

# Theory of Rare Kaon and Hyperon Decays

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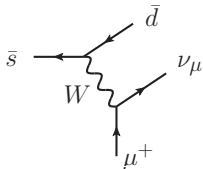
Workshop “Aiming for New Heights With Kaons”

March 31, 2022

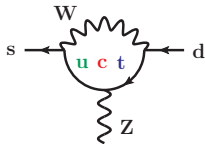
# Leptonic and semileptonic $K$ decays

- E.g. leptonic  $K^+ \rightarrow \mu^+ \nu_\mu$ 
  - $\langle 0 | j^\mu | K^+ \rangle \sim i p^\mu f_K$
  - only axial current  $j^\mu = \bar{u} \gamma^\mu \gamma_5 s$  contributes
- E.g. semileptonic  $K^+ \rightarrow \pi^0 \mu^+ \nu_\mu$  (“ $K_{\ell 3}$ ”)
  - $\langle \pi^0 | j^\mu | K^+ \rangle \sim f_+(q^2)(p + p')^\mu + f_-(q^2)(p - p')^\mu$
  - only vector current  $j^\mu = \bar{u} \gamma^\mu s$  contributes
- E.g. hyperon  $\Lambda^0 \rightarrow p^+ \mu^- \bar{\nu}_\mu$ 
  - $\langle p^+ | j^\mu | \Lambda^0 \rangle$
  - both vector and axialvector currents contribute

Weak interaction vs “chiral dynamics”

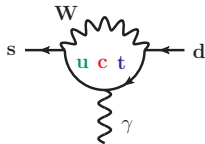


# Rare $K$ decays – GIM and Inami Lim



$$X_0(x) \xrightarrow{x \rightarrow \infty} x$$

$$X_0(x) \xrightarrow{x \rightarrow 0} x \log x$$



$$D_0(x) \xrightarrow{x \rightarrow \infty} \log x$$

$$D_0(x) \xrightarrow{x \rightarrow 0} \log x$$

# Matrix Elements – Isospin

$$\begin{array}{ccc} K^+ \rightarrow \pi^+ \nu \bar{\nu} & & K^+ \rightarrow \pi^0 \ell^+ \nu_\ell \\ \langle \pi^+ | (\bar{s}d)_{V-A} | K^+ \rangle & = & \sqrt{2} \times \langle \pi^0 | (\bar{s}u)_{V-A} | K^+ \rangle \end{array}$$

Unknown

Well measured

- similar for other modes like  $K^+ \rightarrow \pi^+ \pi^0 \nu \bar{\nu}$ ,  $\Lambda \rightarrow n \nu \bar{\nu}$ , as well as neutral modes
- Higher-order corrections can be included

# Which NP sensitivity can we expect?

In 5 years of running of the "HIKE" experiment with  $1 \times 10^{19}$  POT/year:

- $5 \times 10^{14}$   $K_S$  decays
- $1 \times 10^{14}$   $K_L$  decays
- $2 \times 10^{14}$   $\Lambda$  decays
- For background-free channels, sensitivity  $\mathcal{O}(10^{-13} - 10^{-14})$
- $\mathcal{O}(100)$  events for rare  $K_L$  decays
- Backgrounds can be very large  
( $S/B \sim 0.2 - 0.4$  for  $K_L \rightarrow \pi^0 \ell^+ \ell^-$  modes)

I will express all sensitivities as bounds on a NP scale  $\Lambda$ !

No asymmetries, ratios, no light NP ...

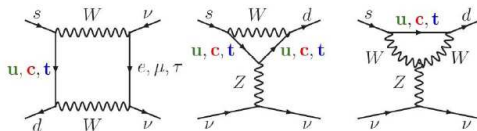
# Outline

- $K \rightarrow \pi \nu \bar{\nu}$
- $\Lambda \rightarrow n \nu \bar{\nu}$
- $K \rightarrow \pi \ell^+ \ell^-$
- Generic NP

$$K \rightarrow \pi \nu \bar{\nu}$$

# $K \rightarrow \pi \nu \bar{\nu}$ decays: a theoretically clean environment

- FCNC loop processes:  $s \rightarrow d$  coupling and highest CKM suppression

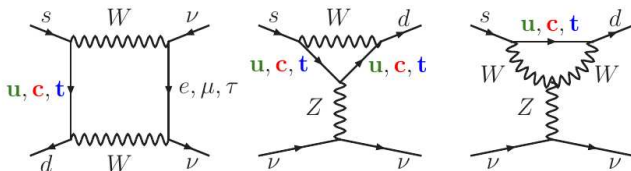


- Very clean theoretically: Short distance contribution. No hadronic uncertainties.
- SM predictions [Buras et al. JHEP 11 (2015) 33]

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (8.39 \pm 0.30) \cdot 10^{-11} \left( \frac{|V_{cb}|}{0.0407} \right)^{2.8} \left( \frac{\gamma}{73.2^\circ} \right)^{0.74} = (0.84 \pm 0.10) \cdot 10^{-10}$$

$$\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = (3.36 \pm 0.05) \cdot 10^{-11} \left( \frac{|V_{ub}|}{0.00388} \right)^2 \left( \frac{|V_{cb}|}{0.0407} \right)^2 \left( \frac{\sin \gamma}{\sin 73.2^\circ} \right)^2 = (0.34 \pm 0.06) \cdot 10^{-10}$$



$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ : Introduction

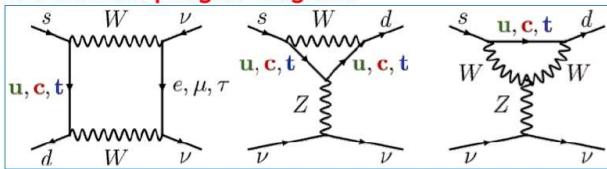
Dominated by  $Q_\nu = (\bar{s}_L \gamma_\mu d_L)(\bar{\nu}_L \gamma^\mu \nu_L)$

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}(\gamma)) = \kappa_+ (1 + \Delta_{\text{EM}})$$

$$\times \left| \frac{V_{ts}^* V_{td} X_t(m_t^2) + \lambda^4 \text{Re} V_{cs}^* V_{cd} \left( P_c(m_c^2) + \delta P_{c,u} \right)}{\lambda^5} \right|^2.$$

# Rare kaon decays: $K \rightarrow \pi \nu \bar{\nu}$

## SM: box and penguin diagrams



Ultra-rare decays with the highest CKM suppression:

$$A \sim (m_t/m_W)^2 |V_{ts}^* V_{td}| \sim \lambda^5$$

- ❖ SM precision surpasses any other FCNC process involving quarks.
- ❖ Measurement of  $|V_{td}|$  complementary to those from e.g.  $B-\bar{B}$  mixing.
- ❖ Main focus of kaon physics: measurement of both  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  and  $K_L \rightarrow \pi^0 \nu \bar{\nu}$  decays.

SM branching ratios

*Buras et al., JHEP 1511 (2015) 033*

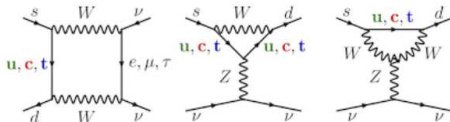
Mode	$BR_{SM} \times 10^{11}$
$K^+ \rightarrow \pi^+ \nu \bar{\nu} (\gamma)$	$8.4 \pm 1.0$
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	$3.4 \pm 0.6$

The uncertainties are largely parametric (CKM)

Theoretically clean,  
almost unexplored,  
sensitive to new physics.

# Motivations for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

## □ Ultra rare FCNC decay forbidden at tree level



## □ CKM suppressed ( $\sim |V_{ts}V_{td}|^2$ )

- Dominant short-distance t quark contribution
- Small c quark contribution
- Small long-distance corrections
- Hadronic matrix element extracted from  $Br(K^+ \rightarrow \pi^+ e \nu)$

Theoretically  
very clean

$$Br(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{SM} = (9.11 \pm 0.72) \times 10^{-11}$$

[A.J. Buras et al., JHEP 1511 (2015) 033]

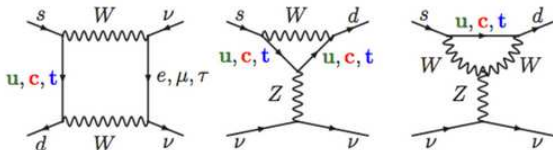
## □ Best measurement based on 7 events (E787/E949)

$$Br(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{Exp} = 1.73^{+1.15}_{-1.05} \times 10^{-10}$$

[E787/E949, Phys.Rev.Lett.101, 191802, 2008]

# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ in the Standard Model

- $K \rightarrow \pi \nu \bar{\nu}$  are the most precisely predicted FCNC decays involving quarks
- $B_{SM}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (7.8 \pm 0.8) \times 10^{-11}$



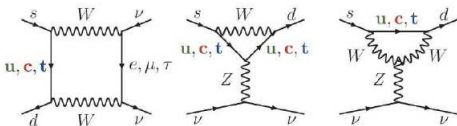
- A single effective operator:  $(\bar{s}_L \gamma^\mu d_L)(\bar{\nu}_L \gamma_\mu \nu_L)$
- Dominated by top quark
- Hadronic matrix element shared with  $K^+ \rightarrow \pi^0 e^+ \nu_e$
- Dominant uncertainty from CKM matrix elements (expect prediction to improve to  $\sim 5\%$ )

# K $\rightarrow$ $\pi\nu\bar{\nu}$ - The golden modes for kaons

Why K  $\rightarrow$   $\pi\nu\bar{\nu}$  decays are among the few golden channels to search for New Physics:

1) They are extremely rare!

Loop-induced **FCNC processes**, transition described by **Z-penguin** and **box diagrams**:



2) The SM prediction is exceptionally precise!

$$\text{BR}(K^0 \rightarrow \pi^0 \nu \bar{\nu}) = \kappa_L \text{Im}(V_{ts}^* V_{td})^2 X(m_t, m_W) / |V_{us}|^5$$

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = \kappa_+ (V_{ts}^* V_{td})^2 X(m_t, m_W) / |V_{us}|^5 + \text{charm contr.}$$

The hadronic matrix element can be extracted from the precisely measured K  $\rightarrow$   $\pi e \nu$  decay.

Charm contributions in charged mode only, precision recently reduced by NNLO calculation.

$$\text{BR}(K^0 \rightarrow \pi^0 \nu \bar{\nu}) = (2.43 \pm 0.39) \times 10^{-11}$$

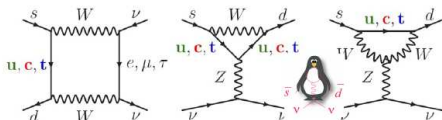
$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (7.81 \pm 0.80) \times 10^{-11}$$

**The SM prediction is tiny!**

(Brod et al., PRD83 (2011) 034030)

Uncertainty almost only from knowledge on  $|V_{ts}|$ !

3) In extensions of the SM, the decay remains similarly predictive!



$$A(s \rightarrow d \nu \bar{\nu}) \sim$$

$$\sim \frac{m_t^2}{M_W^2} \lambda_t + \frac{m_c^2}{M_W^2} \ln \frac{M_W}{m_c} \lambda_c + \frac{\Lambda_{\text{QCD}}^2}{M_W^2} \lambda_u$$

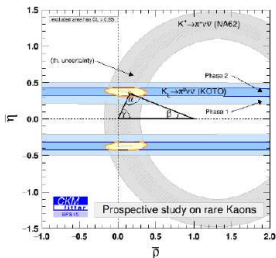
**t(68%)**

**Short distance**

**c(29%)**

**u(3%)**

**Long distance**

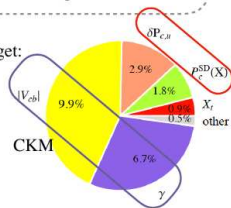


Measuring both  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  and  $KL \rightarrow \pi^0 \nu \bar{\nu}$  provides the CKM unitarity triangle independently from measurements in B mesons sector.

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (8.4 \pm 1.0) \times 10^{-11}$$

Buras et al., JHEP11(2015)033

Error budget:



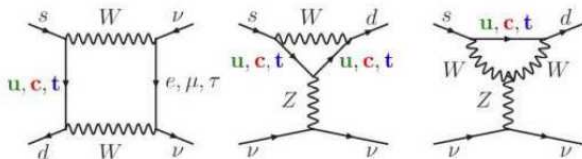
Experimental result before NA62:

$$\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{exp}} = (17.3^{+11.5}_{-10.5}) \cdot 10^{-11}$$

E949, Phys. Rev. D 77, 052003 (2008)  
Phys. Rev D 79, 092004 (2009)]

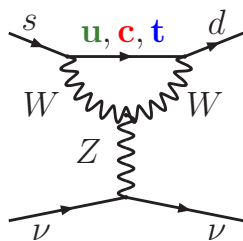
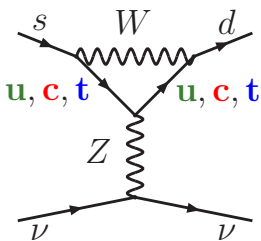
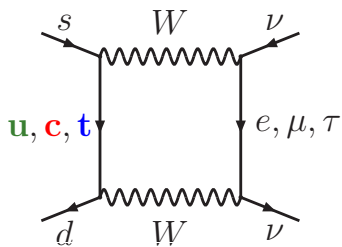
# $K \rightarrow \pi \nu \bar{\nu}$ in the SM

- FCNC and thus one loop and very small



- The hadronic matrix elements of the form  $\langle \pi | \hat{O} | K \rangle$  are obtained using isospin from semileptonic kaon decays
- $K_L$  decay is CP-violating
- $K^+$  decay is sensitive to the top coupling
- The combination is an excellent probe of the CKM parameters, independent of  $B$  physics

# The solution of the mystery:



[J. Brod, talk at CKM 2008]



# $K \rightarrow \pi \nu \bar{\nu}$ - SM

$$\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = 7.73(16)(25)(54) \times 10^{-11}$$

$$\text{Br}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = 2.59(6)(2)(28) \times 10^{-11}$$

- Updated SM prediction: [Brod, Gorbahn, Stamou, 2105.02868]
  - NLO QCD for top [Misiak et al., hep-ph/9901278]
  - NNLO QCD for charm [Buras et al., hep-ph/0603079]
  - NLO electroweak [Brod, Gorbahn, 0805.4119; Brod et al. 1009.0947]
  - N(N)LO ChPT + QED for matrix elements [Mescia, Smith, 0705.2025]
  - $\delta P_{c,u} + 6\%$  [Isidori et al., hep-ph/0503107]
  - Updated  $m_t$  and  $\alpha_s$
  - CKM parameters from global SM fit

# $K \rightarrow \pi \nu \bar{\nu}$ – NP Sensitivity

Experiment [NA62 2103.15389, KOTO 1810.09655]:

$$\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (10.6_{-3.4}^{+4.0}|_{\text{stat}} \pm 0.9_{\text{syst}}) \times 10^{-11}$$

$$\text{Br}(K_L \rightarrow \pi^0 \nu \bar{\nu}) < 300 \times 10^{-11} \quad @90\% \text{CL}$$

$$\mathcal{H}_{\text{eff}} = \left[ \frac{G_F}{\sqrt{2}} \frac{\alpha}{2\pi \sin^2 \theta_w} C^{\text{SM}} + \frac{1(i)}{\Lambda^2} \right] (\bar{s}_L \gamma^\mu d_L) (\bar{\nu}_L \gamma_\mu \nu_L) + \text{h.c.}$$

90% CL	current	10% (“100 events”)	3% (“1000 events”)
$K^+$ , Re	$\Lambda_+ \gtrsim 340$ TeV	$\Lambda_+ \gtrsim 735$ TeV	$\Lambda_+ \gtrsim 894$ TeV
$K^+$ , Im	$\Lambda_+ \gtrsim 209$ TeV	$\Lambda_+ \gtrsim 278$ TeV	$\Lambda_+ \gtrsim 292$ TeV
$K_L$ , Re	no bound	no bound	no bound
$K_L$ , Im	$\Lambda_L \gtrsim 139$ TeV	$\Lambda_L \gtrsim 1307$ TeV	$\Lambda_L \gtrsim 1476$ TeV

- Note that the SM corresponds to  $\Lambda_+ = 257$  TeV,  $\Lambda_L = 487$  TeV.

$$\Lambda \rightarrow n\nu\bar{\nu}$$

## $\Lambda \rightarrow n\nu\bar{\nu}$ - SM

$$\text{Br}(\Lambda \rightarrow n\nu\bar{\nu}) = \frac{3\alpha^2 G_F^2 \Delta^5 \lambda^{10} \tau_\Lambda}{240\pi^5 \sin^4 \theta_w} \left(1 - \frac{3}{2}\delta\right) (f_1^2 + 3g_1^2) \\ \times \left[ \left(\frac{\text{Im}\lambda_t}{\lambda^5} X_t\right)^2 + \left(\frac{\text{Re}\lambda_c}{\lambda} P_c + \frac{\text{Re}\lambda_t}{\lambda^5} X_t\right)^2 \right]$$

- Updated SM prediction (my numerics and error estimate)
  - NLO QCD for top [Misiak et al., hep-ph/9901278]
  - NNLO QCD for charm [Buras et al., hep-ph/0603079]
  - NLO electroweak [Brod, Gorbahn, 0805.4119; Brod et al. 1009.0947]
  - NLO SU(3) for form factors / matrix elements [Geng, Camalich, Shi, 2112.11979]
  - PDG input; CKM parameters from global SM fit

$$\text{Br}(\Lambda \rightarrow n\nu\bar{\nu}) = 5.95(13)(28)(44) \times 10^{-13}$$

## $\Lambda \rightarrow n\nu\bar{\nu}$ – (axial)vectors

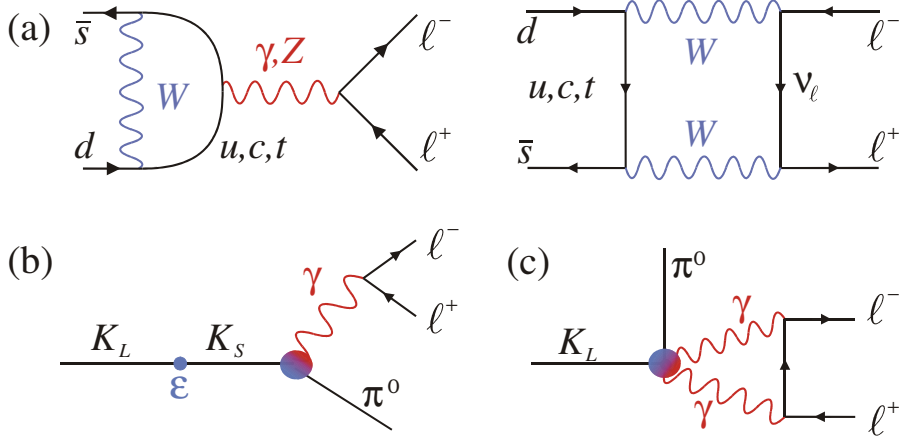
$$\mathcal{H}_{\text{eff}} = \frac{G_F}{\sqrt{2}} \frac{\alpha}{2\pi \sin^2 \theta_w} C^{\text{SM}} (\bar{s}_L \gamma^\mu d_L) (\bar{\nu}_L \gamma_\mu \nu_L) \\ + \frac{1(i)}{\Lambda_V^2} (\bar{s} \gamma^\mu d) (\bar{\nu}_L \gamma_\mu \nu_L) + \frac{1(i)}{\Lambda_A^2} (\bar{s} \gamma^\mu \gamma_5 d) (\bar{\nu}_L \gamma_\mu \nu_L) + \text{h.c.}$$

Sensitivity:

90% CL	30% (“10 events”)	10% (“100 events”)
Re	$\Lambda_V \gtrsim 331 \text{ TeV}$	$\Lambda_V \gtrsim 474 \text{ TeV}$
Re	$\Lambda_A \gtrsim 170 \text{ TeV}$	$\Lambda_A \gtrsim 179 \text{ TeV}$
Im	$\Lambda_V \gtrsim 208 \text{ TeV}$	$\Lambda_V \gtrsim 242 \text{ TeV}$
Im	$\Lambda_A \gtrsim 300 \text{ TeV}$	$\Lambda_A \gtrsim 399 \text{ TeV}$

$$K \rightarrow \pi l^+ l^-$$

$$K_L \rightarrow \pi^0 \ell^+ \ell^-$$



[Mescia, Smith, Trine, hep-ph/0606081]

# $K_L \rightarrow \pi^0 \ell^+ \ell^-$ - SM

SM prediction [Mescia, Smith, Trine, hep-ph/0606081]:

$$\text{Br}(K_L \rightarrow \pi^0 e^+ e^-) = 3.54_{-0.85}^{+0.98} \left[ 1.56_{-0.49}^{+0.62} \right] \times 10^{-11}$$

$$\text{Br}(K_L \rightarrow \pi^0 \mu^+ \mu^-) = 1.41_{-0.26}^{+0.28} \left[ 0.95_{-0.21}^{+0.22} \right] \times 10^{-11}$$

- Needs:  $K_S \rightarrow \pi^0 \ell^+ \ell^-$ ,  $K_S \rightarrow \pi^0 \gamma \gamma$ ,  $\epsilon_K$
- Sign of DCPV-ICPV interference term unclear (positive preferred?)
  - Can be determined from forward-backward asymmetry



## $K_L \rightarrow \pi^0 \ell^+ \ell^-$ – NP Sensitivity

Experimental 90% CL bounds [KTeV hep-ex/0309072, hep-ex/0001006]

$$\text{Br}(K_L \rightarrow \pi^0 e^+ e^-) < 28 \times 10^{-11}$$

$$\text{Br}(K_L \rightarrow \pi^0 \mu^+ \mu^-) < 38 \times 10^{-11}$$

Many operators can contribute:

- Additional contributions to Vector, Axialvector
- Scalar, Pseudoscalar, Tensor, Pseudotensor

Generically, expect helicity suppression factor  $m_\ell m_s / M_W^2$  for the latter

# $K_L \rightarrow \pi^0 \ell^+ \ell^-$ : “real” (axial)vectors

For generic interactions in addition to SM:

$$\mathcal{H}_{\text{eff}} = \frac{1}{\Lambda_V^2} (\bar{s} \gamma^\mu d) (\bar{\ell} \gamma^\mu \ell) + \frac{1}{\Lambda_A^2} (\bar{s} \gamma^\mu d) (\bar{\ell} \gamma^\mu \gamma_5 \ell) + \text{h.c.}$$

Sensitivity (“10%” assumes half SM error):

90% CL	current	30% (“10 events”)	10% (“100 events”)
$e^+ e^-$	$\Lambda_V \gtrsim 110 \text{ TeV}$	$\Lambda_V \gtrsim 191 \text{ TeV}$	$\Lambda_V \gtrsim 221 \text{ TeV}$
$e^+ e^-$	$\Lambda_A \gtrsim 129 \text{ TeV}$	$\Lambda_A \gtrsim 328 \text{ TeV}$	$\Lambda_A \gtrsim 482 \text{ TeV}$
$\mu^+ \mu^-$	$\Lambda_V \gtrsim 87 \text{ TeV}$	$\Lambda_V \gtrsim 205 \text{ TeV}$	$\Lambda_V \gtrsim 237 \text{ TeV}$
$\mu^+ \mu^-$	$\Lambda_A \gtrsim 75 \text{ TeV}$	$\Lambda_A \gtrsim 276 \text{ TeV}$	$\Lambda_A \gtrsim 410 \text{ TeV}$

- The SM corresponds to  $\Lambda_V = 414 \text{ TeV}$ ,  $\Lambda_A = 400 \text{ TeV}$ .

# $K_L \rightarrow \pi^0 \ell^+ \ell^-$ : “imaginary” (axial)vectors

For generic interactions in addition to SM:

$$\mathcal{H}_{\text{eff}} = \frac{i}{\Lambda_V^2} (\bar{s} \gamma^\mu d) (\bar{\ell} \gamma^\mu \ell) + \frac{i}{\Lambda_A^2} (\bar{s} \gamma^\mu d) (\bar{\ell} \gamma^\mu \gamma_5 \ell) + \text{h.c.}$$

Sensitivity (“10%” assumes half SM error):

90% CL	current	30% (“10 events”)	10% (“100 events”)
$e^+ e^-$	$\Lambda_V \gtrsim 118 \text{ TeV}$	$\Lambda_V \gtrsim 251 \text{ TeV}$	$\Lambda_V \gtrsim 337 \text{ TeV}$
$e^+ e^-$	$\Lambda_A \gtrsim 101 \text{ TeV}$	$\Lambda_A \gtrsim 146 \text{ TeV}$	$\Lambda_A \gtrsim 154 \text{ TeV}$
$\mu^+ \mu^-$	$\Lambda_V \gtrsim 91 \text{ TeV}$	$\Lambda_V \gtrsim 288 \text{ TeV}$	$\Lambda_V \gtrsim 401 \text{ TeV}$
$\mu^+ \mu^-$	$\Lambda_A \gtrsim 68 \text{ TeV}$	$\Lambda_A \gtrsim 141 \text{ TeV}$	$\Lambda_A \gtrsim 152 \text{ TeV}$

- The SM corresponds to  $\Lambda_V = 414 \text{ TeV}$ ,  $\Lambda_A = 400 \text{ TeV}$ .

# $K_L \rightarrow \pi^0 \ell^+ \ell^-$ : (pseudo)scalars

For a generic effective Hamiltonian:

$$\mathcal{H}_{\text{eff}} = \frac{1}{\Lambda_S^2} (\bar{s}d)(\bar{\ell}\ell) + \frac{1}{\Lambda_P^2} (\bar{s}d)(\bar{\ell}\gamma_5\ell) + \text{h.c.}$$

Sensitivity (“10%” assumes half SM error):

90% CL	current	30% (“10 events”)	10% (“100 events”)
$e^+e^-$	$\Lambda_S \gtrsim 75 \text{ TeV}$	$\Lambda_S \gtrsim 145 \text{ TeV}$	$\Lambda_S \gtrsim 171 \text{ TeV}$
$e^+e^-$	$\Lambda_P \gtrsim 74 \text{ TeV}$	$\Lambda_P \gtrsim 142 \text{ TeV}$	$\Lambda_P \gtrsim 177 \text{ TeV}$
$\mu^+\mu^-$	$\Lambda_S \gtrsim 48 \text{ TeV}$	$\Lambda_S \gtrsim 140 \text{ TeV}$	$\Lambda_S \gtrsim 184 \text{ TeV}$
$\mu^+\mu^-$	$\Lambda_P \gtrsim 56 \text{ TeV}$	$\Lambda_P \gtrsim 135 \text{ TeV}$	$\Lambda_P \gtrsim 157 \text{ TeV}$

Compare to constraint from  $\text{BR}(K_L \rightarrow e^+e^-) = 9_{-4}^{+6} \times 10^{-12}$ :

90% CL	current	10% (“100 events”)
$K_L \rightarrow e^+e^-$	$\Lambda_{S,P} \gtrsim 760 \text{ TeV}$	$\Lambda_{S,P} \gtrsim 820 \text{ TeV}$

# \$K\_L \to \pi^0 \ell^+ \ell^-\$: (pseudo)tensors

For a generic effective Hamiltonian:

$$\mathcal{H}_{\text{eff}} = \frac{1}{\Lambda_{\mathcal{T}}^2} (\bar{s} \sigma^{\mu\nu} d) (\bar{\ell} \sigma_{\mu\nu} \ell) + \frac{1}{\Lambda_{\tilde{\mathcal{T}}}^2} (\bar{s} \sigma^{\mu\nu} d) (\bar{\ell} \sigma_{\mu\nu} \gamma_5 \ell) + \text{h.c.}$$

Sensitivity (“10%” assumes half SM error):

90% CL	current	30% (“10 events”)	10% (“100 events”)
$e^+ e^-$	$\Lambda_{\mathcal{T}} \gtrsim 28 \text{ TeV}$	$\Lambda_{\mathcal{T}} \gtrsim 54 \text{ TeV}$	$\Lambda_{\mathcal{T}} \gtrsim 68 \text{ TeV}$
$e^+ e^-$	$\Lambda_{\tilde{\mathcal{T}}} \gtrsim 28 \text{ TeV}$	$\Lambda_{\tilde{\mathcal{T}}} \gtrsim 54 \text{ TeV}$	$\Lambda_{\tilde{\mathcal{T}}} \gtrsim 67 \text{ TeV}$
$\mu^+ \mu^-$	$\Lambda_{\mathcal{T}} \gtrsim 25 \text{ TeV}$	$\Lambda_{\mathcal{T}} \gtrsim 92 \text{ TeV}$	$\Lambda_{\mathcal{T}} \gtrsim 137 \text{ TeV}$
$\mu^+ \mu^-$	$\Lambda_{\tilde{\mathcal{T}}} \gtrsim 16 \text{ TeV}$	$\Lambda_{\tilde{\mathcal{T}}} \gtrsim 43 \text{ TeV}$	$\Lambda_{\tilde{\mathcal{T}}} \gtrsim 55 \text{ TeV}$

Compare to:

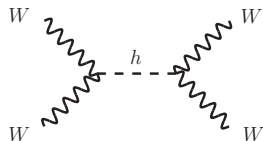
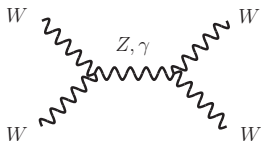
	90% CL	current	10% (“100 events”)
$K^+ \to \pi^+ \nu \bar{\nu}$		$\Lambda_{\mathcal{T}, \tilde{\mathcal{T}}} \gtrsim 45 \text{ TeV}$	$\Lambda_{\mathcal{T}, \tilde{\mathcal{T}}} \gtrsim 69 \text{ TeV}$

# Generic New Physics

# Can we generalize the SM loop functions?

- EFT (SMEFT, HEFT, ...)?
  - Generalities: E.g. chirality [Alonso et al. 1407.7044]
  - Nonrenormalizable (intrinsic cutoff)
  - Proliferation of operators
- Models?
  - Renormalizable (if complete)
  - Which parameters are independent?
  - Proliferation of models
- Generic loop functions [Brod, Gorbahn 1903.05116; Bishara et al. 2104.10930]
  - Explicit results – no need to calculate
  - Minimal number of parameters

# Perturbative Unitarity



- Classic Example:  $W$  scattering [Lee et al., Phys. Rev. D 16 (1977) 1519]
  - $Z, \gamma$  exchange alone leads to **unbounded growth**  $\mathcal{A} \sim s + t$
  - Including Higgs channel leads to **bounded high-energy growth**
  - Relies on intricate relation between Gauge – Gauge and Gauge – Higgs couplings
- Provided by “Ward identities” for SSB  $\rightarrow$  “Slavnov-Taylor identities” [Llewellyn Smith Phys. Lett. B 46 (1973) 233; Cornwall et al. Phys. Rev. Lett. 30 (1973) 1268]



## Example: The Z penguin in the SM

- We need the following couplings:

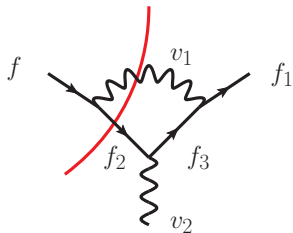
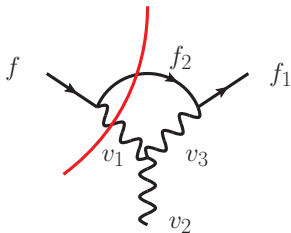
$$\bullet \quad g_{W^+ \bar{u}_i d_j}^L = \frac{e}{\sqrt{2} \sin \theta_w} V_{ij}, \quad g_{G^+ \bar{u}_i d_j}^L = \frac{m_{u_i}}{M_W} \frac{e}{\sqrt{2} \sin \theta_w} V_{ij}$$

$$\bullet \quad g_{Z \bar{u}_i u_j}^L = \frac{2e}{\sin 2\theta_w} (I_{u_i}^3 - Q_{u_i} \sin^2 \theta_w) \delta_{ij},$$
$$g_{Z \bar{u}_i u_j}^R = -\frac{2e}{\sin 2\theta_w} Q_{u_i} \sin^2 \theta_w \delta_{ij}$$

$$\bullet \quad g_{ZW^+ W^-} = \frac{e}{\tan \theta_w}, \quad g_{ZW^+ G^-} = -e \tan \theta_w,$$
$$g_{ZG^+ G^-} = \frac{e}{\tan \theta_w} \left( 1 - \frac{1}{2 \cos^2 \theta_w} \right)$$

- “Unphysical” and part of physical couplings fixed by STI
- GIM  $\lambda_u = -\lambda_c - \lambda_t$  fixed by STI
- $\cos \theta_w \equiv M_W / M_Z$  fixed by STI
- The result is finite

# “Unitarity Sum Rule”



$$\sum_{v_3} g_{v_3 \bar{f}_1 f_2}^{L/R} g_{v_1 v_2 \bar{v}_3} = \sum_{f_3} (g_{v_1 \bar{f}_1 f_3}^{L/R} g_{v_2 \bar{f}_3 f_2}^{L/R} - g_{v_2 \bar{f}_1 f_3}^{L/R} g_{v_1 \bar{f}_3 f_2}^{L/R})$$

# “Unitarity Sum Rule” – Generalized GIM

- General rule:

$$\sum_{\nu_3} g_{\nu_3 \bar{f}_1 f_2}^{L/R} g_{\nu_1 \nu_2 \bar{\nu}_3} = \sum_{f_3} (g_{\nu_1 \bar{f}_1 f_3}^{L/R} g_{\nu_2 \bar{f}_3 f_2}^{L/R} - g_{\nu_2 \bar{f}_1 f_3}^{L/R} g_{\nu_1 \bar{f}_3 f_2}^{L/R})$$

- E.g. SM fermions  $f_1 \rightarrow s$ ,  $f_2 \rightarrow d$ ; heavy vectors  $\nu_1 \rightarrow W_1^+$ ,  $\nu_2 \rightarrow W_2^-$
- Off-diagonal couplings of neutral gauge bosons vanish  $\Rightarrow$

$$0 = \sum_{f_3} g_{W_2^- \bar{s} f_3}^{L/R} g_{W_1^+ \bar{f}_3 d}^{L/R}$$

- Unitarity of “generalized CKM matrix” follows from universality and diagonality of neutral gauge boson couplings
- $\Rightarrow$  (generalized) GIM mechanism!

# Generalized Penguin-Box Functions

- Write general dimension-four Lagrangian
- Implement sum rules
- Generalize penguin and box functions
  - Gauge independent and finite
  - Minimal number of couplings
- Public mathematica code WellPut

# Summary

Exciting times ahead!