21, 112802 (2018)





PRL 129, 224801 (2022)

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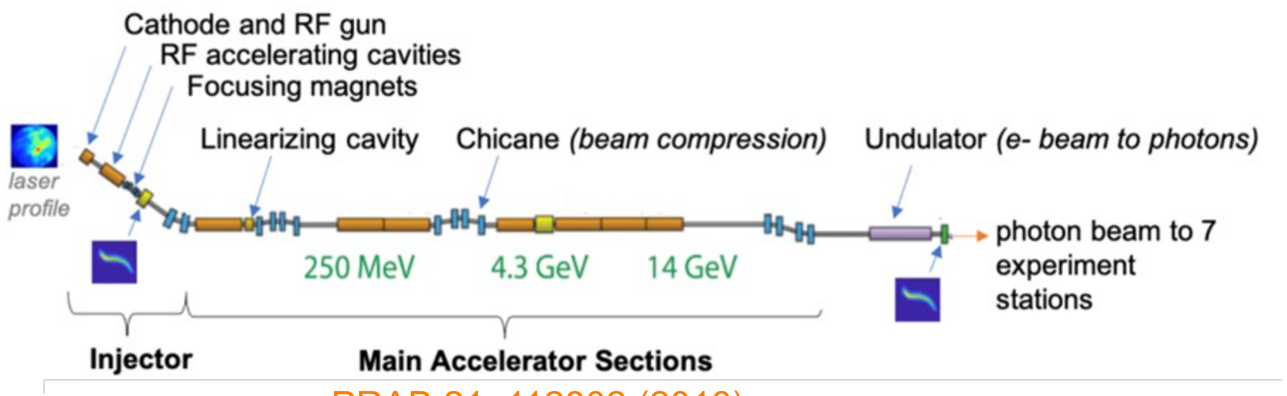

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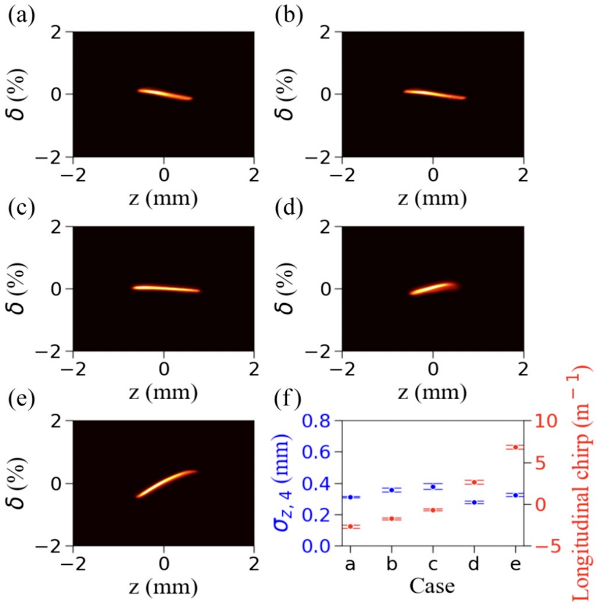
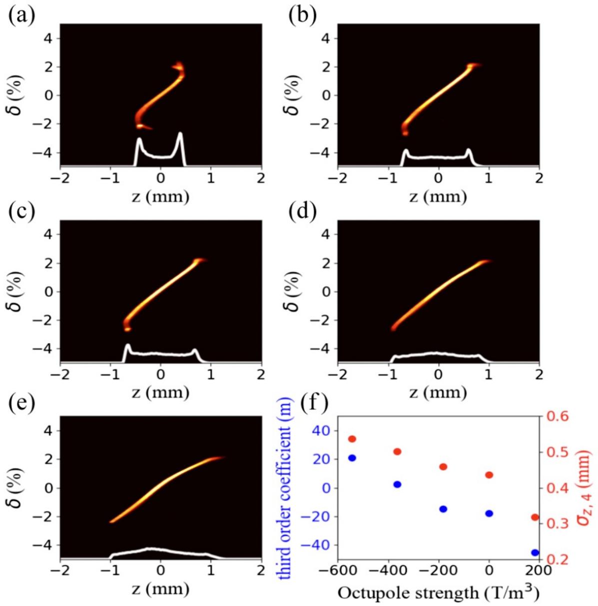




PRL 129, 224801 (2022)

General Accelerator R&D Program

Accelerator and Beam Physics Roadmap

DOE Accelerator Beam Physics Roadmap Workshop

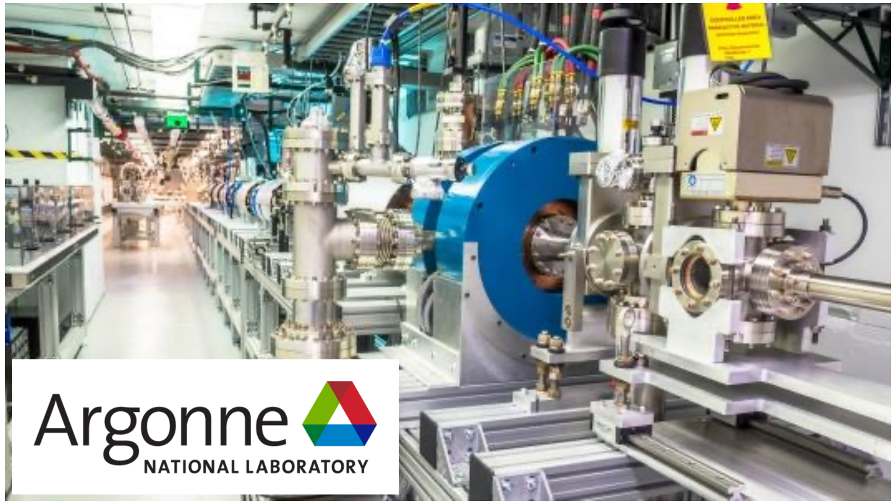
September 6–8, 2022

5 Grand Challenge Three

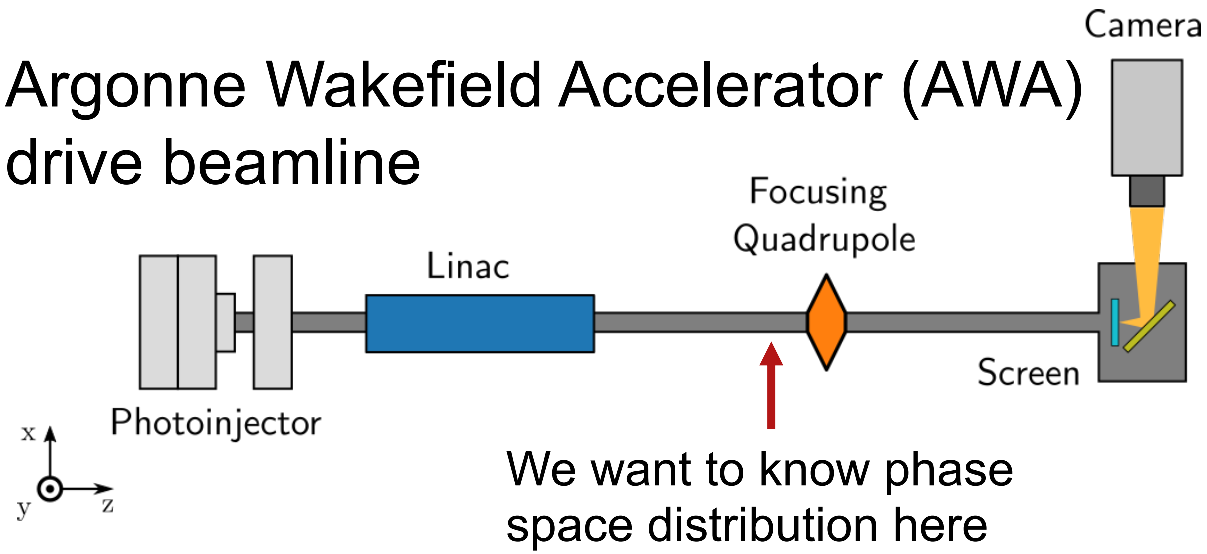
Beam Control: How do we control and diagnose the beam distribution at all scales—from its macroscopic properties down to the level of individual particles?

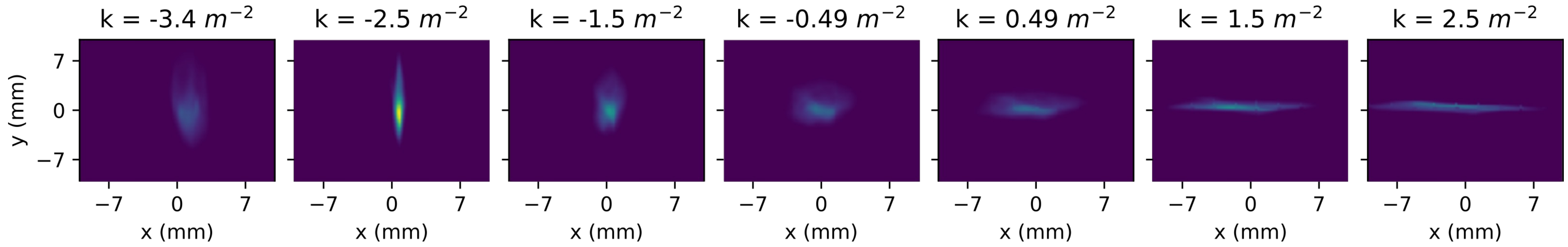
Detailed measurement of beam phase space distribution is important!

Phase space distribution measurements



Argonne Wakefield Accelerator (AWA) drive beamline



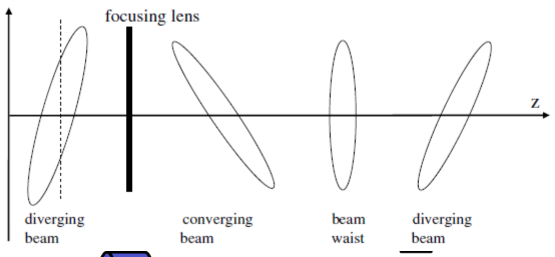


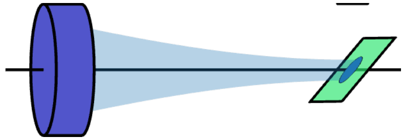
How do I get the most information out of these in an efficient way?

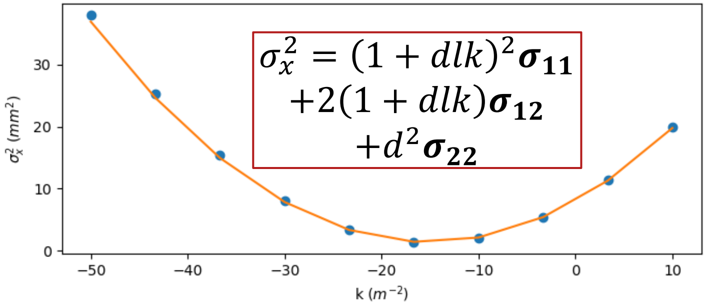
Usual Approaches

Simple quad scan:

- rotate beam by scanning focusing strength
- measure the beam size
- Fit and solve for ε







$$\sigma_x^2 = (1 + dlk)^2 \boldsymbol{\sigma_{11}} + 2(1 + dlk)\boldsymbol{\sigma_{12}} + d^2 \boldsymbol{\sigma_{22}}$$

$$\varepsilon = \sqrt{\sigma_{11}\sigma_{22} - \sigma_{12}^2}$$

Usual Approaches

Simple quad scan:

- rotate beam by scanning focusing strength
- me
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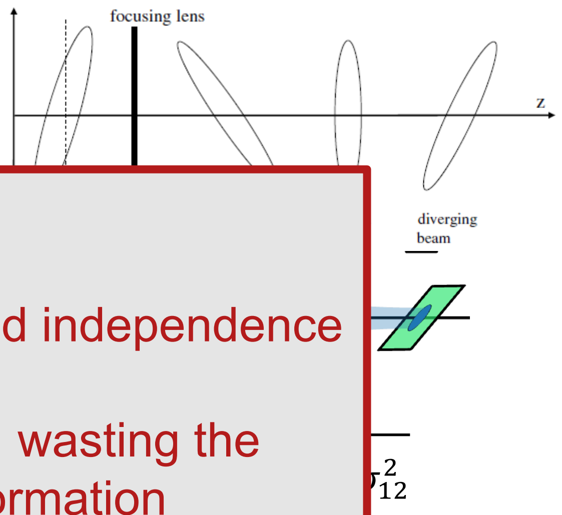

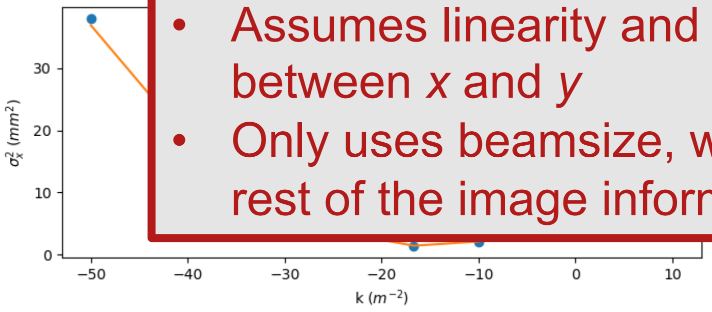

- Fast
- Not detailed
- Assumes linearity and independence between *x* and *y*
- Only uses beamsize, wasting the rest of the image information

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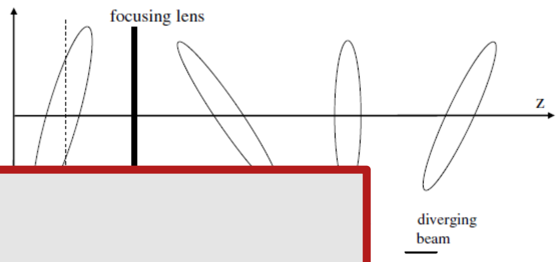

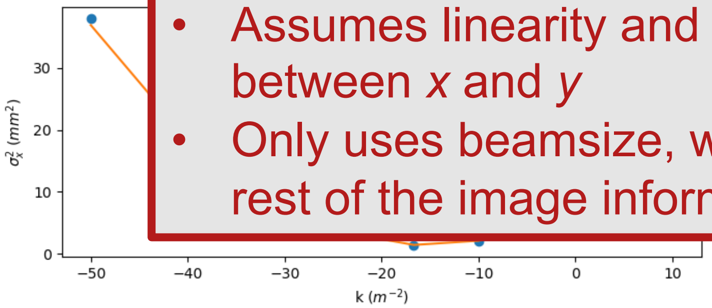

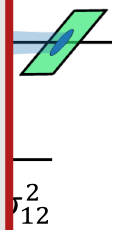

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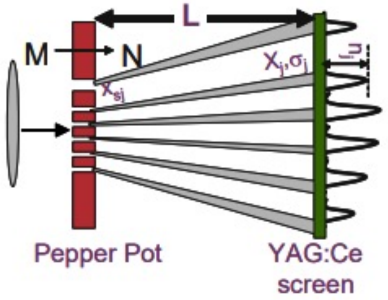
Specialized diagnostics:
- pepper-pot (single-shot 4D)
- Multi-slit (single-shot 2D)
- Moving slit (multiple measurements)



Power. J. et al PAC07, 2007

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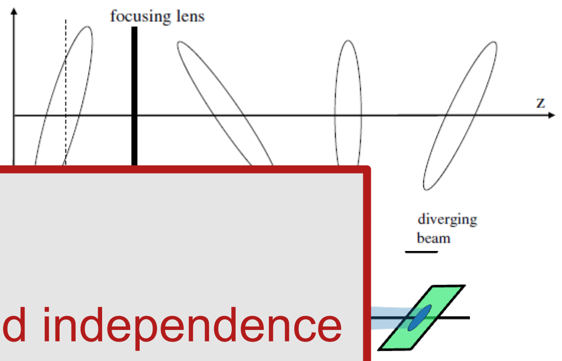

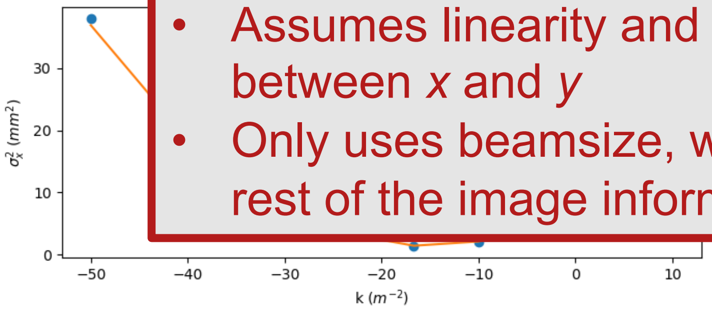

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- Mo

- Fast
- Not as detailed as we would like
- Design considerations for different beam sizes / charges
- Wastes information: only uses beamlets intensities, positions and sizes

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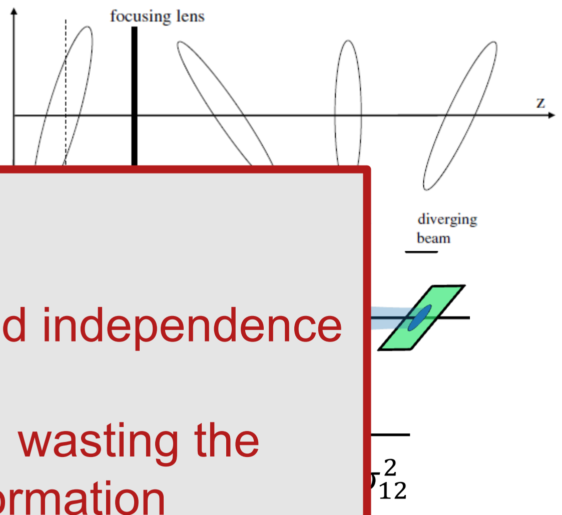

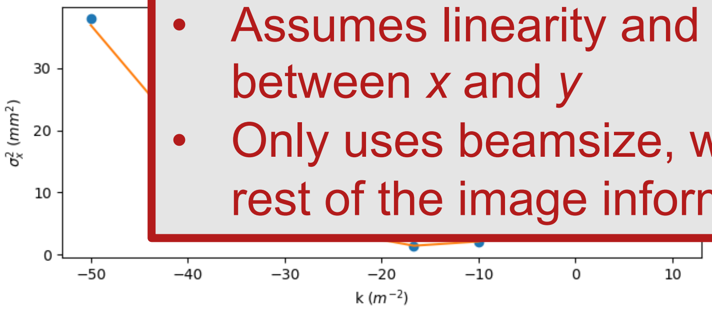

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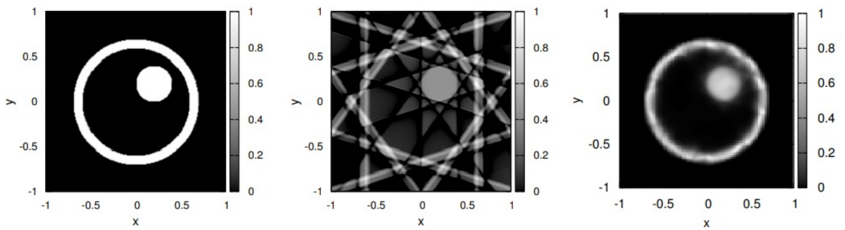
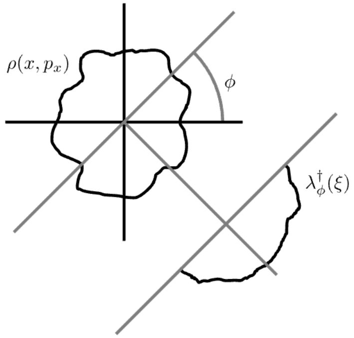
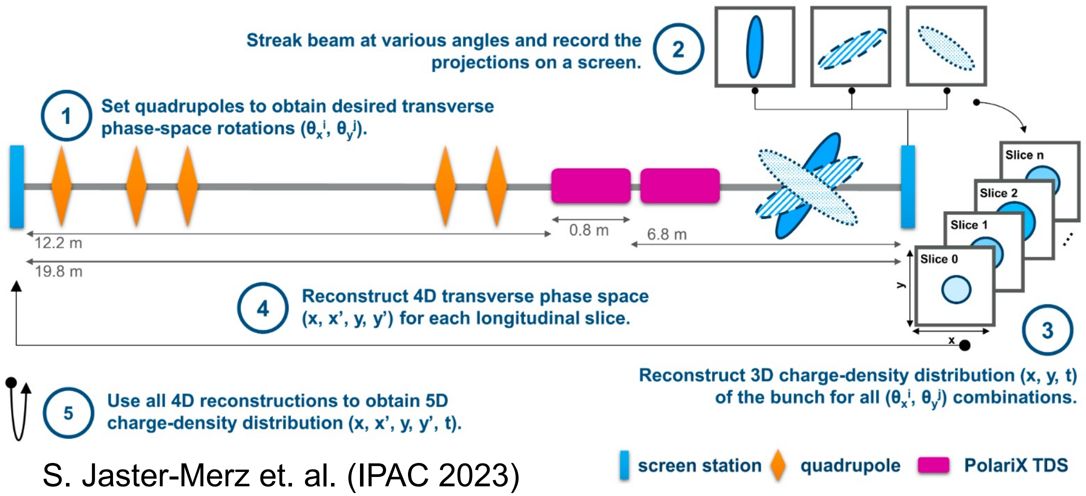
Advanced tomographic methods:
- Maximum entropy tomography (MENT)
- Algebraic reconstruction (ART, SART)





Hock K. and Ibison M., JINST, 2013



S. Jaster-Merz et. al. (IPAC 2023)

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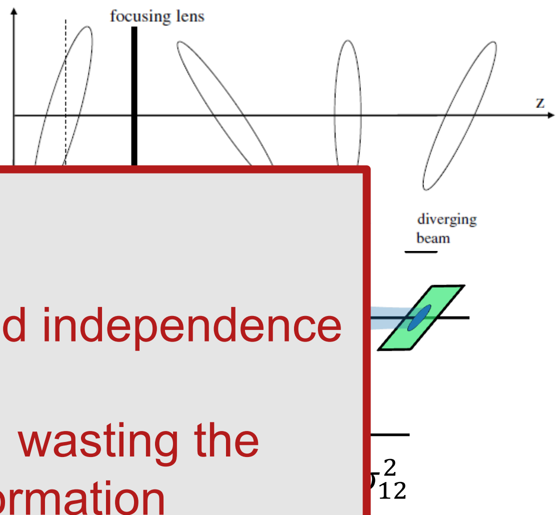

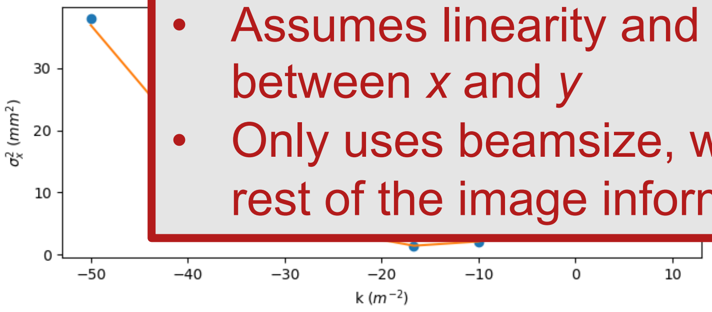

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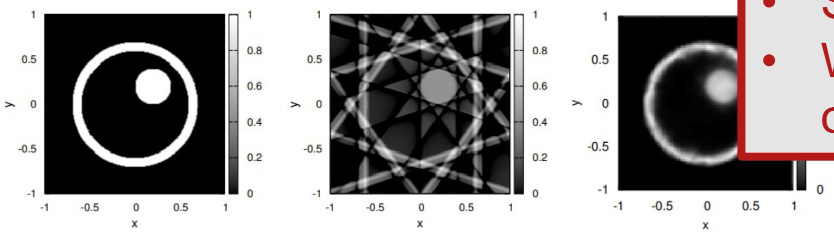
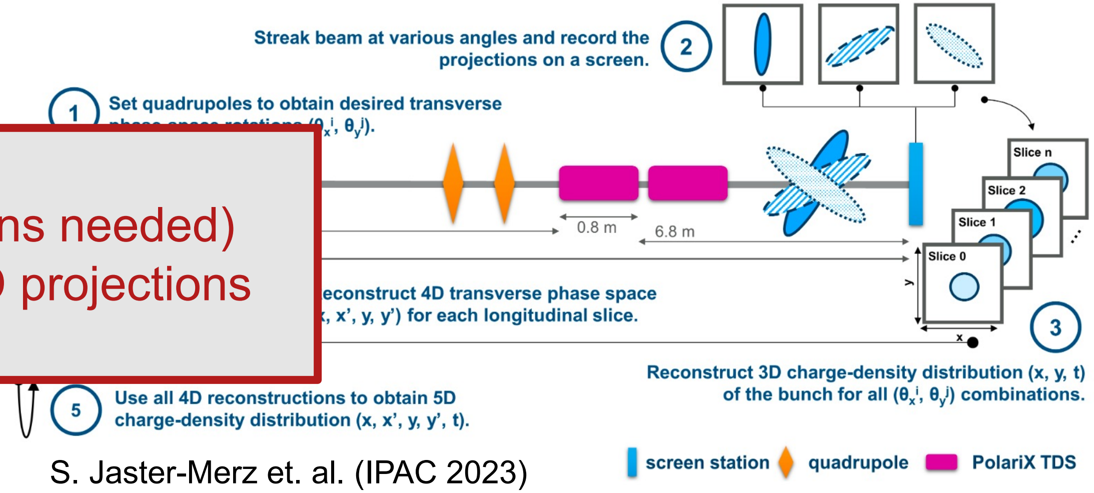


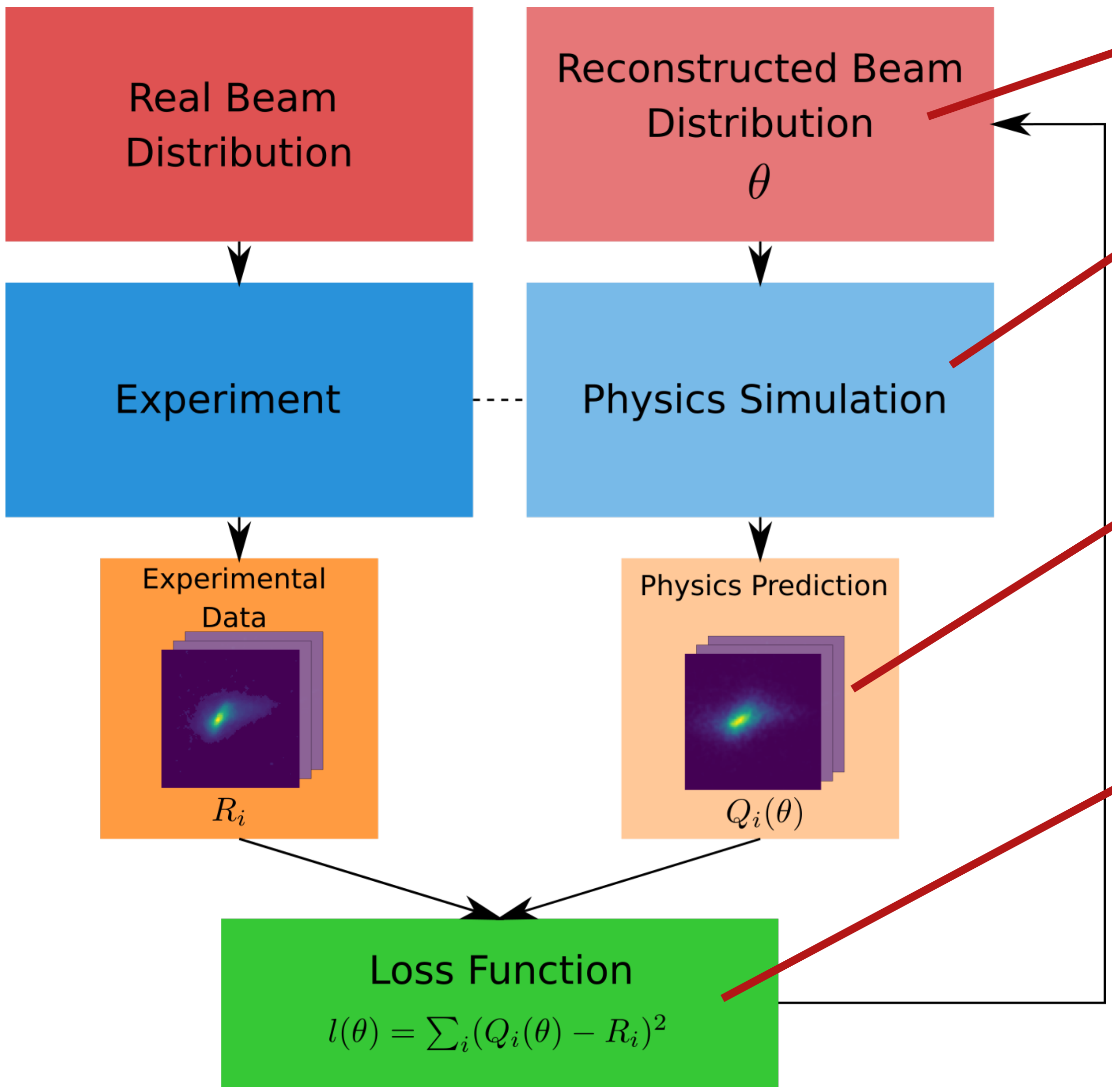
Hock K. and Ibison M., JINST, 2013

- Very detailed
- Slow (many observations needed)
- Wastes information: 1D projections only.



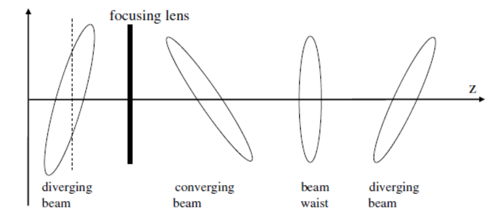
S. Jaster-Merz et. al. (IPAC 2023)

Phase Space Fitting as optimization problem



Simple quad scan:

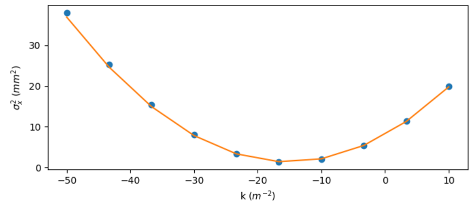
- Beam distribution is assumed to be elliptical. Fully parametrized by σ_{xx}, σ_{xp_x}, $\sigma_{p_xp_x}$
- Assume linear transport of elliptical beam



- Beam sizes from screen downstream

$$\sigma_x^2 = (1 + dlk)^2 \boldsymbol{\sigma_{11}} + 2(1 + dlk)\boldsymbol{\sigma_{12}} + d^2 \boldsymbol{\sigma_{22}}$$

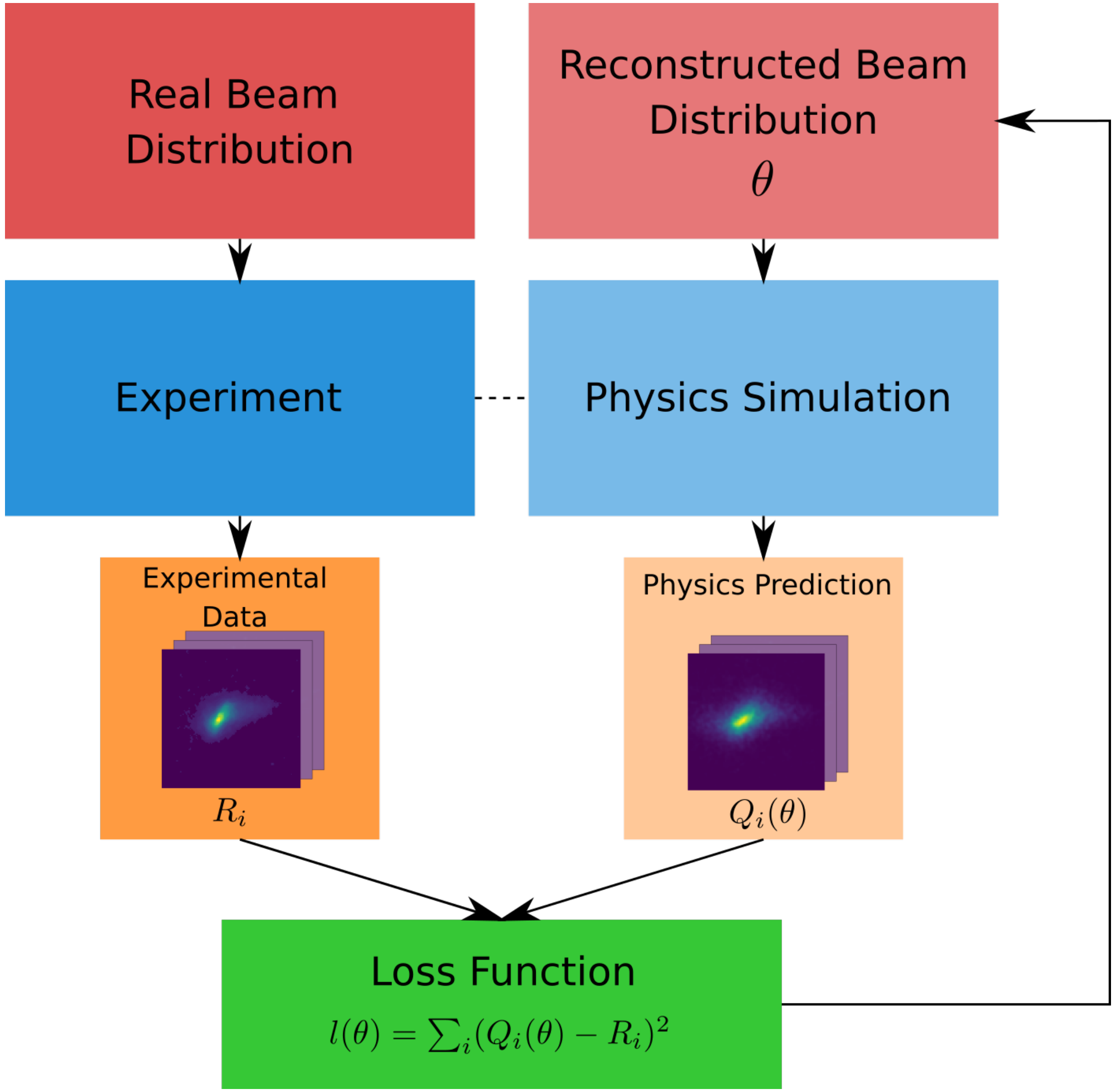
- Error of the quadratic fit



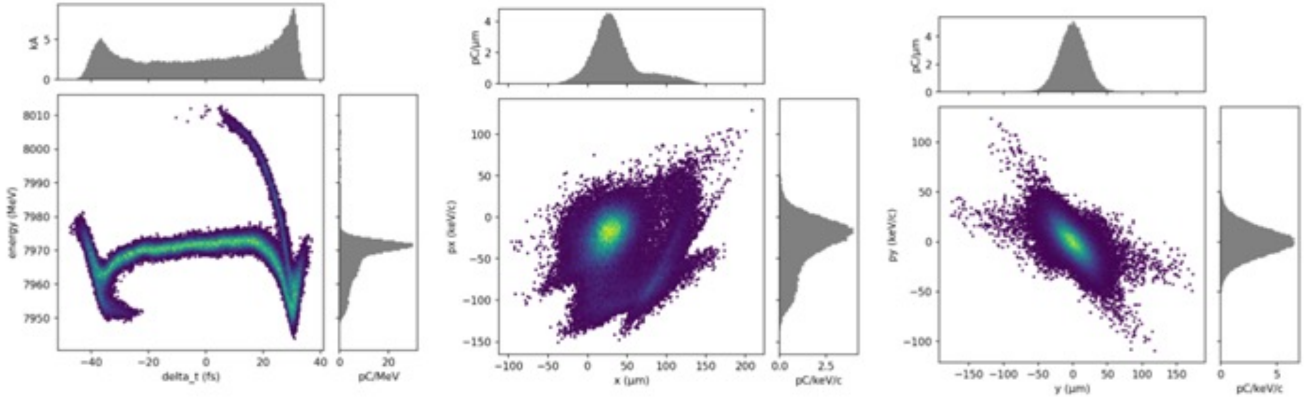
Result:

- Elliptical 2D phase space consistent with beam size measurements.

Phase Space Fitting as optimization problem



We want more detail:

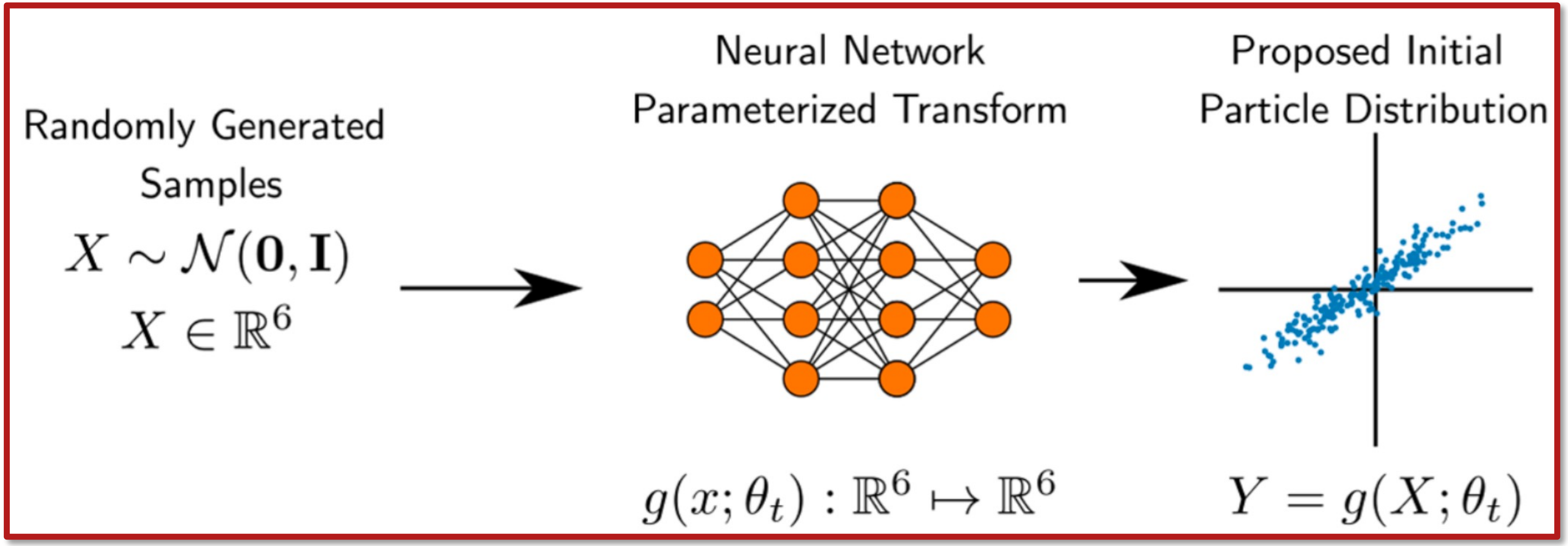


*LCLS

- How do we **parametrize** the beam 6D phase-space distribution in a a **flexible** and **learnable** way?
- How do we run **simulations** that support **optimization** of extremely **high dimensional problems** (~1k parameters)?

Neural Network Parameterization of Beam Distributions

- 6D phase space distribution parametrization that is
  - flexible
  - learnable



Fully connected NN with **~ O(1k) parameters**

Differentiable Simulations (Automatic Differentiation)

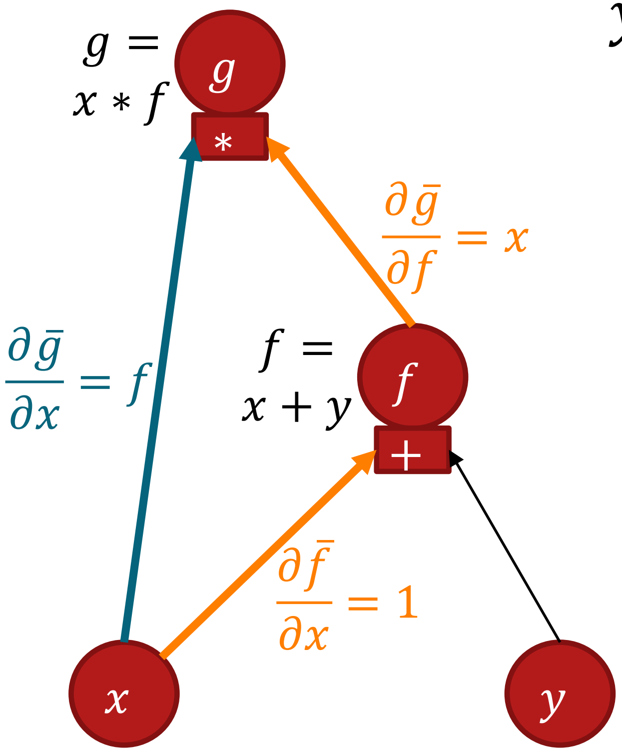
Keep track of derivative information during every calculation step using the chain rule and memory.

Fast and **accurate** high-dimensional gradients

Enables **gradient-based optimization** of model with respect to all free parameters.

Easily optimize models with >10k free parameters.

$$f(x,y) = x + y,$$
$$g(x, f(x,y)) = x * f(x,y),$$
$$x = 3,$$
$$y = 2.$$



$$\frac{\partial g}{\partial x} = \frac{\partial \bar{g}}{\partial x} + \frac{\partial \bar{g}}{\partial f} * \frac{\partial \bar{f}}{\partial x}$$

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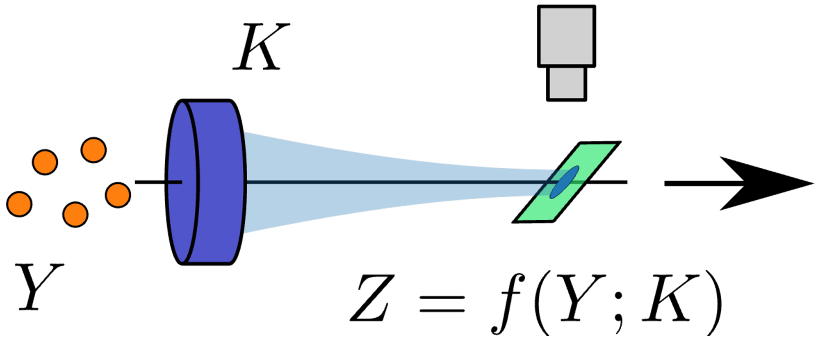
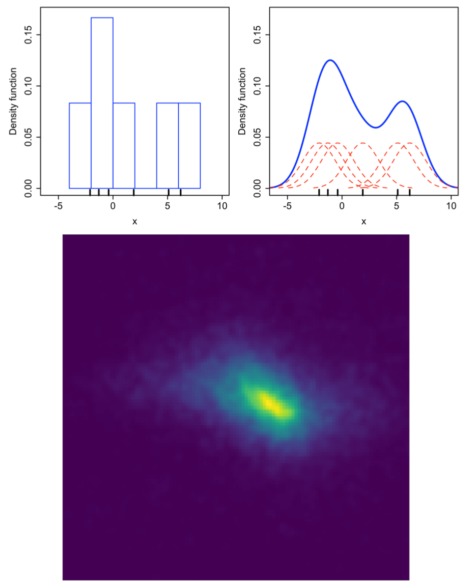
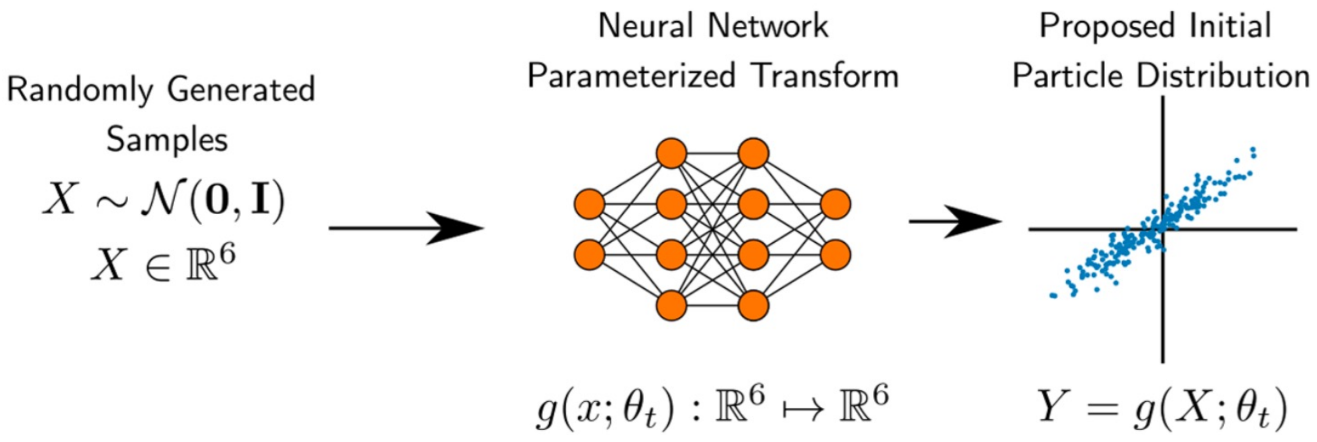




$$Q^{(i,j)} = \mathrm{KDE}(Z)$$

$$\frac{\partial Z}{\partial Y}, \frac{\partial Z}{\partial K}, \frac{\partial \sigma_Z}{\partial K}, \ldots \qquad \frac{\partial Q^{(i,j)}}{\partial Y}, \frac{\partial Q^{(i,j)}}{\partial K}$$

Phase Space Reconstruction Pipeline



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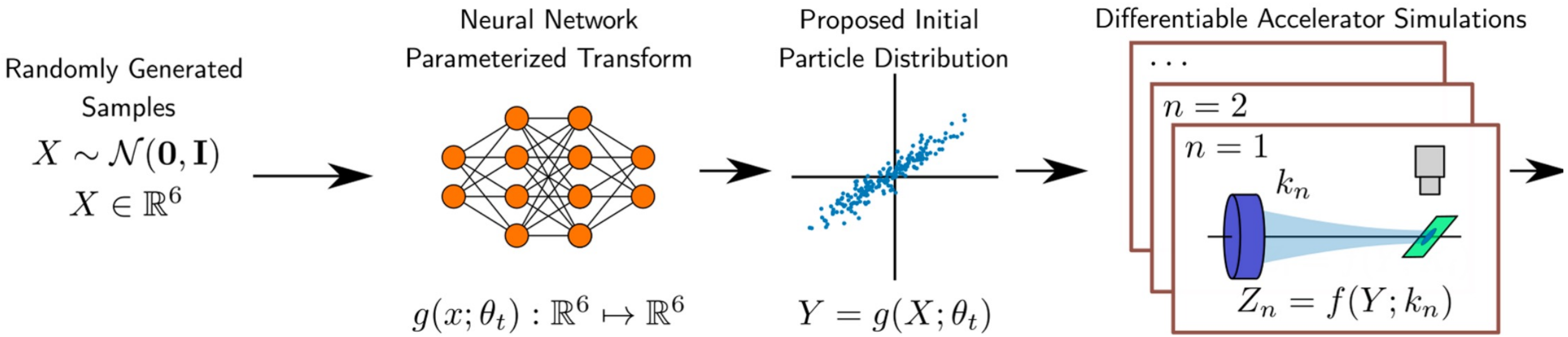

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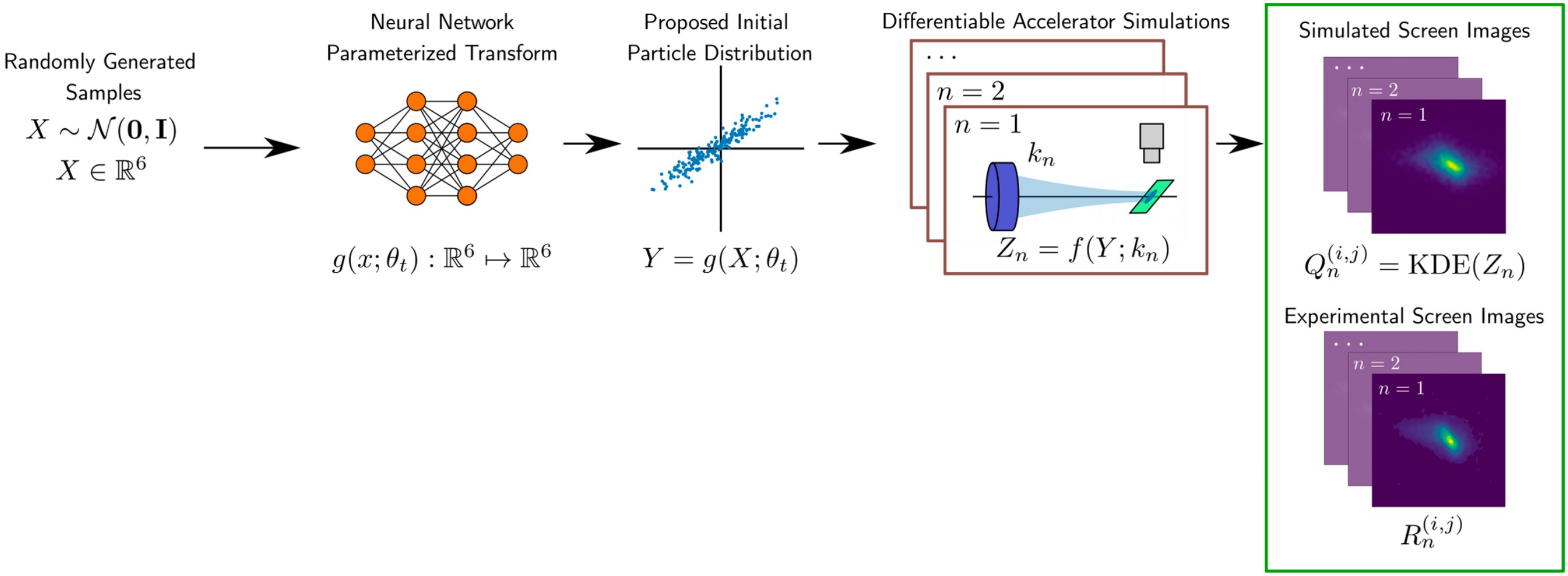

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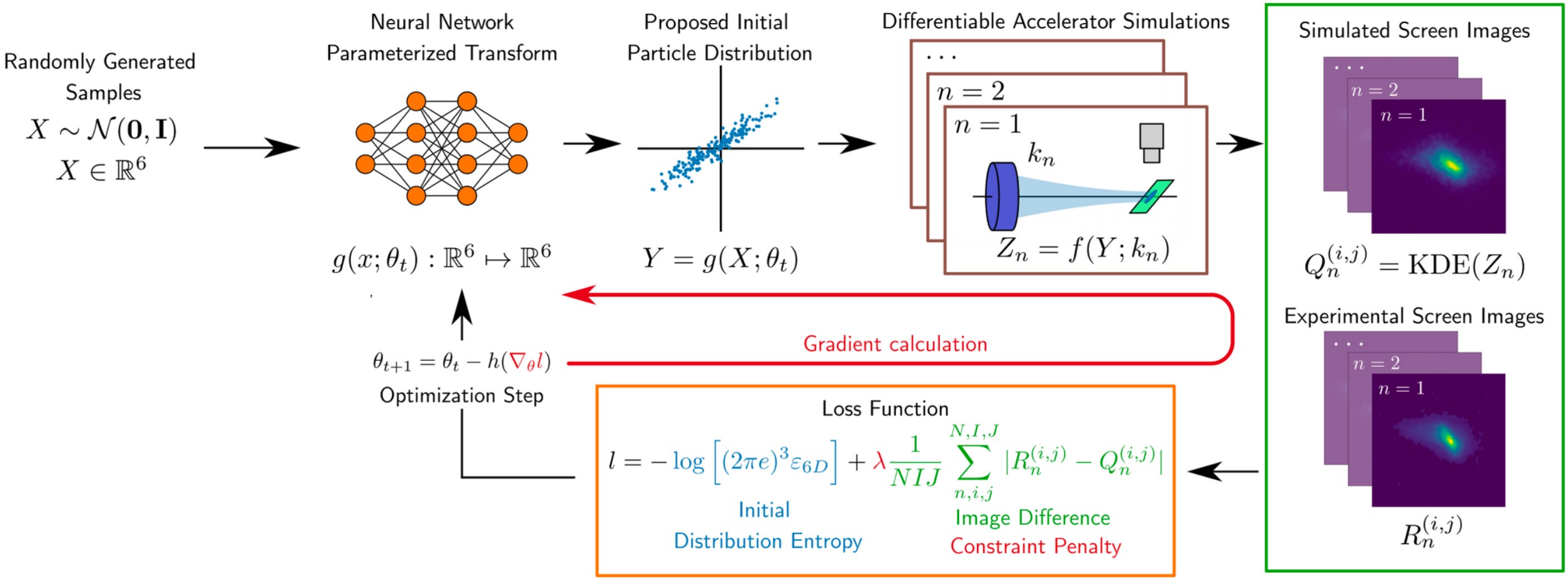

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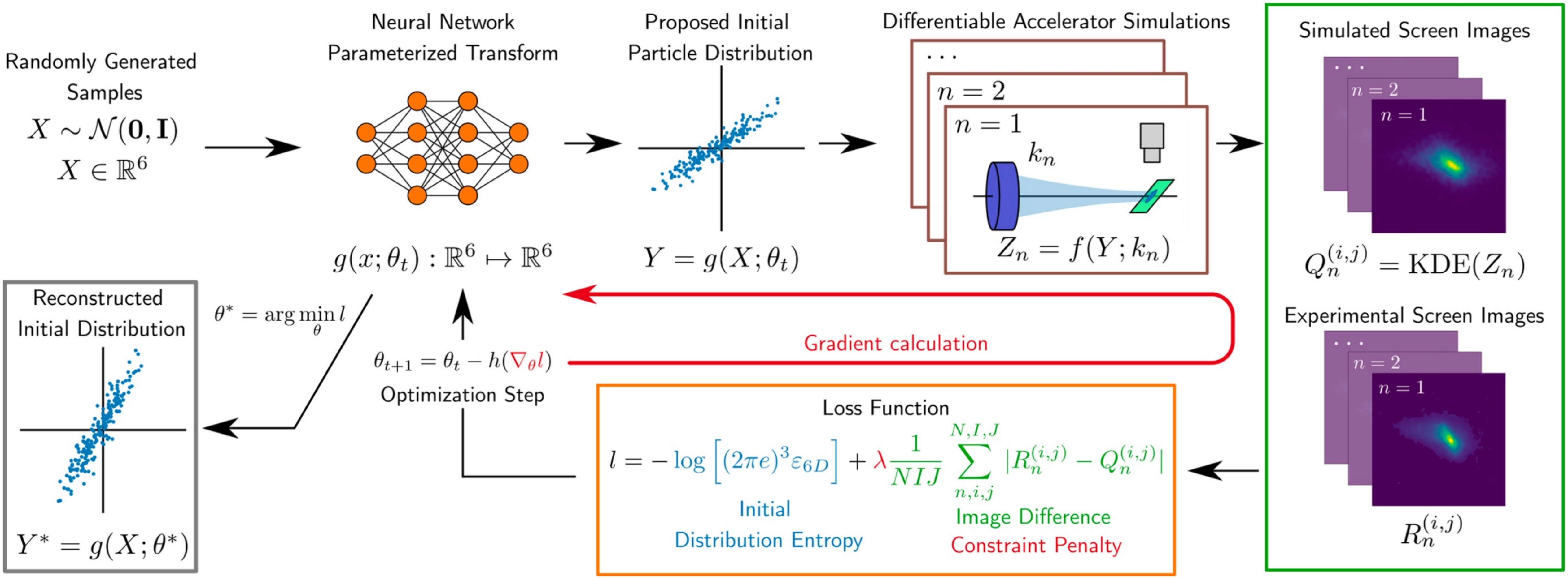
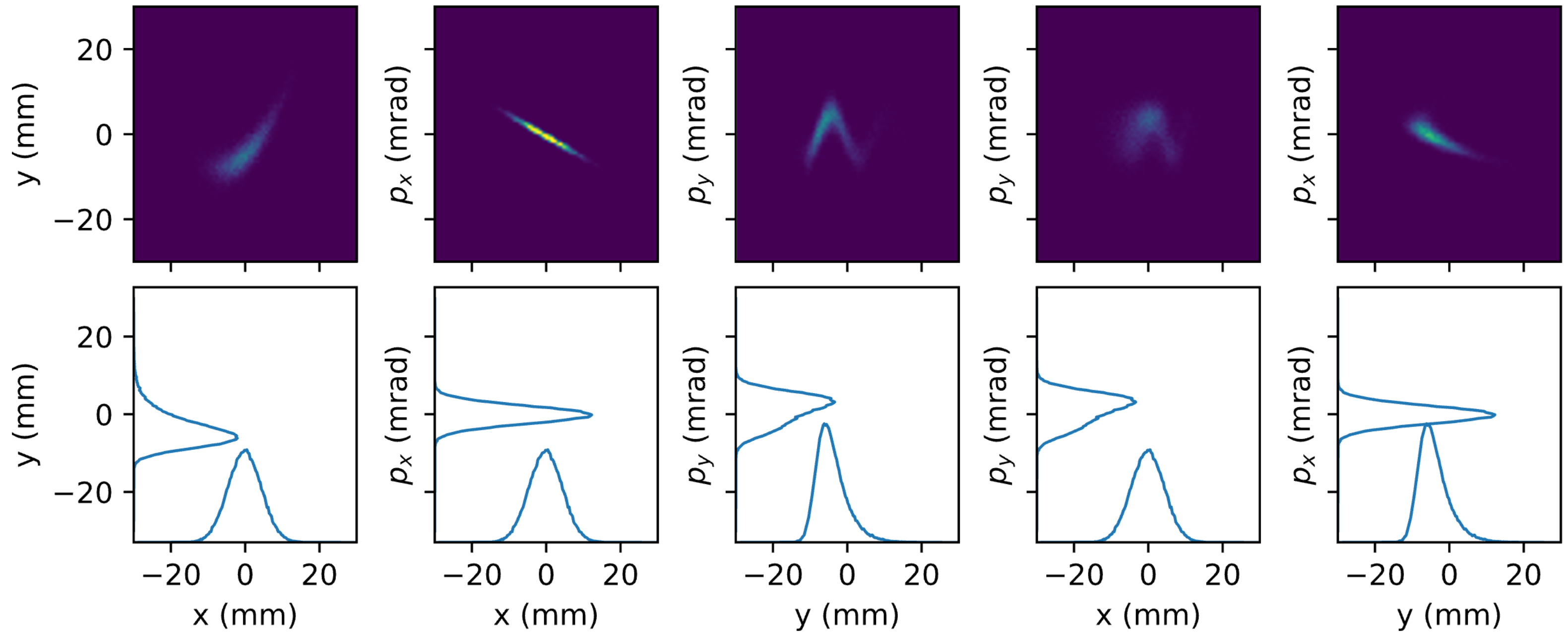
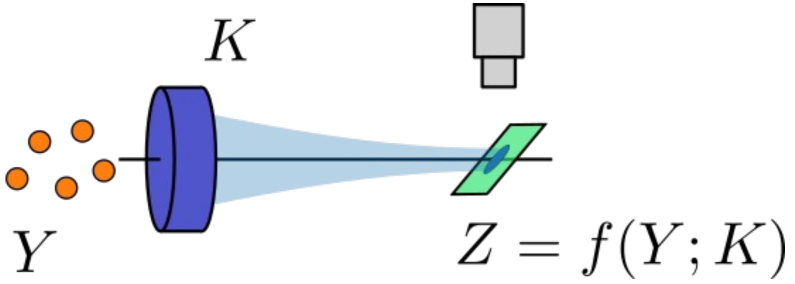


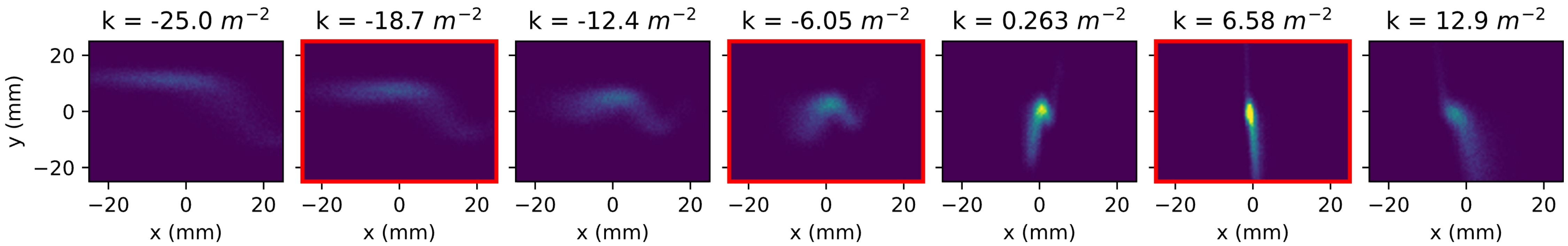
Synthetic Example

Synthetic beam distribution in simulation

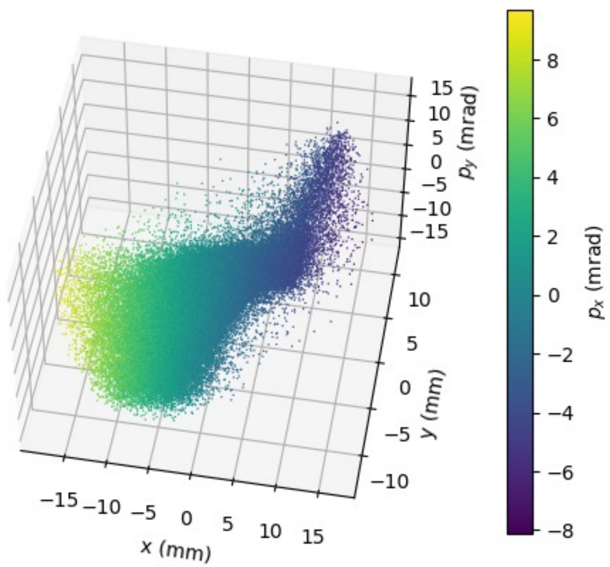


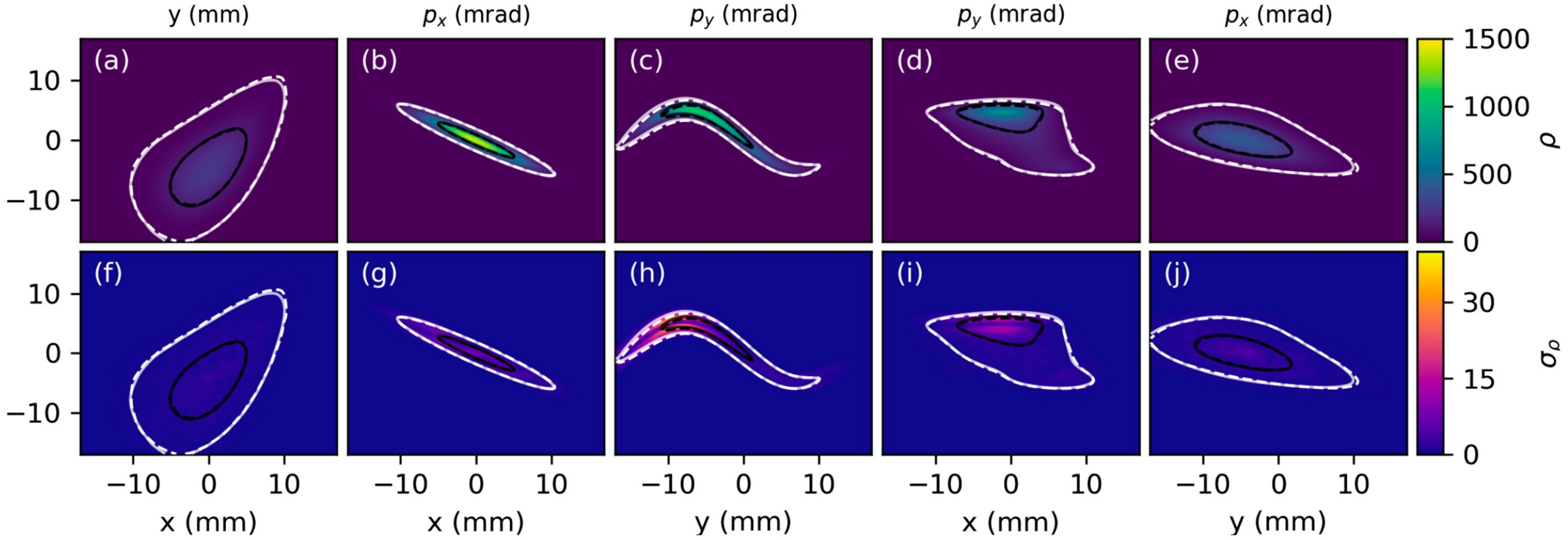


Screen images



Synthetic Example Reconstruction





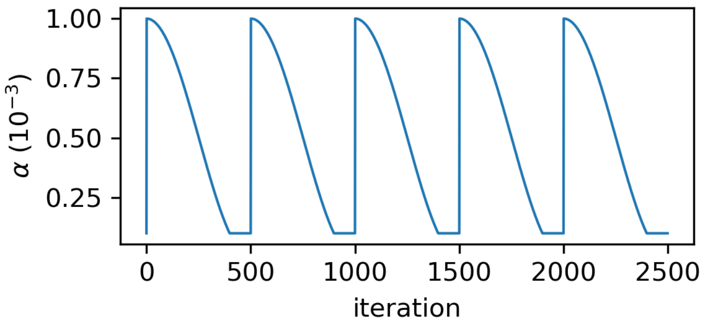
Detailed reconstruction of 4D phase space with only

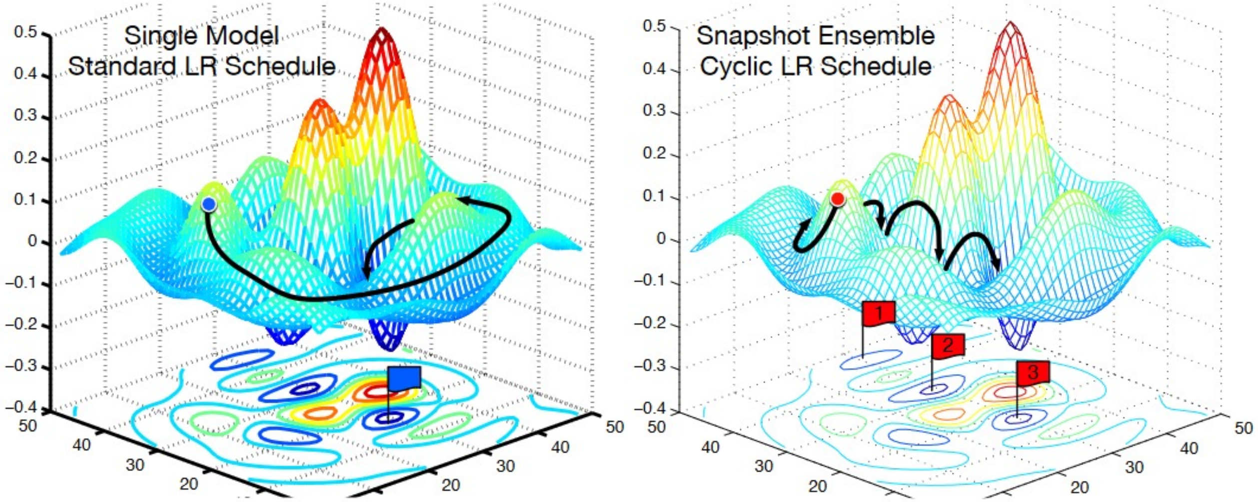
- **a quadrupole and a screen**
- **10 images**

– – – (black dashed)	50th percentile ground truth
—— (black solid)	50th percentile reconstruction
– – – (white dashed)	95th percentile ground truth
—— (white solid)	95th percentile reconstruction

Measuring Model Uncertainty

Create a **snapshot ensemble** to measure uncertainty by cycling the learning rate





Huang G. et al., ICLR 2017

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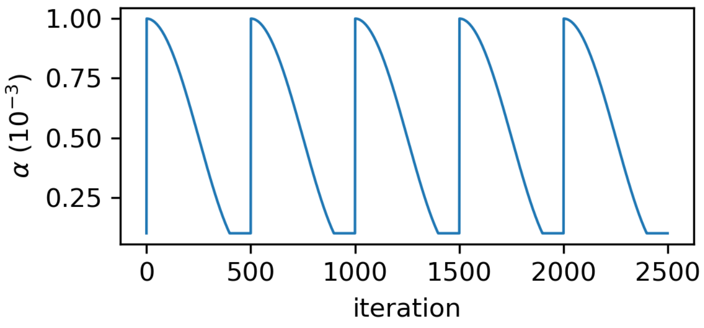
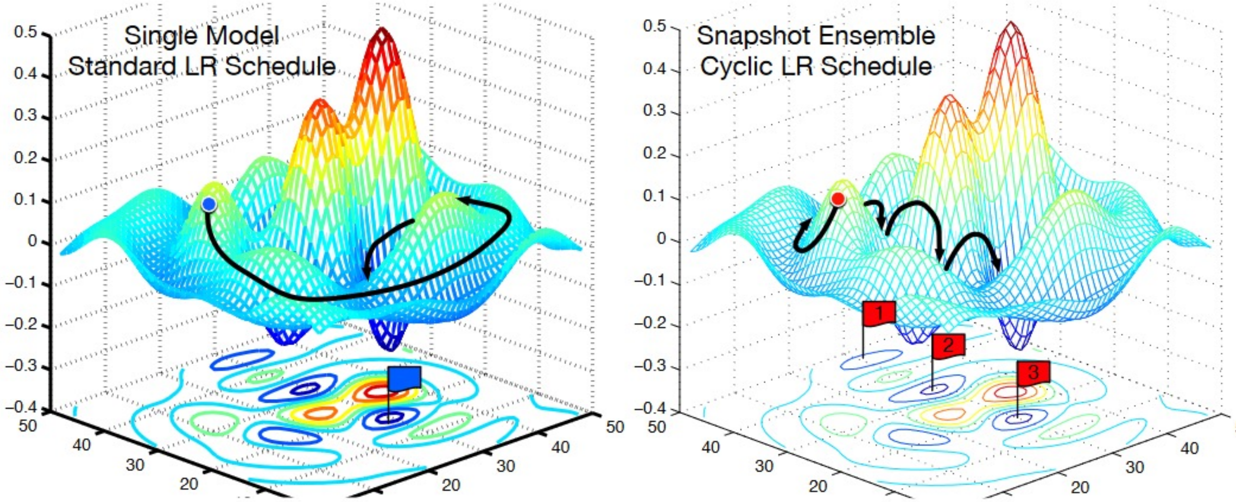
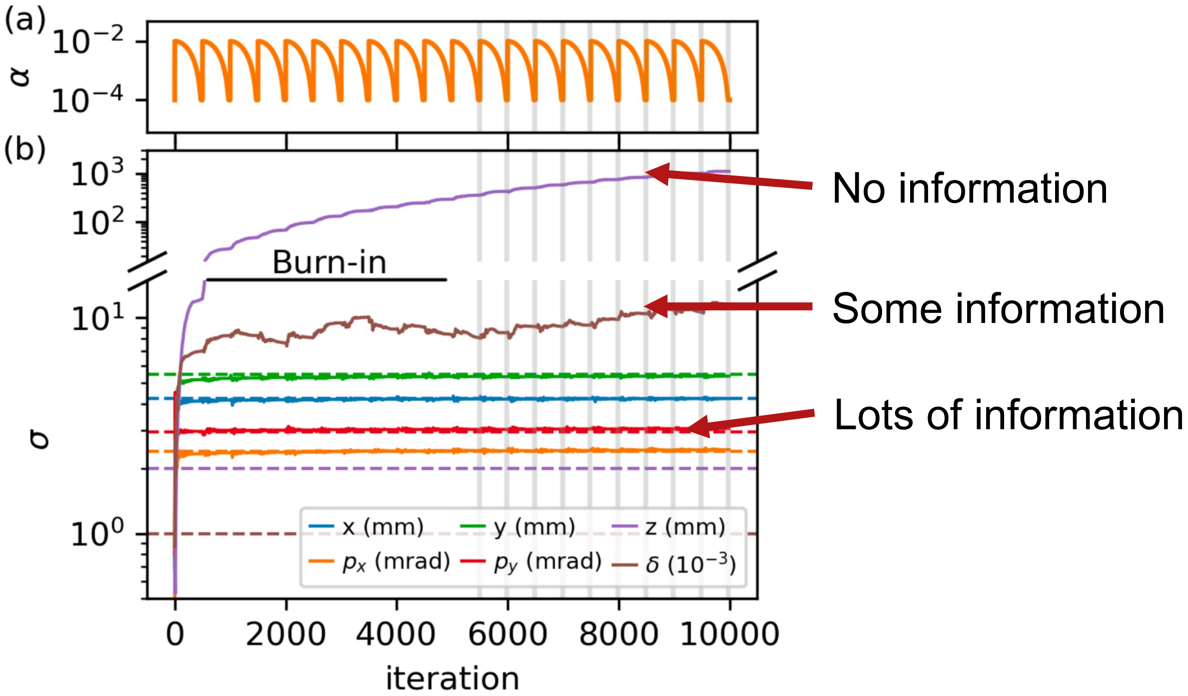




Huang G. et al., ICLR 2017

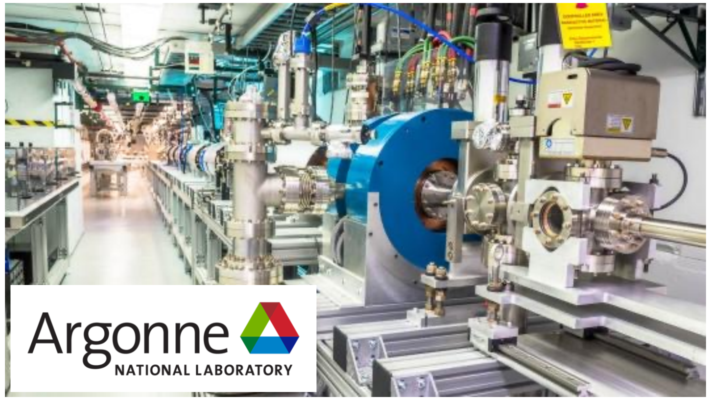


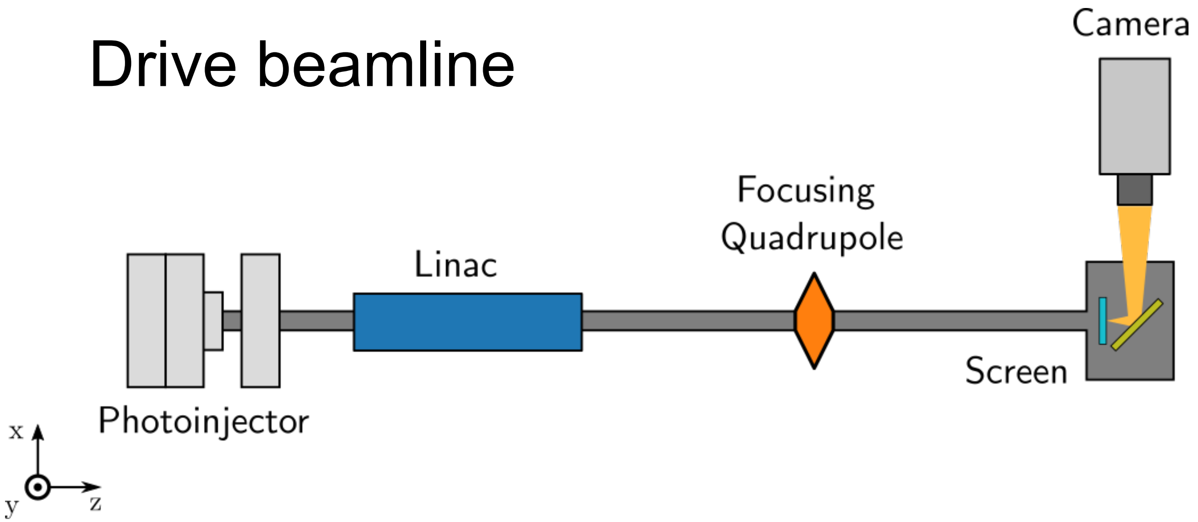
Quadrupole:

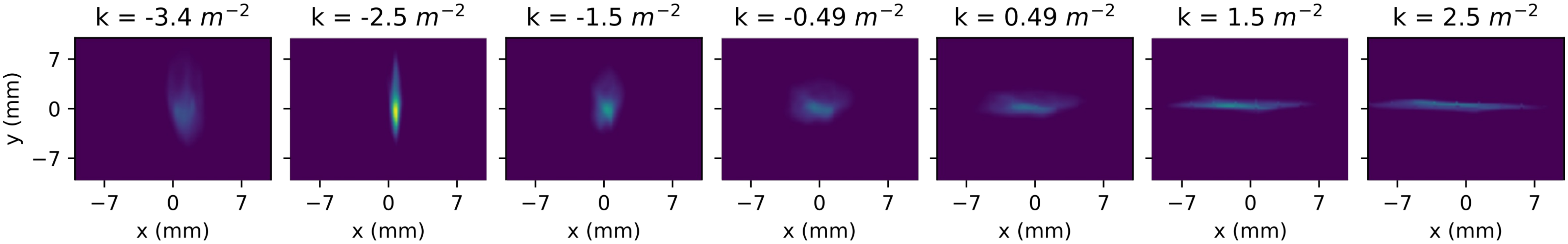
$$H = \frac{p_x^2 + p_y^2}{2(1+p_z)} + \frac{k_1(p_z)}{2}(x^2 - y^2)$$

- **Weak dependence on p_z** via chromatic effects
- **No dependence on z**

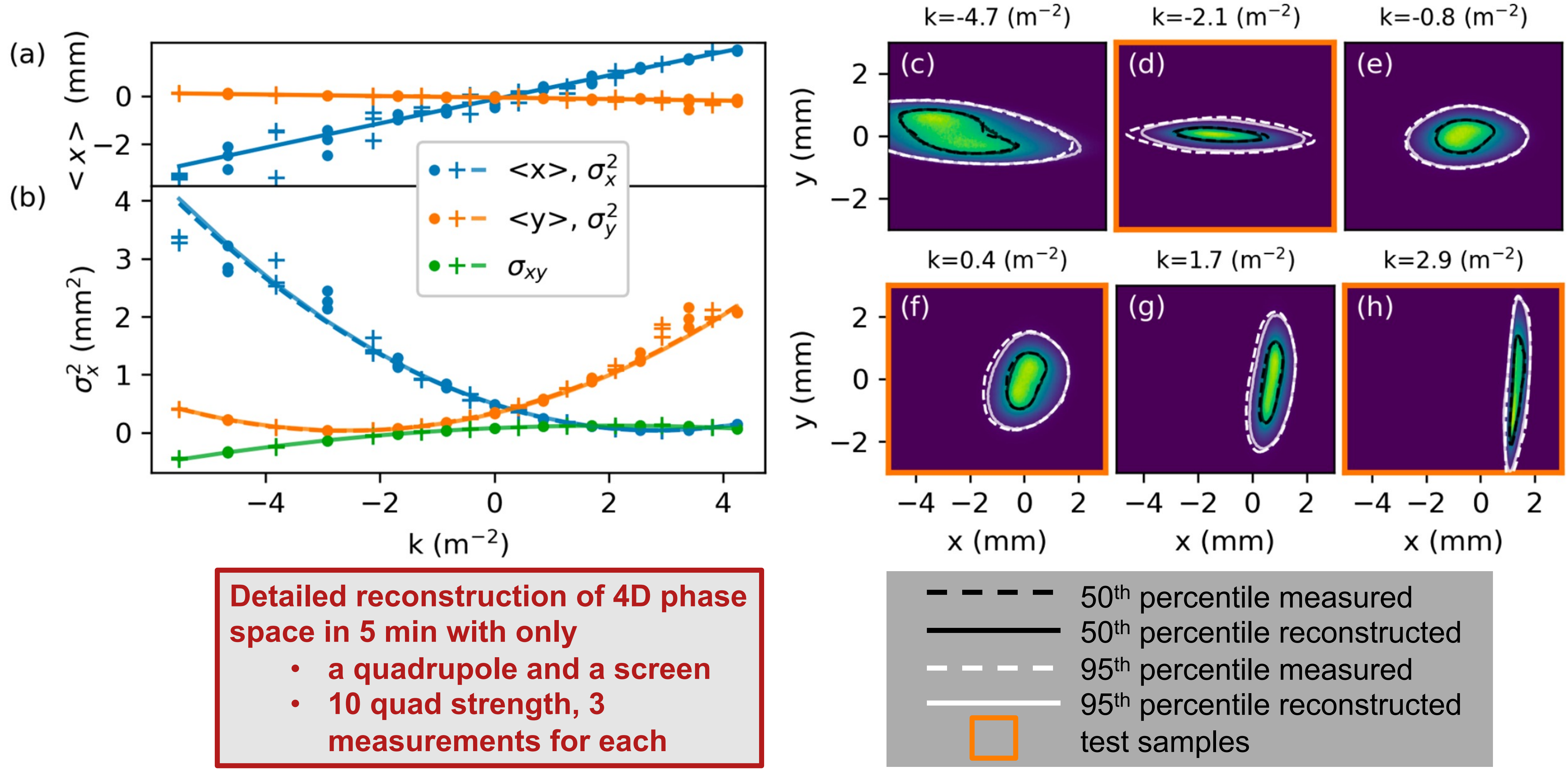
Tomography Example from AWA







AWA Reconstruction Results



Detailed reconstruction of 4D phase space in 5 min with only
- **a quadrupole and a screen**
- **10 quad strength, 3 measurements for each**

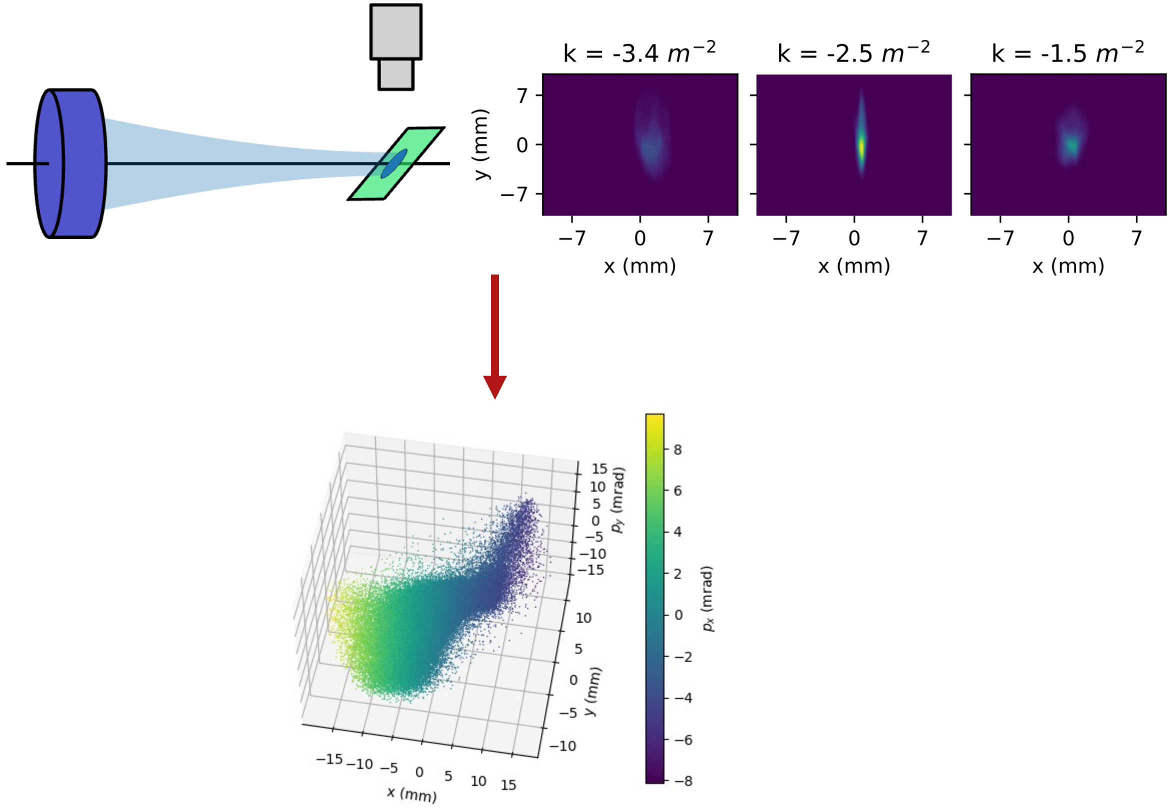
Conclusions

- **4D detailed phase space reconstruction** from **few measurements** and **without special diagnostics**

- Neural Network beam parametrization and differentiable simulations **are not limited by dimensionality**.

- Potentially **extensible to 6D** with the addition of longitudinal diagnostics.

- Can incorporate heterogeneous measurements:
  - More screens, BPMs, …
  - Different types of data



Details: PRL 130, 145001 (2023)

Thanks! Questions?

SLAC:

- Ryan Roussel
- Auralee Edelen
- Christopher Mayes
- Daniel Ratner

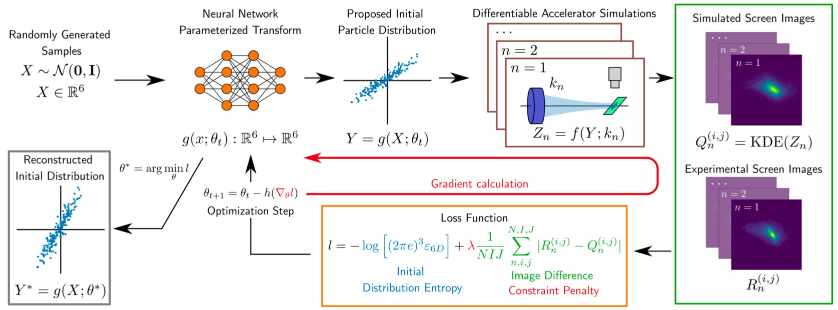
ANL:

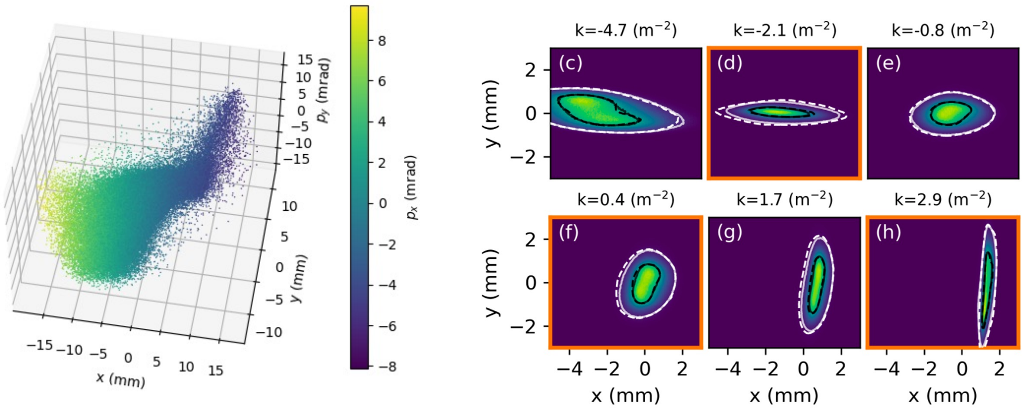
- Seongyeol Kim
- John Power
- Eric Wisniewski

UChicago:

- Juan Pablo Gonzalez-Aguilera

Details: PRL 130, 145001 (2023)





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SLAC NATIONAL ACCELERATOR LABORATORY Argonne NATIONAL LABORATORY The Center for BRIGHT BEAMS A National Science Foundation Science & Technology Center THE UNIVERSITY OF CHICAGO NERSC

Backup: Maximum Entropy Loss Function

Loss Function

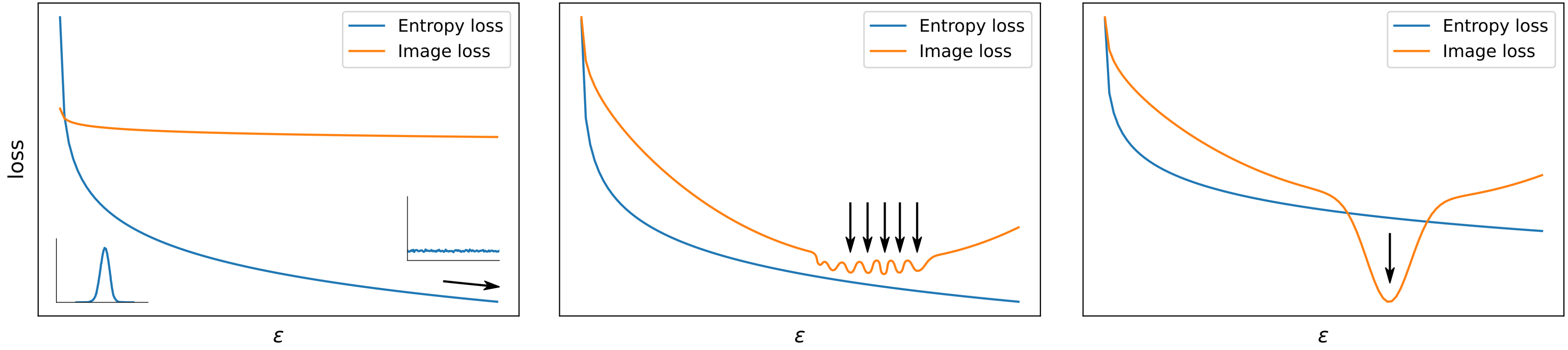
$$l = -\log\left[(2\pi e)^3 \varepsilon_{6D}\right] + \lambda \frac{1}{NIJ} \sum_{n,i,j}^{N,I,J} \left|R_n^{(i,j)} - Q_n^{(i,j)}\right|$$

Initial Distribution Entropy

Image Difference

Constraint Penalty

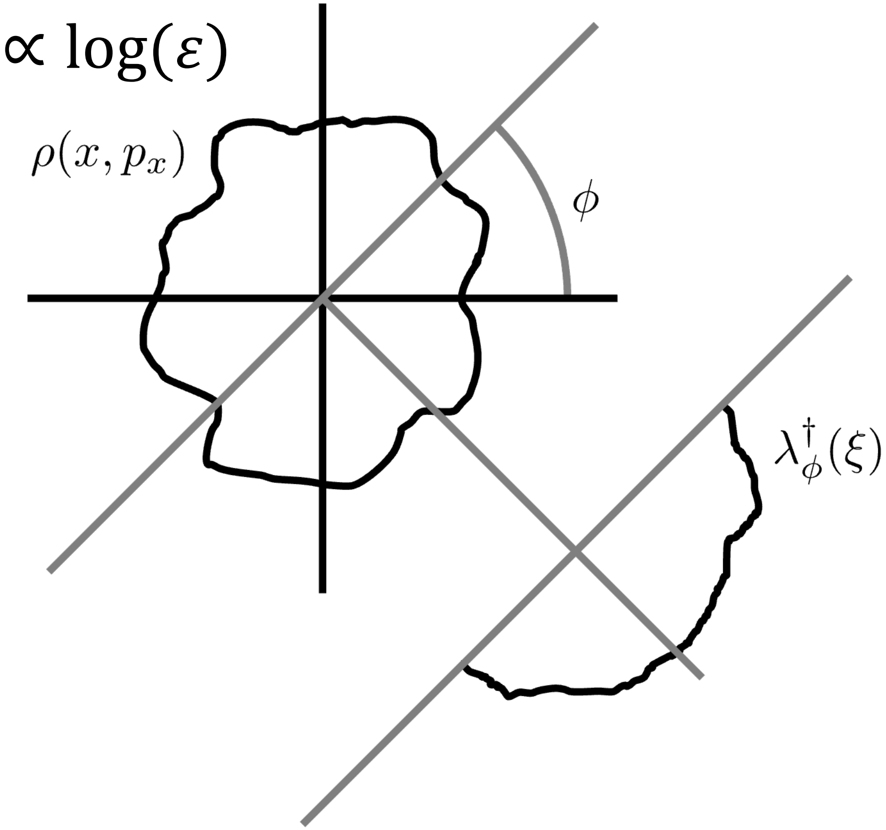
No evidence | Weak evidence | Strong evidence



Backup: Maximum Entropy Tomography (MENT)

Rotate phase space as before, but reconstruct the distribution from 1D projections + **maximize the beam distribution entropy**

Note: $H \propto \log(\varepsilon)$

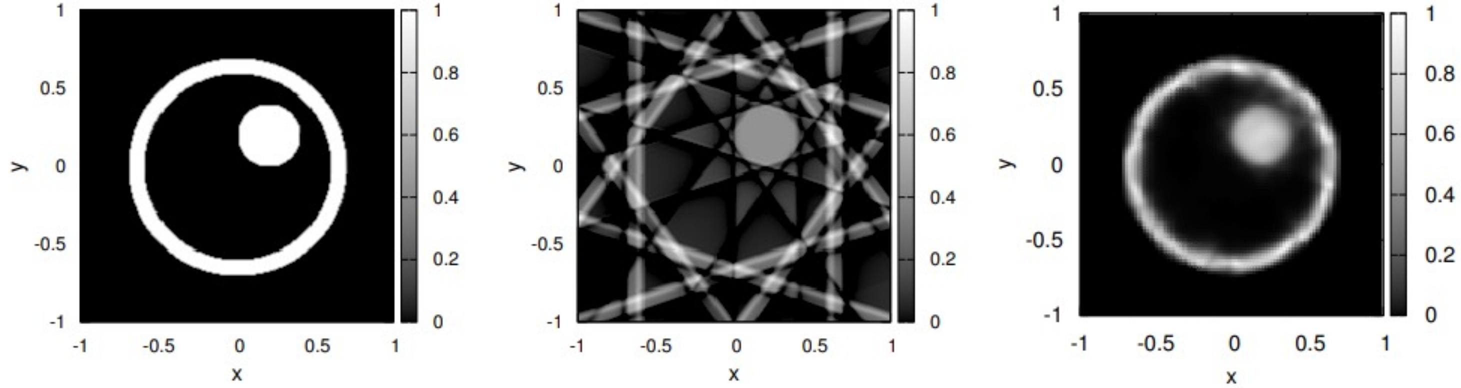


Lagrange multiplier

$$\rho^* = \arg\min\{-H(\rho) + \lambda f(\rho)\}$$

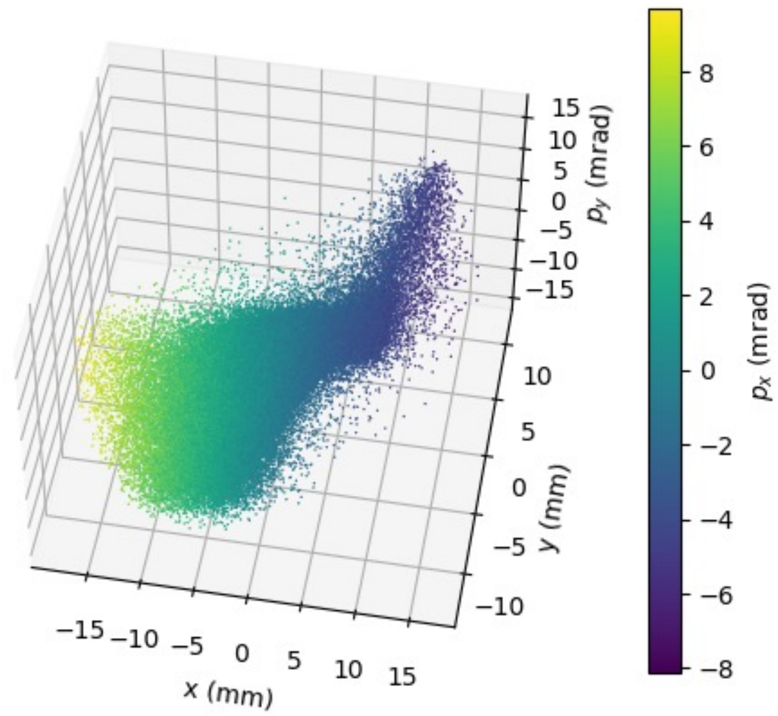
Distribution entropy

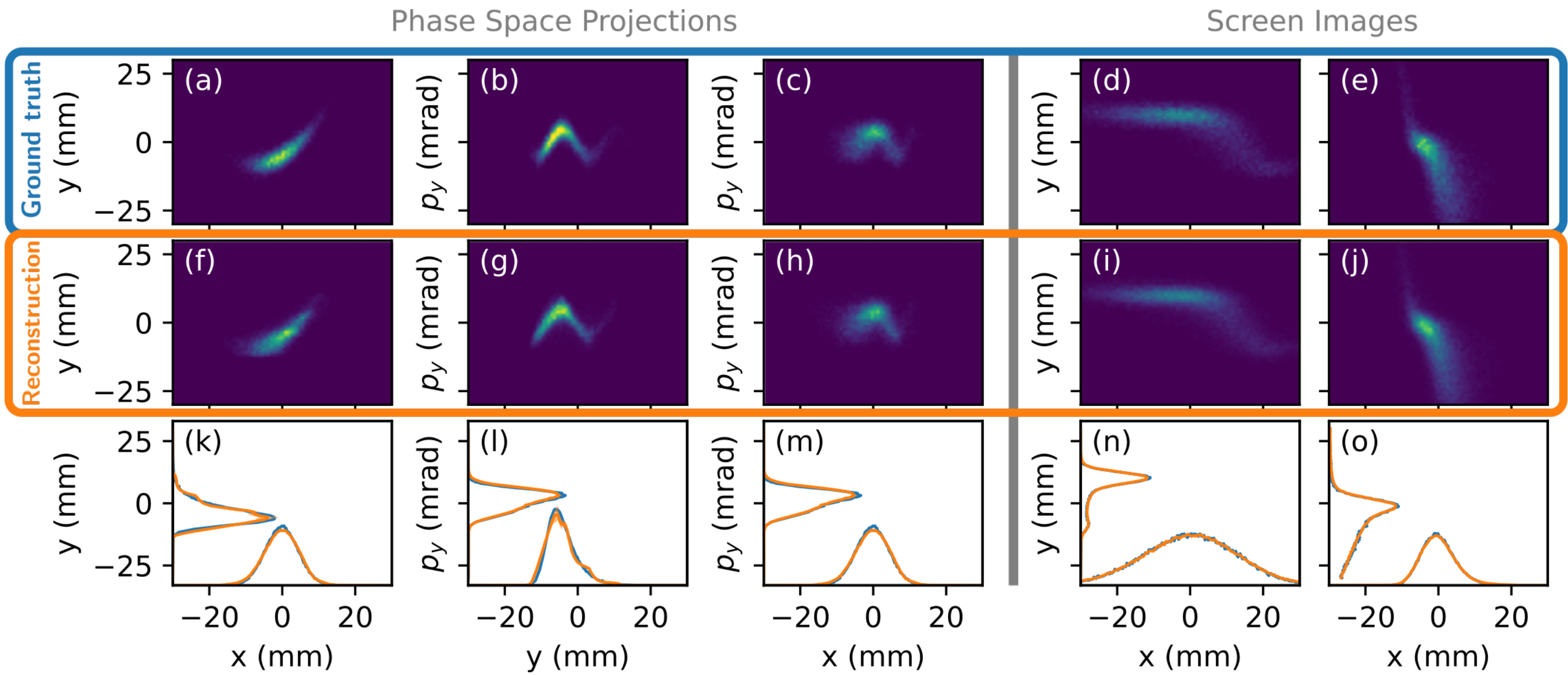
Discrepancy with measurement



Hock K. and Ibison M., JINST, 2013

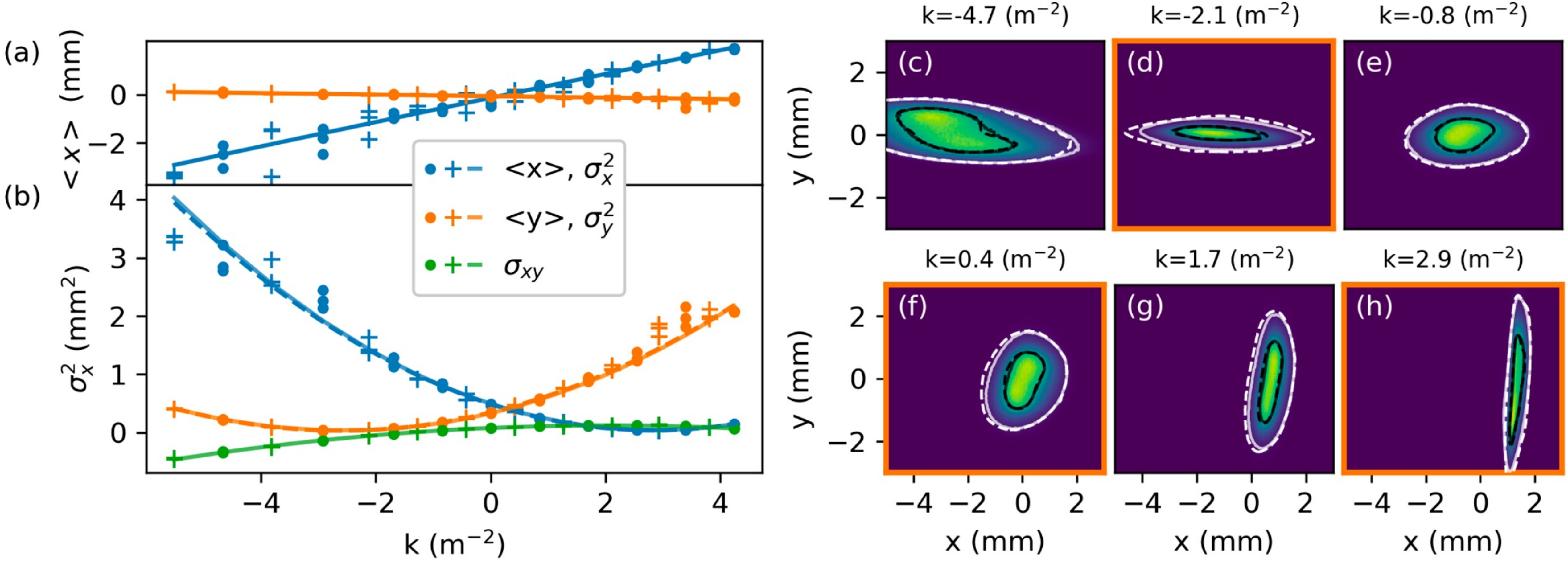
Backup: Synthetic Example Reconstruction





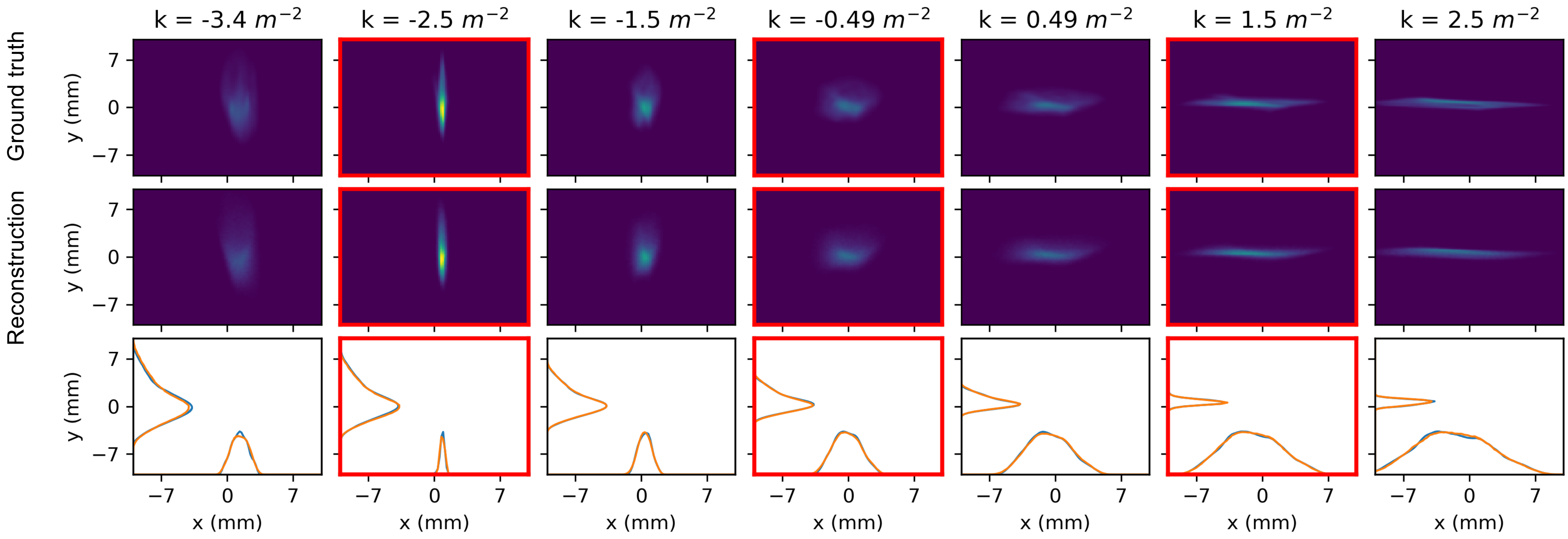
Parameter	Ground truth	rms prediction	Reconstruction	Unit
ε_x	2.00	2.47	2.00 ± 0.01	mm-mrad
ε_y	11.45	14.10	10.84 ± 0.04	mm-mrad
ε_{4D}	18.51	34.83[a]	17.34 ± 0.08	mm^2-mrad^2

Backup: AWA Reconstruction Results



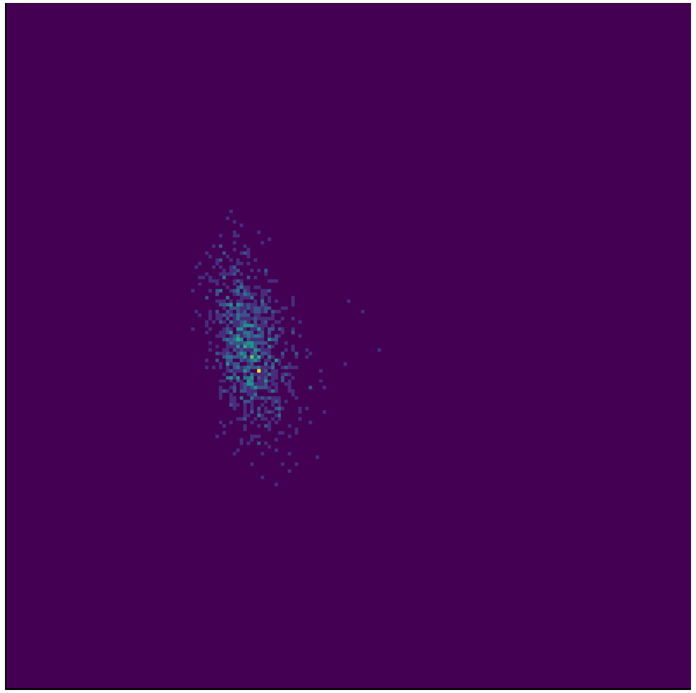
Parameter	rms prediction	Reconstruction	Unit
$\varepsilon_{x,n}$	4.18 ± 0.71	4.23 ± 0.02	mm-mrad
$\varepsilon_{y,n}$	3.65 ± 0.36	3.42 ± 0.02	mm-mrad

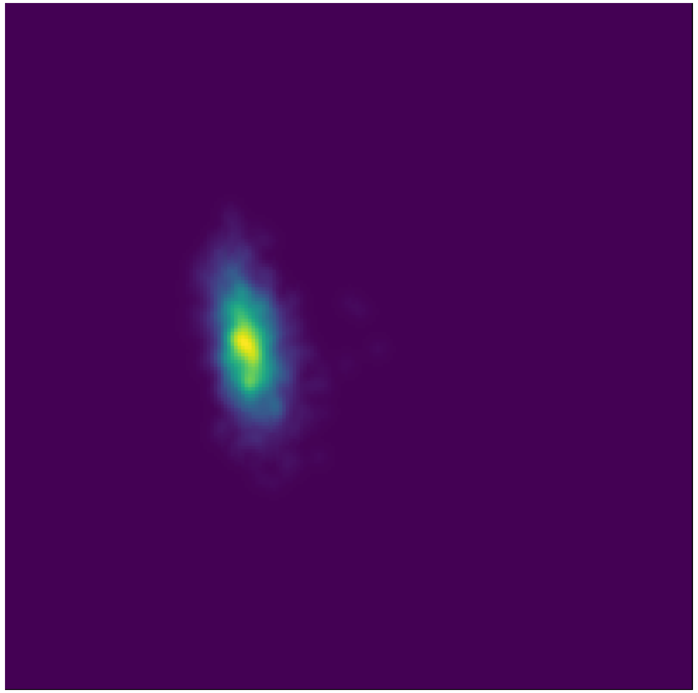
Backup: AWA Reconstruction



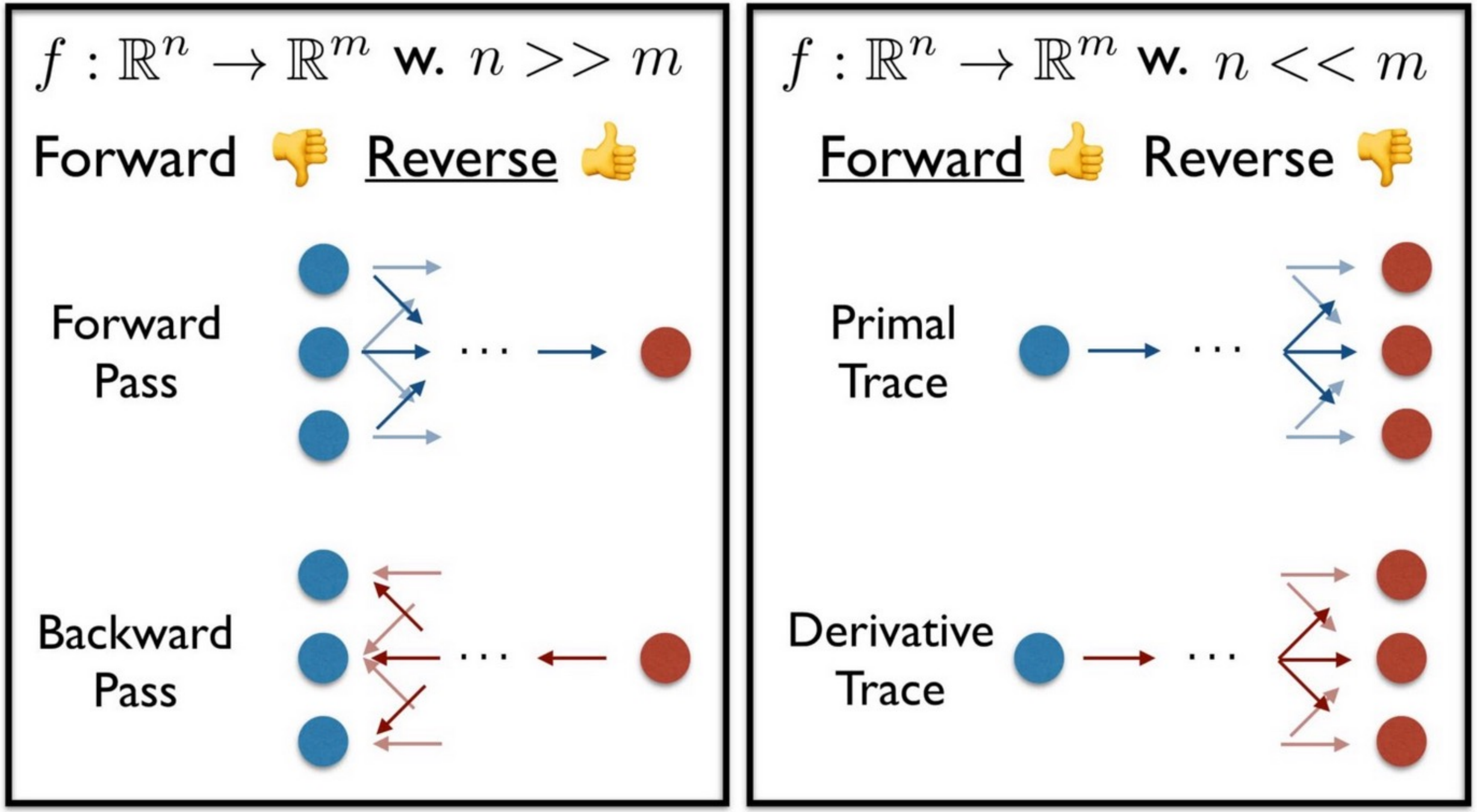
Red border denotes test samples

Backup: Kernel Density Estimation (KDE)



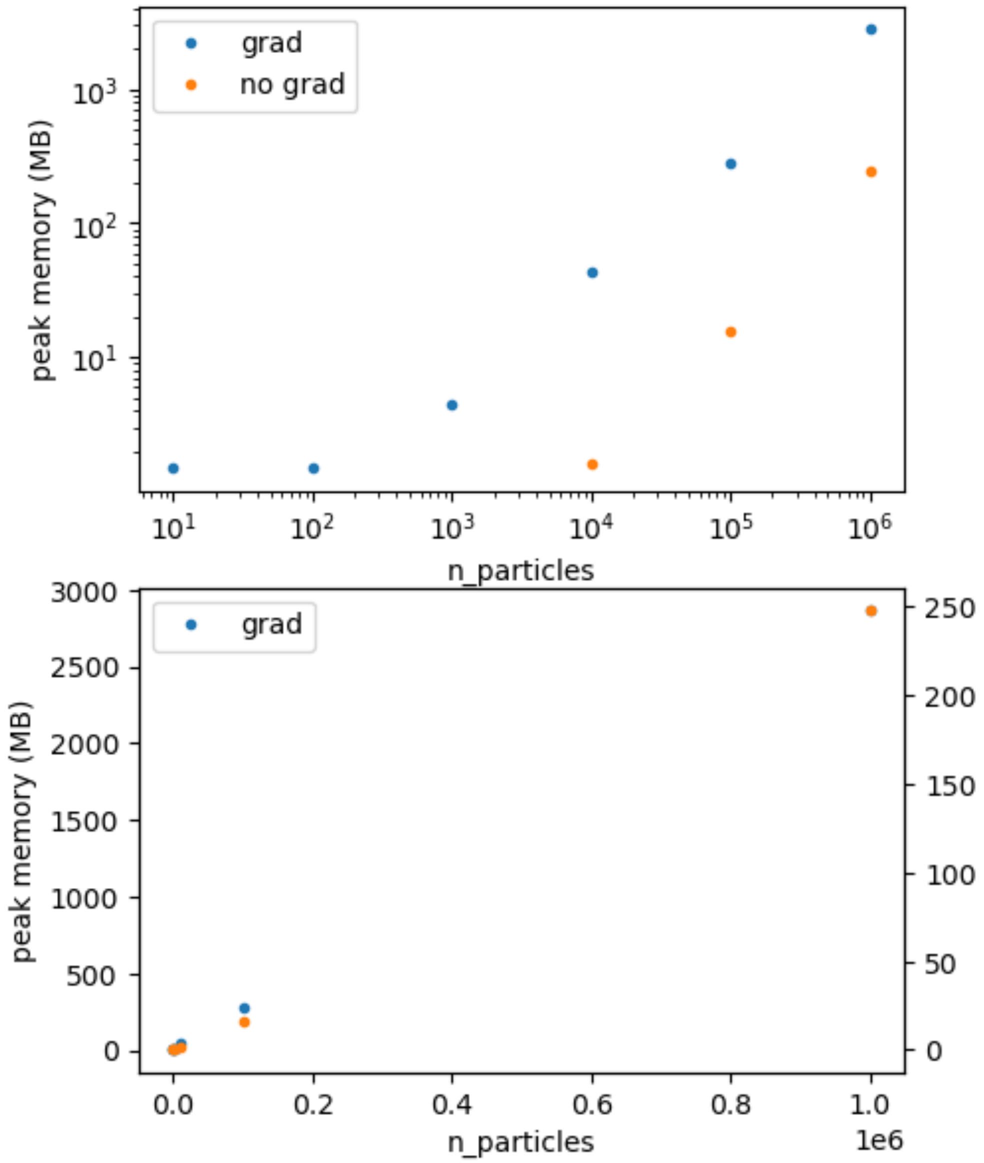


Backup: Reverse vs Forward Autodiff



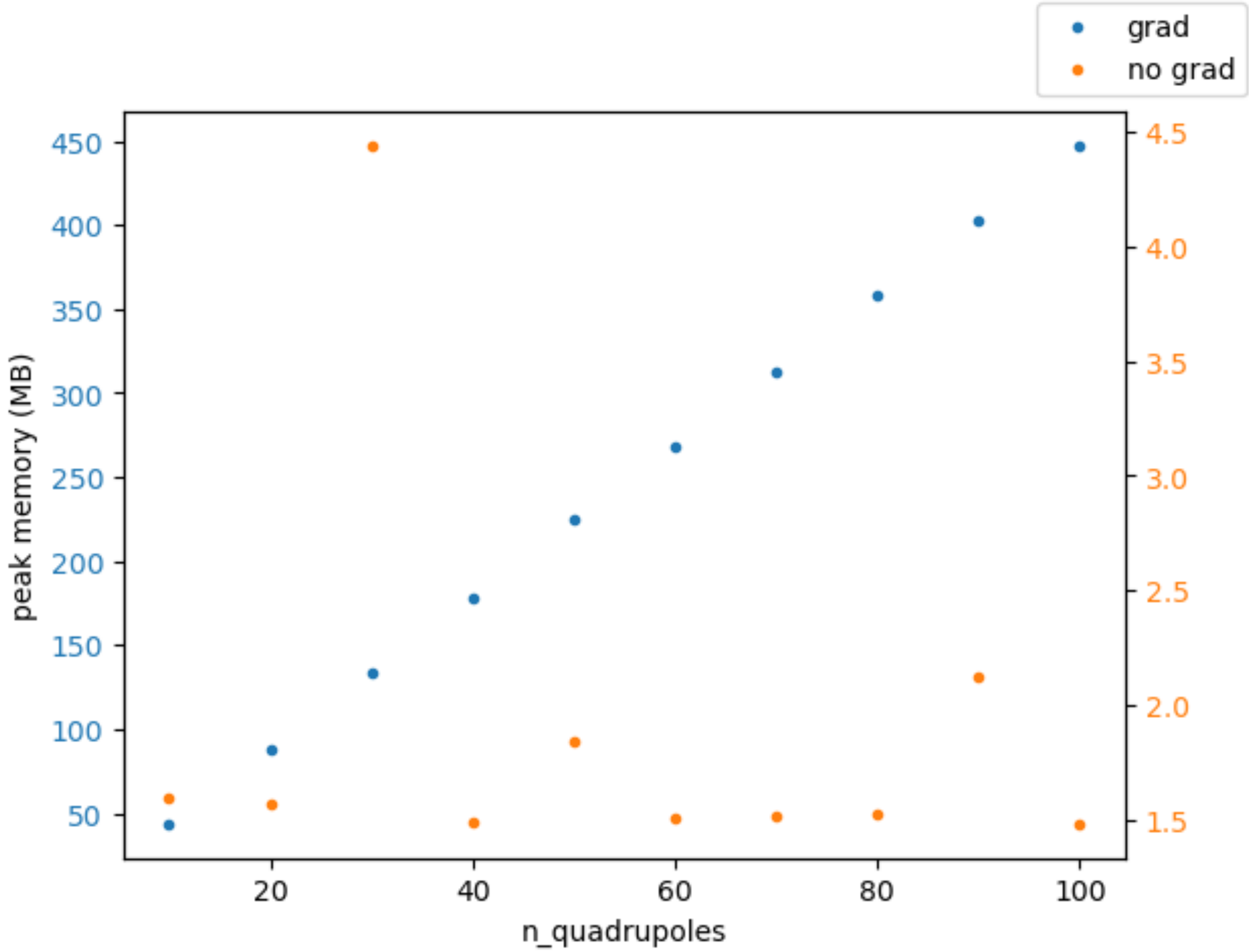
https://towardsdatascience.com/forward-mode-automatic-differentiation-dual-numbers-8f47351064bf

Backup: Memory profiling



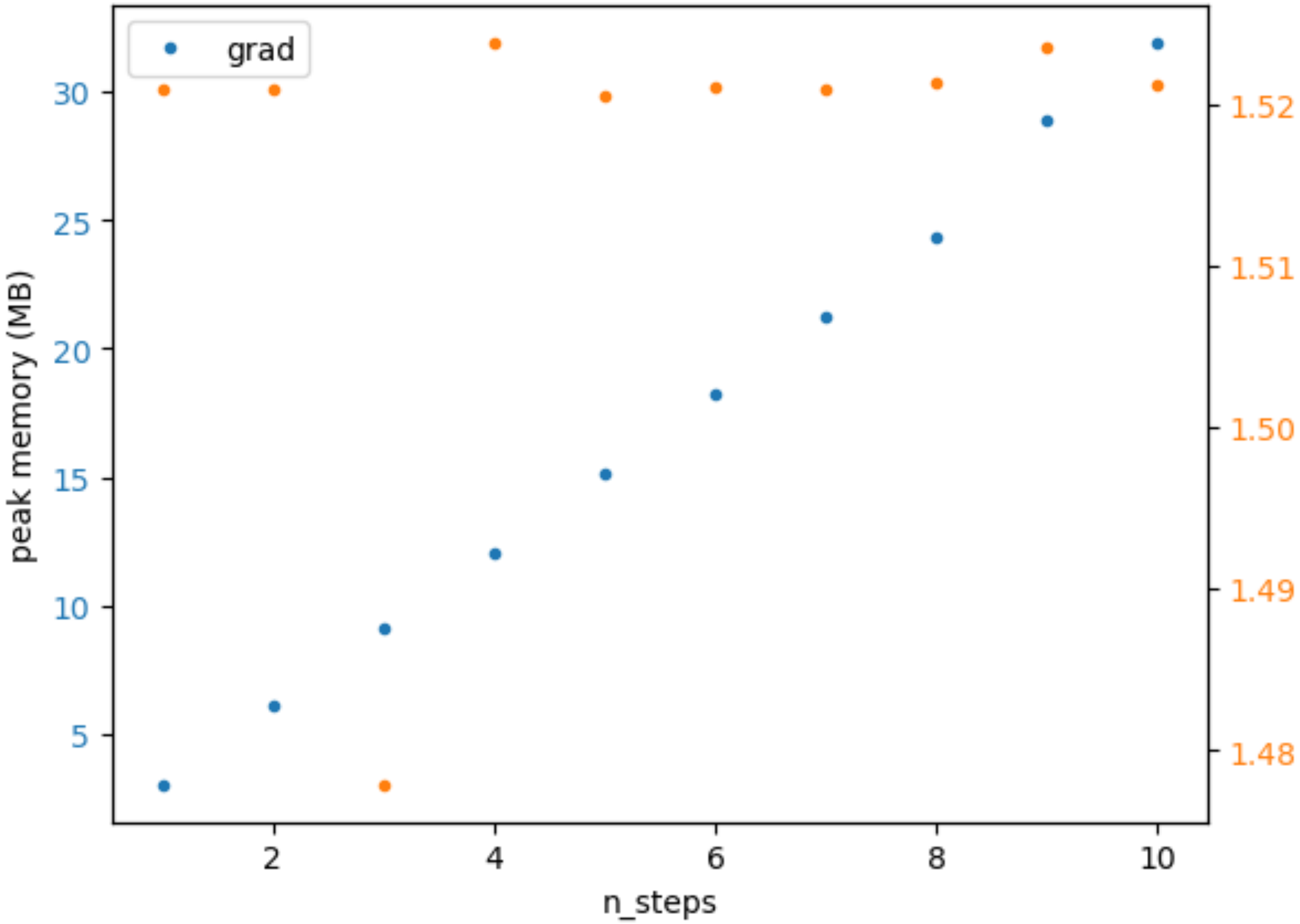
Test 1: 10 quads separated by drifts.
Peak memory vs number of particles

Backup: Memory profiling



Test 2: 10^4 particles
Peak memory vs n quads

Backup: Memory profiling



Test 3: 10^4 particles
Peak memory vs n slices in single quad+drift